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- Antibody Correlates of Protection for COVID-19 Convalescent Plasma Associated with Reduced
   Outpatient Hospitalizations
- 4
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### 127 ABSTRACT

- 128 SARS-CoV-2 antibody levels associated with reduced hospitalization risk remain undefined. Our
- 129 outpatient COVID-19 convalescent plasma (CCP), placebo-controlled trial observed SARS-
- 130 CoV-2 antibody levels decreasing 22-fold from matched donor units into post-transfusion
- 131 seronegative recipients. Unvaccinated recipients were jointly stratified by a) early or late
- transfusion ( $\leq$  5 or >5 days from symptom onset) and b) high or low post-transfusion SARS-
- 133 CoV-2 antibody levels (< or  $\ge$  geometric mean). Early treatment with high post-transfusion
- 134 antibody levels reduced hospitalization risk-0/102 (0%) compared to all other CCP recipients-
- 135 17/370 (4.6%; Fisher exact p=0.03) and to all control plasma recipients-35/461 (7.6%; Fisher
- exact p=0.001). A similar donor upper/lower antibody level and early late transfusion stratified
- analyses indicated significant hospital risk reduction. Pre-transfusion nasal viral loads were
   similar in CCP and control recipients regardless of hospitalization outcome. Therapeutic CCP
- similar in CCF and control recipients regardless of nospitalization outcome. Therapeutic CCF should comprise the upper 30% of donor antibody levels to provide effective outpatient use for
- 140 immunocompromised and immunocompetent outpatients.

# 141 INTRODUCTION

- 142 The threshold of antibody correlating with reduced severe disease progression varies and needs
- 143 to be independently determined for diverse infectious diseases, from protozoans, like
- 144 *Plasmodium falciparum*<sup>1,2</sup> to viruses, like SARS-CoV-2<sup>3,4</sup>. Correlates of protection for antibody
- 145 levels demarcating vaccine efficacy for COVID-19 hospitalization risk reduction have been
- represented in relation to COVID-19 convalescent plasma (CCP) equivalents<sup>5-7</sup>. Likewise, a
- 147 model<sup>8</sup> utilizing vaccine, monoclonal antibody, and CCP data from clinical trials was created
- based on the reference CCP equivalents for 50% hospitalization risk reduction<sup>9-11</sup>. Monoclonal
- 149 antibody outpatient randomized controlled trials (RCTs) demonstrate 50%-80% efficacy in
- hospital risk reduction<sup>12-15</sup>. However, the same monoclonal antibody doses failed to show disease
   progression reduction in COVID-19 inpatients<sup>14,16-18</sup>. Like the monoclonal antibodies,
- intravenous remdesivir demonstrated greater efficacy in outpatients versus inpatients<sup>19,20</sup>. In
- summary, both early timing and dose of antiviral antibodies and drugs matter for outpatient
- summary, both early timing and dose of antiviral antibodies and drugs matter for outpatient reduction of hospitalization risk.
- 155

156 Convalescent persons recovering from pre-Alpha period COVID-19 have varying antibody

- 157 levels spanning a 3-log10 range from undetectable antibody to positive after a 3 to 5 thousand
- dilution<sup>21</sup>. Individuals with both boosted vaccines and recent COVID-19 (i.e., hybrid immunity)
- have considerably higher antibody levels (i.e., detectable even over 50,000 inverse dilutional
- 160 geometric mean titers) than either individuals with antibodies from infection or vaccination alone
- 160 geometric mean filters) than either individuals with antibodies from infection or vaccination along 161 <sup>22,23</sup>. Our CCP outpatient treatment within 9 days of symptom onset, showed greater than 50%
- 162 hospitalization relative risk reduction, which increased to more than 80% risk reduction (point
- 162 nospitalization relative risk reduction, which increased to more than 80% risk reduction (point
   163 estimate) when given within 5 days of symptom onset<sup>24</sup>. Hospitalized participants had the same
- 164 donor unit range of SARS-CoV-2 antibody levels as those not hospitalized.
- 165
- 166 A prespecified analysis from the parent outpatient CCP RCT aimed to compare antibody levels
- 167 in donor-recipient pairs to define dilutional decrease and to correlate both donor and recipient
- 168 antibody levels to disease progression culminating in hospitalization. In this substudy, we
- analyzed the risk of hospitalization among unvaccinated COVID-19 outpatients, comparing
- 170 control plasma recipients to four different CCP groups jointly stratified by early or late treatment

171 (i.e.,  $\leq 5$  or >5 days from symptom onset), and by high or low post-transfusion recipient or donor 172 antibody levels (i.e.,  $\leq or \geq$  geometric mean, GM).

# 173 RESULTS

### 174 Trial population

175 At 23 sites throughout the USA, the previously reported outpatient CCP clinical trial (CSSC-004, NCT04373460) transfused 1181 COVID-19 outpatients over 16 months (June 3, 2020 to October 176 1, 2021), to show that receipt of CCP, compared to control plasma, reduced the risk of 177 hospitalization for COVID-19 by 54%<sup>24</sup>. Written and signed informed consent was obtained 178 179 from all participants. Hospitalizations occurred in 53 of 964 unvaccinated and 1 of 58 partially 180 vaccinated participants. None of the 159 fully vaccinated individuals were hospitalized<sup>24</sup>. We 181 focused our correlation of antibody level analysis and hospitalization on those unvaccinated with evaluable antibody data, for which 479 received control plasma and 472 CCP (Table 1). The 182 unvaccinated participant's mean age was 44, with more females than males, approximately 40% 183 obese with BMI 30 or over, and approximately 40% with one or more pre-existing comorbidities 184

- 185 for severe COVID-19 risk. Unvaccinated CCP recipients, both screen seropositive or
- 186 seronegative were further jointly stratified by early ( $\leq 5$  days of symptom onset) or late (>5 days
- 187 of symptoms onset) time to transfusion as well as post-transfusion antibody levels (measured
- 188 within 30 minutes after transfusion completion) in the upper or lower half of screened
- 189 seronegative CCP recipients (Table 1).
- 190

191 Donor CCP and recipient screen and post-transfusion antibody levels in unvaccinated

192 participants

193 Approximately 40% of all potential CCP study donors were excluded for low antibody levels,

- thus transfusion units represented the upper 60% of all convalescent plasma donors. Because of
- the greater correlation with virus neutralization antibody (nAb), we measured the plasma anti-
- 196 Spike-Receptor Binding Domain (S-RBD) IgG antibodies levels by dilutional titer and the more
- 197 precise area under the curve (AUC) on both the donors at collection and in over 5,000 recipient
- samples at pre-transfusion screen, post-transfusion and follow-up visits<sup>21</sup>. The anti-S-RBD IgG
- titer threshold for seronegative was 180 titer or below. The donor anti-S-RBD IgG GM titer of
- 6,678 and anti-S-RBD IgG AUC of 3,172 separated donor antibody levels' upper and lower half
- 201 (Fig. 1A). The donor control plasma was either collected in 2019 (211 units) or blood bank tested
- 202 SARS-CoV-2 seronegative when collected in 2020-2021.
- 203

To experimentally determine the dilution factor associated with the single ~200 mL CCP

- administration in 338 unvaccinated seronegative recipients, measurements of matched donor and
- 206 recipient anti-S-RBD IgG AUC were performed. The matched transfusion donor antibody levels
- 207 proportionately decreased 22-fold (approximately 5%) from the GM donor anti-S-RBD IgG
- 208 3286 AUC to recipient post-transfusion antibody levels at GM 147 AUC measured within 30
- 209 minutes of transfusion completion (Fig. 1B). The decrease was parallel such that matched donor
- antibody levels below 1000 AUC were also consistently below 150 AUC post-transfusion in
- recipients. Similarly, 15 seronegative hospitalized CCP recipients had post-transfusion antibody
- 212 levels 19 times lower than their matched donors (Fig. 1C).
- 213
- The unvaccinated study participants included a screen seropositive participant subset (199/951
- 215 (21%) (107 in the CCP and 92 in the control plasma groups), with 83% of the 199 seropositive

- 216 participants already having pre-transfusion antibody levels above the GM 150 AUC for
- seronegative post-transfusion CCP recipients (Fig.1D). The pre-transfusion seropositive
- transfusion antibody levels were near 500 to 1000 GM anti-S-RBD IgG AUC which is 3 to 6
- times lower than the donor anti-S-RBD IgG antibody levels of 3172 AUC. The low post-
- transfusion recipient antibodies (early or late) were predictably lower in frequency and GM AUC
- 221 levels. Segregating the unvaccinated seropositive recipients by days from symptom onset to
- transfusion revealed a trend of a higher frequency of participants being seropositive after day 3,
- with higher GM antibody levels approximating 1000 anti-S-RBD IgG AUC, which was
- associated with the early development of virus-specific immunity also observed by Wolfel<sup>25</sup>
   (Fig. 1E).
- 226

# Recipient antibody level post-transfusion benchmarks of protection that preventshospitalization

- To correlate post-transfusion antibody levels among unvaccinated participants (both screen
- seronegative and seropositive) to hospital outcome, we stratified the post-transfusion anti-S-RBD
- 231 IgG AUC by early or late treatment and high or low antibody levels for the CCP group (Fig. 2,
- Extended Table 1). The recipient antibody geometric mean of 150 AUC delineated high versus
- 233 low antibody levels. Among the CCP participants treated early (symptom onset within 5 days)
- with high post-transfusion recipient antibody levels, there were no hospitalizations, 0/102
- participants. In those unvaccinated CCP recipients treated early with low post-transfusion
- antibody levels (anti-S-RBD IgG AUC below 150 AUC), we observed 5/104 (5%) participant
- hospitalizations (Fig. 2). There were 12 total hospitalizations in the two groups receiving
- transfusions late—6/164 (3.7%) recipients with high antibody levels and 6/102 (6%) recipients
- with antibodies below anti-S-RBD IgG 150 AUC. We compared the proportion of
- 240 hospitalizations of the early treatment with high post-transfusion antibody level CCP group with
- that of the other three CCP groups (17/270, 4.6%; Fishers exact p=0.03), the control plasma
- group (36/479, 7.5%); Fishers exact p=0.001), and the early control plasma group (24/207, -1)
- 243 11.6%; Fishers exact p=0.00005; Extended Table 1). Similarly, delineating high and low post-
- transfusion recipient antibody levels above the geometric mean of 540 anti-S-RBD IgG titer,
- although less precise than AUC, revealed that participants receiving early treatment with high
- titer CCP also had no hospitalizations (0/45, 0%; Extended Fig. 1 and Table 1).
- 247

248 Donor antibody level pre-transfusion benchmarks of protection that prevent

- 249 hospitalization
- 250 To correlate matched CCP donor units in the unvaccinated recipients to hospital outcome, we
- 251 next investigated if the corresponding donor antibody levels would also translate into protection
- 252 from hospitalization. The unvaccinated CCP recipients were again delineated by symptom onset
- to transfusion, but instead of post-transfusion antibody level representation, the matched
- corresponding donor antibody levels were stratified by donor anti-S-RBD IgG antibodies at GM
- 255 3286 AUC for all 592 recipients (Fig. 3A) and virus neutralization antibody (nAb) levels  $\geq 60$
- AUC (Fig. 3B). In all antibody level metrics, we compared the GM upper to lower as high and
- 257 low antibody levels, respectively. The numbers remained statistically significant by the Fishers
- exact test compared to both control plasma groups (Fig. 3 and Extended Table 1). Similar to the
- analyses with AUC values, donor anti-S-RBD IgG and nAb GM titer values, although less
- 260 precise, revealed their respective recipients with early treatment and high donor antibody titers
- having the lowest proportion of hospitalizations (Extended Fig. 2 and Table 2). We also stratified

donor antibody levels by the commercial assay EUROIMMUN ratio of optical density expressed
 above or below 6 AU or 600 BAU/mL (Extended Fig 3 and Extended Table 1), a level that also
 demarcates the upper portion of study donors<sup>24</sup>.

265

266 Screen seropositive hospitalizations in the unvaccinated

267 The screen scropositive recipients with subsequent hospitalizations were investigated, despite 268 low incidence. 7/54 unvaccinated participants, subsequently hospitalized, were seropositive at the initial screen, two in the CCP group and five in the control group (Extended Table 2). Three 269 of the five hospitalized participants from the control group had screen anti-S-RBD IgG antibody 270 271 titers at 540 and AUC less than 150, fitting the early/low seronegative data described above. In 272 the unvaccinated CCP group, 2/107 (1.9%) who were screen seropositive were hospitalized 273 versus 15/378 (4%) seronegative at screen (Chi square p=0.29; CCP seropositive versus 274 seronegative). Among the control group of whom were hospitalized, there were 5/92 (5.4%) 275 screen seropositive versus 29/381 (7.6%) screen seronegative (chi square p=0.47 control-276 seropositive to seronegative). Of those screened seropositive, there was no statistical difference 277 in hospitalization between those who received CCP and control plasma (chi square p=0.17; CCPseropositive to control-seropositive). The single screen seronegative and partially vaccinated 278 hospitalized participant transfused with control plasma received their first vaccine dose the same 279 280 day as symptom onset. Among the 159 fully vaccinated and not hospitalized, 158 (99%) were screen seropositive, while 30/58 (69%) partially vaccinated participants were seropositive.

281 282

283 Pre-transfusion nasal SARS-CoV-2 viral RNA loads

Nasal viral load might independently determine risk of hospitalization. Quantitative RT-PCR 284 285 was performed on the screen nasal swabs collected before plasma transfusion to correlate the 286 SARS-CoV-2 nasal viral RNA load to hospitalization. All unvaccinated individuals subsequently 287 receiving either control plasma or CCP had indistinguishable screen viral loads (i.e., near 10,000 288 copies) (Fig. 4A). Analyzing nasal viral loads of early/high, early/low, late/high, and late/low recipient groups revealed, as expected, that early transfusions closer to symptom onset were 289 290 associated with higher viral loads than late transfusions. The CCP early/high and early/low 291 recipient pre-transfusion nasal viral loads were indistinguishable. The viral load was similar 292 among unvaccinated at screen, regardless of subsequent hospitalization outcome (Fig. 4B). 293 While the inclusion criteria required a documented positive molecular SARS-CoV-2 test (87% 294 by RNA detection and 13% by antigen detection), the interval between the study inclusion 295 SARS-CoV-2 test (performed outside the study) and the research study nasal swab collection prior to transfusion may have been up to a week.

296 297

298 Stratifying nasal viral load by days from symptom onset to transfusion time among unvaccinated

participants infected prior to the Delta variant, which has different viral load kinetics $^{26,27}$ ,

300 indicated a decline in pre-transfusion viral burden among seronegative individuals after 5 days

from symptom onset (Fig. 4C). Seropositive individuals had lower viral loads compared to

seronegative individuals at all timepoints, with a majority of seropositive viral loads below the

303 limit of detection (330 copies) by day 4 post-symptom onset (Fig. 4D). The screen viral RNA

304 copy data suggest that seropositivity at the time of transfusion correlated with lower viral loads,

305 but differences in viral RNA load did not impact hospitalization outcome.

306

### 307 Differences in antibody levels weeks after transfusion

- 308 Passive transfer of SARS-CoV-2 specific antibodies was postulated to possibly down-modulate
- 309 subsequent SARS-CoV-2 antibody responses. This clinical study was designed to measure
- antibody responses up to 90 days post-transfusion to compare antibody kinetics between CCP
- and control plasma recipients. There were no differences in antibody levels between CCP and
- 312 control plasma recipients at or beyond 14 days post-transfusion (Fig. 5). Longitudinal differences
- in antibody levels observed were due to hospitalization rather than treatment. The multivariate
- 314 linear mixed-effects regression, adjusted for variant, age, sex, and BMI, showed no differences in
- antibody levels between CCP and control plasma recipients beyond 14 days post-transfusion
- 316 (Fig. 5). There were neither sex, age, BMI, nor comorbidity differences in antibody levels317 between CCP and control groups.
- 318
- 319 Within the control group, there were eight immunocompetent recipients with undetectable
- 320 SARS-CoV-2 antibody levels during all follow-up visits. Three of the 8 had persistent COVID-
- 321 19 symptoms after 90 days of study follow-up. All CCP recipients had detectable antibodies at or
- after the day 14 visit.
- 323
- 324 Variant period differences in screen seropositivity, nasal viral load and day 90 antibody levels
- 325 We investigated how SARS-CoV-2 variants influenced seropositivity, nasal viral load and 3-
- month antibody levels in the setting of ongoing vaccination after December 2020. We separated
   participants into three periods: pre-Alpha (June 3, 2020 to January 31, 2021), Alpha (February 1,
- 2021 to July 15 2021), and Delta (July 16, 2021 to October 1, 2021). Participants recruited in the
- 329 Delta period were younger, with fewer medical conditions, and more than 60% fully vaccinated
- 330 (Extended Table 3). Screen seropositivity rates among unvaccinated individuals were low (~
- 331 20%), during pre-Alpha and Alpha periods rising to 46% during the Delta period. The baseline
- 332 screen anti-S-RBD AUC GM antibody levels in the unvaccinated seropositive participants were
- 529, 482, and 7042 anti-S-RBD AUC during the pre-Alpha, Alpha and Delta periods,
- respectively compared to the 3286 AUC in the mainly pre-Alpha study donors (Extended Fig.4A).
- 335 336
- 337 Pre-transfusion nasal viral loads were similar between CCP and control during the pre-Alpha,
- Alpha, and Delta periods (Extended Fig. 4B). When unvaccinated participants were stratified
- based on seropositivity at the time of transfusion, viral loads were consistently lower in
- 340 seropositive individuals compared to seronegative individuals regardless of the time period in
- 341 which CCP was administered (Extended Fig. 4C). During the Delta period, unvaccinated
- 342 seropositive individuals also had lower viral loads compared to seronegative individuals, but the
- 343 participant numbers stratified by symptom onset day were low (Extended Fig. 5A, B). Fully
- 344 vaccinated and seropositive participants at screen also showed a drop in viral load when
- 345 transfusion occurred more than 5 days after symptom onset (Extended Fig. 5C).
- 346
- 347 Comparing CCP and control day 90 antibody levels in those unvaccinated at screen (excluding
- the 165 vaccinated during the follow-up visits), indicated no difference between treatment
- 349 groups or groups stratified by early or high CCP antibody treatment (Extended Figure 6A).
- 350 Those unvaccinated participants, subsequently hospitalized (41/54, 76%) during the pre-Alpha
- 351 period, had a GM of 13007 AUC with very few having antibody levels below the mean for
- 352 unvaccinated participants in the pre-Alpha period at day 90 compared to the non-hospitalized

unvaccinated pre-Alpha participants GM 2691 AUC antibody level, 5 times less than those

hospitalized (Extended Fig. 6B). Unvaccinated recipients during the Alpha period had similar

day 90 antibody levels (geometric mean AUC=6683) to those infected during the Delta period

356 (geometric mean AUC=5929), but near double the pre-Alpha period participants. Fully

357 vaccinated recipients at screen who had breakthrough infection during Delta period showed a

358 GM AUC of 53813, which was 20 times greater than the GM AUC of day 90 pre-Alpha period 359 recipients.

359 recipients.

### 360 Discussion

This jointly stratified study analysis by both time to transfusion and antibody levels found that post-transfusion anti-S-RBD IgG AUC levels at or over 150 AUC and transfusion within 5 days of symptom onset, resulted in no hospitalization in unvaccinated recipients. In seronegative recipients, the post-transfusion upper 50% antibody levels were proportionately matched to

365 upper 50% donor antibody levels measurements by three different test methodologies-anti-S-

366 RBD IgG ELISA, direct live virus neutralization, and EUROIMMUN anti-SARS-CoV-2 ELISA.

367 Given that we selected the upper 60% of donors for study qualification and by all antibody

368 measurements for stratified analysis, the upper half of qualified donors which equals the upper

369 30%, had similar impact on hospitalization risk reduction. The four-quadrant graphic depiction

of hospitalizations was similar in the recipient antibody levels compared to the 3 separate donor

antibody level measurements. This implicates the upper 30% of all potential pre-Alpha CCP

372 donors as effective for reducing hospitalizations prior to the Delta variant.

373

374 An individual's circulating plasma volume is, on average, 3 liters, which predicts a 15-fold 375 proportional antibody dilution for a 200 mL CCP transfusion. A prior infection prevention study 376 transfused CCP into healthy nonSARS-CoV-2 infected individuals and similarly measured 20fold SARS-CoV-2 antibody dilution<sup>28</sup>. This suggests that the antibody compartment volume is 377 similar between non-infected individuals and those with COVID-19 during the first week of 378 379 illness. We did not observe a measurably higher volume of distribution, suggesting that 380 extravascular transfer of high levels of antibodies to lower the post-transfusion antibody levels 381 occurred. The donor and recipient antibody levels are directly proportional in Fig. 1B-C where 382 the lines are parallel with the 22-fold dilution. From the recipient post-transfusion levels, one can 383 predict matched donor levels are proportionately greater by a factor of 20. The screen 384 seronegative participants had a clean negative antibody background. Those who were screen seropositive in Fig. 1D already had greater antibody levels than more than half of the post-385 transfusion seronegative participants. We have not explored whether CCP has better neutralizing 386 387 abilities than the early host immune response when antibodies are just starting to measurably 388 increase after 4 days from symptom onset for screen seropositive participants (low antibodies in 389 the 500 to 1000 range) (Fig. 1E).

390

Antivirals (antibodies or small molecule antivirals) administered early in the COVID-19 course

protect from progression to severe disease requiring hospitalization. At least three factors interact

to predict risk of outpatient hospitalization: 1) viral load and variant properties; 2) human host

risk factors for severe disease (age, obesity, or medical comorbidities), and 3) quantity and

quality (degree of match to variants) of SARS-CoV-2 specific antibodies at disease onset, due to

active vaccination or immune status (i.e., hybrid immunity, breakthrough, etc.).

397

We found no differences in nasal viral loads between control and CCP recipients at screening to
account for differences in hospitalization rates. Importantly, those participants who were
subsequently hospitalized had similar viral loads at the time of transfusion when compared to
those not hospitalized. Unvaccinated recipients who were seropositive at screening had lower
viral loads than seronegative participants. We also observed lower viral loads in those screened
late. Specifically, those who were screened and transfused late (≥5 days after symptom onset)

- 404 had lower viral loads compared to those who were transfused early, suggesting that the
- administration of antiviral drugs or CCP early (i.e., during the period of higher viral loads
- 406 measured by nasal sampling) maximizes the therapeutic effect. Viral load dynamics in the lung407 or other tissues may differ, and treatment timing should be explored further.
- 407 408
- 409 The jointly stratified subgroup analysis was matched for patients' age, demographics, obesity
- 410 and one or more medical conditions for severe COVID-19 progression to hospitalization. Our
- 411 study population was also younger, less obese and with fewer risk factors for disease progression
- than other published RCTs of CCP outpatient use<sup>29</sup>. Our study population also had a higher
- 413 prevalence of seronegative participants upon transfusion than the Emergency Department based
- 414 study (C3PO) where the seropositive rate was near 50%, which may be indicative of a different
- 415 patient population<sup>30</sup>.
- 416

417 In this study, there are COVID-19 participants with a 100-fold range of antibody levels. CCP

- 418 units from the top 30% of all convalescent donors are necessary to confer protection against
- 419 hospitalization with severe COVID-19, such that when antibody levels are diluted approximately
- 420 20-fold, the recipient antibody levels remain above the CCP GM. These data suggest that
- 421 convalescent plasma has been underdosed in prior infectious disease outbreaks and that future
- 422 pandemic patients should receive higher therapeutic donor antibody levels. The current study
- introduces the concept that therapeutic donor CCP levels must be in the upper half or higher to
- fully account for the dilution effect in the recipient. Plasma from approximately 330 unique CCP
   donations were transfused into 592 CCP participants. These donor units were previously
- 425 donations were transfused into 392 CCF participants. These donor units were previously426 characterized for full-length anti-Spike IgG with GM titers of 13,053 and more precise AUC GM
- 427 of 7938 which equals 243 BAU/mL using the international standards<sup>31</sup>. The median nAb titer
- 428 was 80 with GM titer of 58 and nAb AUC of 51, equaling GM 27 IU/mL. The commercial
- 429 EUROIMMUN arbitrary units (AU) mean was 6 for the unique units. The donor anti-S-RBD
- 430 IgG GM titer was 6,678 and anti-S-RBD IgG GM AUC of 3,172. These thresholds separate the
- 431 upper and lower portion of donor antibody levels and was also approximately 50% of total full
- 432 length Spike titer antibody levels, but 100 times the nAb GM titer levels.
- 433
- 434 Unvaccinated participants who received CCP after 5 days (late) or received units with low
- antibody levels still had near significant reduction in hospital risk of about 4% rather than 7.6%
- 436 in all controls. The parent study included fully vaccinated and partially vaccinated participants in
- the analyses, which lowered risk of hospitalization to 6.3%. Here, we observed an 11.6% risk of
- 438 hospitalization among unvaccinated controls transfused early within 5 days of symptom onset.
- 439 The effect of early CCP transfusion and high post-transfusion antibody is even greater among
- 440 this subgroup of unvaccinated recipients.
- 441
- The antibody level that affords protection is not absolute, as not even monoclonal antibody orsmall molecule antiviral therapy affords 100% reduction in risk of hospitalization. There were

444 two screen seropositive participants in the CCP group and two in the control group with antibody

- levels also above the anti-S-RBD IgG antibody threshold of inverse dilution titer over 540 and
- 446 AUC over 150, presumptively with newly formed antibodies. The finding that early
- 447 administration of antibodies to SARS-CoV-2 is beneficial in reducing progression of disease is
- 448 consistent with the observation that those who mount early antibody responses have lower rates
- of death after hospitalization<sup>32,33</sup> and that inducement of humoral immunity by vaccination
   reduces severity of disease and death<sup>34</sup>.
- 451

452 When CCP was first deployed in 2020, there were concerns that specific antibody administration

- to individuals in the early stages of COVID-19 could interfere with the development of endogenous immune responses<sup>35</sup>. However, our findings show that transfusion of CCP, as
- 455 compared to control plasma, was not associated with differences in the development of a
- 456 humoral immune response in recipients, reassuring for the immunological safety of CCP in
- 457 humans. The C3PO convalescent plasma study also did not see an antibody level difference
- 458 between CCP and saline infusions $^{30,36}$ .
- 459

460 While our study had predominately SARS-CoV-2 naïve recipients enrolled prior to the Omicron

461 variant who were largely unvaccinated, the findings are applicable to immunocompromised
 462 patients today who lack SARS-CoV-2 antibodies. Another limitation is the low number of

463 seronegative participants transfused within 5 days of symptom onset with post-transfusion above

- the geometric mean donor antibody levels in our study population (approximately 100
- 465 participants). The parent study was not powered to look at these stratified quadrants.
- 466

467 In summary, our results support and reconfirm the adage that for antibody therapy to be

- 468 effective, sufficient amounts of pathogen specific antibody should be dosed early<sup>37</sup>. No
- hospitalizations were observed in those treated at  $\leq 5$  days of symptom onset with high titer CCP
- 470 indicating that this is the optimal combination for effective CCP use. Early treatment alone is
- 471 insufficient as hospitalizations were still observed in the group treated  $\leq 5$  days with lower titer
- units, necessitating both early treatment and adequate antibody dosing for optimal efficacy. Our
- results provide evidence for the best use of CCP. We advocate that CCP units used for therapy
- 474 comprise the upper 30% of donor antibody levels. These levels should set the threshold for future
- therapeutic CCP. When humanity faces its next pandemic, there is a high likelihood that
- 476 convalescent plasma will be used again until better specific therapies become available. Our data
- 477 provide a roadmap for optimal early, high dose convalescent plasma deployment in such future478 emergencies.
- 478 eme 479

# 480 Online Methods

481 Study Ethics

482 Johns Hopkins served as the single-IRB (sIRB). For the Center for American Indian Health sites,

the protocol was also independently reviewed and approved by the Navajo Nation Health Human

- 484 Research Review Board and the National Indian Health Service IRB. The protocol was also
- 485 approved by the Department of Defense (DoD) Human Research Protection Office (HRPO).
- 486 An independent medical monitor who was unaware of the trial group assignments reviewed all
- 487 serious adverse events, and an independent panel of three physicians who were unaware of the
- trial-group assignments adjudicated Covid 19 related hospitalizations and severity. An

489 independent data and safety monitoring board provided interim safety and efficacy reviews. The

trial was conducted in accordance with the principles of the Declaration of Helsinki, the Good

491 Clinical Practice guidelines of the International Council for Harmonisation, and all applicable

492 regulatory requirements.

- 493
- 494 Study Population

495 In this multicenter, double-blind, randomized, controlled trial, we evaluated the efficacy and

496 safety of COVID-19 convalescent plasma, as compared with control plasma, in symptomatic

adults ( $\geq 18$  years of age) who had tested positive for severe acute respiratory syndrome

498 coronavirus 2, regardless of their risk factors for disease progression or vaccination status.
499 Participants were enrolled within 8 days after symptom onset and received a transfusion within 1

faitherpains were enrolled within 8 days after symptom onset and received a transfusion within
 day after randomization. The primary study outcome (reported previously) was COVID-19–

501 related hospitalization within 28 days after transfusion. There were no obvious imbalances

502 between the trial groups in the parent trial with respect to baseline characteristics, including

503 coexisting conditions, COVID-19 vaccination status, vital signs, and clinical laboratory results.

- 504
- 505 Study Center(s):

506 Anne Arundel Medical Center; Ascada Research; Baylor College of Medicine; Johns Hopkins

507 Center for American Indian Health; Johns Hopkins Bloomberg School of Public Health; Johns

508 Hopkins University; Lifespan/Brown University Rhode Island Hospital; Mayo Clinic, Phoenix;

509 MedStar Washington Hospital Center; NorthShore University Health System; The Bliss Group;

510 The Next Practice Group; University of California, Los Angeles Health; University of Alabama

at Birmingham; University of California, Irvine Health; University of California, San Diego;

512 University of Cincinnati Medical Center; University of Massachusetts Worcester; University of
 513 Miami; University of New Mexico; University of Rochester; University of Texas Health Science

515 Mianii, University of New Mexico, University of Rochester, University of Texas Health Science 514 Center at Houston; University of Utah Health; Vassar Brothers Medical Center; Wayne State

515 University; Western Connecticut Health Network, Danbury Hospital; Western Connecticut

- 516 Health Network, Norwalk Hospital.
- 517
- 518 Study Plasma

519 The study qualified donor plasma with SARS-CoV-2 positive antibodies after a 1:320 dilution

520 under FDA IND 19725 protocol. After July 2021, the transfused plasma donor units met the

521 existing FDA Emergency Use Authorization (EUA) criteria for high titer at EUROIMMUN

arbitrary unit (AU) over 3.5. Many identical apheresis donor plasma units were transfused into 2,

523 3, or 4 separate recipients. Plasma from 333 unique CCP donations was transfused into the 592

525 5, 614 separate recipients. Flasma from 555 unique CCF donations was transfused into the 552 524 CCP participants. Seventy-five percent of the donor collections were before September 2020

525 with more than 90% by January 2021 and the last 25 collections by March 2021. These donor

units were previously characterized for full-length anti-Spike IgG geometric mean (GM) titers of

527 13,053, which corresponded with a more precise area under the curve (AUC) geometric mean of

528 7938, equaling 243 BAU/mL using the international standards<sup>24</sup>. The median neutralizing

antibody (nAb) titer was 80, with a geometric mean titer of 58, and nAb AUC of 51, equaling

530 GM 27 IU/mL<sup>24</sup>. The commercial EUROIMMUN arbitrary units (AU) mean was 6 for the

531 unique donor units $^{24}$ .

532

533 Study visits and time periods

- 534 In these studies, antibody levels were measured at screen before transfusion, within 30 minutes
- 535 of transfusion, and various timepoints up to 90 days post-transfusion. Participants were
- 536 transfused during pre-Alpha (June 3, 2020 to January 31, 2021), Alpha (February 1, 2021 to July
- 537 14, 2021), and Delta (July 15 to October 1, 2021) variant periods. There were just three
- 538 participants transfused from July 2 to July 9, 2021 which decreased the number of false
- 539 designations. The first Alpha (B1.1.7) confirmed by sequencing was from a participant
- 540 transfused February 18, 2021.
- 541

### 542 **EUROIMMUN ELISA Assay**

- 543 The EUROIMMUN anti-SARS- CoV-2 ELISA for IgG (cat. EI2606-9601G) was validated in a 544 Clinical Laboratory Improvement Amendments-certified (CLIA-certified) laboratory for donor 545 qualification as positive after a 1:320 dilution as per IND 19725 protocol. The assay was
- 546 performed according to the manufacturer's specifications. In a separate research laboratory, the
- 547 donor optical density (OD) was measured at 1:101 dilution. The ratio calculated by dividing the
- 548 sample OD by the OD of the calibrator from that run constitutes the AU.To measure anti-SARS-
- 549 CoV-2 IgG binding, each plate had the following components: 100 µL plasma (1:101 dilution,
- per the manufacturer's protocol) and 100 µL undiluted positive, negative, and calibrator controls. 550
- Plates were washed 3 times, followed by the manufacturer's protocol for addition of conjugate 551
- 552 and substrate. Ratios of 0.8 or higher were considered positive.
- 553

### 554 **Indirect ELISA**

555 The ELISA protocol was adapted from a protocol published by the Florian Krammer

- laboratory<sup>38</sup>. The 96-well plates (Immulon 4HBX, Thermo Fisher Scientific-Cat#-3855) were 556 557 coated with S-RBD of the parent strain at a volume of 50 µL of 2 µg/mL diluted antigen in filtered, sterile  $1 \times PBS$  (Thermo Fisher Scientific) at 4°C overnight. The coating buffer was 558 559 removed, and the plates were washed 3 times with 300  $\mu$ L 1 × PBS plus 0.1% Tween-20 (PBST)
- wash buffer (Thermo Fisher Scientific) and then blocked with 200 µL PBST with 3% nonfat 560
- 561 milk (milk powder, American Bio) by volume for 1 hour at room temperature. All plasma 562 samples were heat-inactivated at 56°C on a heating block for 1 hour before use and diluted 1:2 in
- PBS. Negative control samples were prepared at 1:10 dilutions in PBST in 1% nonfat milk and 563
- plated at a final dilution of 1:100. A mAb against the SARS-CoV-2 Spike protein was used as a 564
- 565 positive control (1:5000 dilution; Sino Biological, 40150- D001). Plasma samples were prepared
- in 3-fold serial dilutions starting at 1:20 in PBST in 1% nonfat milk. Blocking solution was 566 removed, and 100 µL diluted plasma was added in duplicate to the plates and incubated at room 567
- 568 temperature for 2 hours. Plates were washed 3 times with PBST wash buffer, and 50 µL of
- 569 secondary antibody was added to the plates and incubated at room temperature for 1 hour.
- 570 Antihuman secondary antibody, Fc-specific total IgG HRP (1:5000 dilution; Thermo Fisher
- 571 Scientific, Invitrogen, A18823), was prepared in PBST plus 1% nonfat milk. Plates were washed,
- 572 and all residual liquid was removed before the addition of 100 µL SIGMAFAST OPD (o-
- 573 phenylenediamine dihydrochloride) solution (MilliporeSigma) to each well, followed by
- 574 incubation in darkness at room temperature for 10 minutes. To stop the reaction, 50 µL 3M HCl
- (Thermo Fisher Scientific) was added to each well. The OD of each plate was read at 490 nm 575
- (OD490) on a SpectraMax i3 ELISA Plate Reader (BioTek Instruments). The positive cutoff 576
- 577 value for each plate was calculated by summing the average of the negative values and 3 times
- 578 the SD of the negatives. Limits of detection (LOD) were set to half the lowest AUC value at or

below 20 titer. The anti-S-RBD IgG titer threshold for seronegative was 180 titer or below. The
seropositive anti-S-RBD IgG ELISA titers represent 3-fold dilutions from 540 to 393,660.

581

### 582 SARS-CoV-2 Viral Load

583 Nasopharyngeal specimens obtained at screen were stored in 5 mL of virus transport media at -584 70°C on site, then shipped to the central storage facility at Johns Hopkins University. RNA was 585 extracted from 200 µL transport media with either the Oiagen viral RNA extraction kit (Oiagen, 586 Hilden, Germany), or the chemagic Viral RNA/DNA 300 H96 kit with chemagic 360 nucleic acid extraction system (Perkin Elmer), according to manufacturer recommended protocols. Real-587 588 time reverse transcriptase quantitative PCR (RT-qPCR) assays targeting the SARS-CoV2 589 nucleocapsid (N) gene and the human RNaseP gene were performed based on the methods described by the US CDC<sup>39</sup>. Primer and FAM-labelled probe sets for CDC nCoV N1 and 590 RNaseP assays were purchased from IDT (Integrated DNA Technologies) as part of the SARS-591 592 CoV2 Research Use Only RUO qPCR primer and probe kit (part number 10006713, 2019 nCoV RUO kit). Single-plex assays with equivalent volumes of RNA (or Positive Control, Plasmid-593 594 RNA Standards or Nuclease Free H2O for No Template Controls (NTCs)) were performed using 595 the TaqPath 1-Step RT-qPCR MasterMix (Applied Biosystems, ThermoFisher Scientific) in a 596 OuantStudio 5 Real-Time PCR system (ThermoFisher Scientific). The SARS-CoV-2 nCoV-N 597 control plasmid comprised the complete nucleocapsid gene of SARS-CoV-2 isolate Wuhan-Hu-598 1, complete genome (GenBank: NC 045512.2), and the HsRPP30 Positive control contained a portion of the RNAseP (RPP30) gene. Both plasmid controls were purchased from IDT. 599 600 Standards for quantitative analysis were prepared from serial dilutions of the nCoV-N and 601 HsRPP30 plasmid controls for which target copy number was known. The range covered was 200,000 copies to 320 copies. Standard curve analysis of nCoV N1 Ct values was performed by 602 603 the QuantStudio Design and Analysis software to determine RNA copies of viral genome. Only samples with quantities within the standard curve range were given a COVID-19 call/score 604 605 "positive". A Ct value for the RNaseP gene was used to verify that human RNA was present in 606 each specimen. For samples that did not amplify viral genome or any host cell RNA, a repeat 607 RT-qPCR was performed and subsequently assigned as "undetermined".

608

### 609 SARS-CoV-2 Virus Neutralization Assay

610 Plasma neutralizing antibodies were determined against WA-1 (SARS-CoV-2/USA-WA1/2020

- 611 EPI\_ISL\_404895), which was obtained from BEI Resources, as described previously<sup>22,40</sup>. Two-
- fold dilutions of plasma (starting at a 1:20 dilution) were made and infectious virus was added to
- 613 the plasma dilutions at a final concentration of  $1 \times 10^5$  TCID<sub>50</sub>/mL (100 TCID<sub>50</sub> per 100 µL).
- 614 The samples were incubated with the virus for 1 hour at room temperature, and then  $100 \,\mu\text{L}$  of
- each dilution was added to 1 well of a 96-well plate of VeroE6-TMPRSS2 cells in hexaplicate.
- 616 The cells were incubated for 6 hours at  $37^{\circ}$ C, 5% CO<sub>2</sub>. The inocula were removed, fresh
- 617 infection media (IM) was added, and the cells were incubated at 37°C, 5% CO<sub>2</sub> for 2 days. The
- 618 cells were fixed by the addition of 100  $\mu$ L of 4% formaldehyde per well, incubated for at least 4
- hours at room temperature, and then stained with Napthol Blue Black (MilliporeSigma). The
- 620 neutralizing antibodies titer was calculated as the highest serum dilution that eliminated the
- 621 cytopathic effect in 50% of the wells (NT50), and the AUC was calculated using Graphpad
- 622 Prism.
- 623
- 624 Statistical analysis

- 625 Spearman correlation was used to calculated strength of association between titer and AUC units
- 626 for antibody measurement. Fold drops in anti-S-RBD IgG AUC between donor and
- 627 corresponding recipients were calculated by dividing the geometric mean of donors by that of
- 628 recipients. Statistical differences between donor and post-transfusion recipient antibody levels
- 629 were determined by non-parametric Mann-Whitney tests. Using viral load or antibody data,
- 630 multiple comparisons across vaccination status, variant, serostatus, or treatment groups were
- 631 performed using non-parametric Kruskall-Wallis tests with Dunn's post-hoc corrections. Fishers
- exact tests were performed to compare the association of timing of treatment (early or late) and
- antibody levels (high or low) with hospitalization using unvaccinated, CCP recipient post-
- transfusion (D0) antibody data. Longitudinal recipient antibody data were first log<sub>10</sub>-transformed
- and analyzed using a linear mixed-effects regression model, adjusted for variant, age, sex, and
- 636 BMI. An interaction term was included to examine how antibody levels changed over time by
- treatment (control or CCP) and hospitalization status for unvaccinated participants. Marginal
  effects were graphed with 95% confidence intervals. Statistical analyses were performed using
- 638 effects were graphed with 95% confidence intervals. Statistical analyses were performed usin
- 639 GraphPad Prism 8 (GraphPad Software) and Stata 17 (StataCorp).

### 640 Data Availability

- 641 Source data for figures included in supplementary source data file. Data is available from individual
- 642 authors upon request with reply expected in 14 days. Deidentified data from clinical trial will be
- 643 deposited in the Vivli server for public access before end of 2023.

### 644 Code Availability

645 Unique software or computational code was not created for this study.

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647

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### Tables 798

Table 1 Baseline characteristics for unvaccinated control and CCP recipients and the joint 799

800 stratification based upon symptom onset to transfusion as early or late transfusion ( $\leq 5$  or >5 days 801 from symptom onset) and high or low antibody levels above or below recipient anti-S-RBD IgG 802 at 150 AUC.

Unvaccinated	Control	ССР	CCP early high	CCP early low	CCP late high	CCP late low	CCP late (high&low) and early low	Control early
Number	479	472*	102	104	164	102	370	207
Median age	11 (22 55)	42 (32-	42 (30-	46 (33-	41 (31-	44 (33-	11 (22 55)	43 (32-
(IQR)	44 (33-33)	54)	510)	56)	55)	54)	44 (32-55)	56)
Mean Age	44	43	41	45	43	44	44	45
Age Category, n								
(%)								
18-49 yr	285 (60)	310 (66)	74 (73)	63 (61)	107 (64)	66 (65)	236 (64)	127 (61)
50-85 yr	194 (40)	162 (34)	28 (27)	41 (39)	57 (34)	36 (35)	134 (36)	80 (39)
Sex, n (%)								
Female	283 (59)	255 (54)	58 (57)	52 (50)	90 (55)	55 (54)	197 (53)	115 (56)
Male	196 (41)	217 (46)	44 (43)	52 (50)	74 (45)	47 (46)	173 (47)	92 (44)
Race, n (%)								0
Asian	17 (4)	18 (4)	0(0)	5 (5)	10 (6)	3 (3)	18 (5)	13 (6)
Black	66 (14)	74 (16)	12 (12)	14 (13)	34 (20)	14 (14)	62 (17)	30 (14)
American Indian	11 (2)	9 (2)	1(1)	3 (3)	3 (2)	2 (2)	8 (2)	8 (4)
Pacific Islander	2 (0)	2 (0)	0(0)	0 (0)	1(1)	1(1)	2(1)	1 (0)
Other race/not	7(1)	5(1)	2(2)	1(1)	1(1)	1(1)	3(1)	4(2)
reported	, (1)		2 (2)	1 (1)	1 (1)	- (-)	5 (1)	. (2)
White	386 (81)	367 (78)	86 (84)	83 (80)	117 (70)	81 (79)	281 (76)	159 (77)
Ethnicity, n (%)								
Hispanic or	72 (15)	69 (15)	20 (20)	12 (12)	23 (14)	14 (14)	49 (13)	37 (18)
Latino	/= ()		-• (-•)	()		- ()	()	- ()
BMI Category,								
n (%)	0(1(50)	074 ((1)			07 ((2))	57 (50)	010 ((0))	110 (57)
BMI <30	261 (58)	274 (61)	64 (65)	56 (57)	97 (63)	57 (59)	210 (60)	112 (57)
BMI≥30	190 (42)	172 (39)	34 (35)	43 (43)	56 (37)	39 (41)	138 (40)	85 (43)
Any								
Comorbidity, n	196 (41)	193 (41)	45 (44)	45 (43)	56 (34)	47 (46)	148 (40)	88 (43)
Hypertension, n	117 (24)	119 (25)	27 (26)	27 (26)	33 (20)	32 (31)	92 (25)	52 (25)
$\begin{pmatrix} \% \\ 0 \end{pmatrix}$	47 (10)	27 (9)	5 (5)	0 (0)	14(0)	10 (10)	22 (0)	10 (0)
Diabetes, n (%)	47 (10)	$\frac{3}{(8)}$	(3)	8 (8) 11 (11)	14(8) 17(10)	10(10) 10(10)	32(9)	19 (9)
Astnma, $n(\%)$	59 (12) 12 (2)	51(11)	13(13)	11(11)	1/(10)	10(10)	38 (10)	25(12)
HIV, II (%)	12(3)	11(2)	2(2)	4 (4)	4 (2)	I(1)	9(2)	4 (2)
Pregnancy, n	0 (0)	2 (0)	0 (0)	1(1)	0 (0)	1(1)	2(1)	0 (0)
(70) Sovostatus at								
Server n (9/)								
Serenagativa*	368 (77)	361 (76)	74 (72)	07 (02)	07 (50)	02(01)	787 (78)	167 (91)
Seronositivo*	300(77)	107(22)	74 (73) 28 (27)	57 (93) 6 (6)	97 (39) 66 (10)	רע) בע ר) ד	207(70)	$\frac{107}{30}$ (01)
No sereen bloods	92(19)	$\frac{107}{4}$ (23)	20(27)	0(0) 1(1)	00 (40) 1 (1)	$\frac{1}{2}$	$\frac{19(21)}{4(1)}$	30(14)
TNO SCIECII DIOOUS	17(4)	4(1)	U (U)	1(1)	1(1)	2 (Z)	4(1)	10(3)

803 \*13 CCP participants were excluded due to missing post-transfusion anti-S-RBD IgG levels.

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Extended Table 1 Segregation of time (early or late) and antibody level (high or low) to hospitalizations in both unvaccinated groups. Early is symptom onset to transfusion within 5 days and late is after 5 days. The antibody levels are measured by recipient anti-S-RBD IgG inverse dilutional titer and AUC as well as donor anti-S-RBD IgG titer and AUC along with virus neutralizations from donor with titers above the median and AUC at or above the geometric mean. Data are n (%). P values by Fisher's exact tests were calculated by comparing proportion of early and high hospitalizations/non-hospitalizations to the remaining CCP participants, to all unvaccinated controls and to the unvaccinated controls transfused within 5 days of symptom onset.

	Early/ high CCP	Early/ low CCP	Late/ high CCP	Late/ low CCP	Early/low and Late/high and low	Unvaccinated control plasma	Unvaccinated early control plasma
Recipient anti-S-RBD					p=0.03	p=0.001	p=0.00005
$IgGAUC \ge 150$	0 (0)	$\mathcal{F}(1, 0)$	((2,7))	((5,0))	1	1	$\frac{1}{24(11.0)}$
Hospitalized, n (%)	0(0)	5 (4.8) 104	6(3.7)	6 (5.9)	1 / (4.6)	36 (7.5)	24 (11.6)
lotal	102	104	164	102	370	4/9	207
Loc AUC > 229(					p=0.21	p=0.02	p=0.0007
IgG AUC <u>&gt;</u> 3280	1 (1)	1 (2 ()	((1 0))	5 (2 ()	15(40)	2((7.5))	24(11.6)
Total	1(1)	4 (5.0)	0 (4.8)	3 (3.0) 140	13 (4.0)	50 (7.5) 470	24 (11.0)
Donor nAb AUC >58	90	110	123	140	$\frac{3}{5}$	4/9	207
Hospitalized n (%)	0(0)	5 (5 6)	6 (3.8)	5(4.8)	p=0.010 16 (4 4)	p=0.0000 36 (7 5)	24(11.6)
Total	113	90	159	104	353	479	24 (11.0)
Donor EUROIMMUN	115	20	157	104	555	477	207
AU > 6					p=0.052	p=0.003	p=0.0002
Hospitalized, n (%)	0 (0)	5 (4.2)	5 (4.8)	6 (3.8)	16 (4.2)	36 (7.5)	24 (11.6)
Total	86	119	105	158	382	479	207
<b>Recipient anti-S-RBD</b>					n = 0.20	n = 0.06	n = 0.01
IgG titer>540					p=0.39	p=0.00	p=0.01
Hospitalized, n (%)	0 0)	5 (3.1)	3 (3.1)	9 (3.7)	17 (5.2)	36 (7.5)	24 (11.6)
Total	45	161	97	169	427	479	207
Donor anti-S-RBD					p=0.33	p=0.02	p=0.0011
IgG titer >4860			- / <b>-</b> .	- />	P 0.00	P 010=	p otooll
Hospitalized, n (%)	1 (1.1)	4 (3.5)	6 (5.3)	5 (3.3)	15 (3.9)	36 (7.5)	24 (11.6)
Total	91	115	114	151	380	479	207
Donor nAb titer <u>&gt;80</u>	0 (0)	- (10)		- (1 - 2)	p=0.03	p=0.001	p=0.00005
Hospitalized, n (%)	0(0)	5 (4.9)	6 (4.1)	5 (4.3)	16 (4.4)	36 (7.5)	24 (11.6)
	101	102	148	115	365	479	207
Donor EUROIMMUN BAU >600					p=0.052	p=0.003	p=0.0002
Hospitalized, n (%)	0 (0)	5 (4.2)	5 (4.8)	6 (3.8)	16 (4.2)	36 (7.5)	24 (11.6)
Total	86	119	105	158	382	479	207

Participant No.	Treatment group	Screen nasal viral load	Days from symptom onset to transfusion	Variant	Screen RBD Titer	Screen RBD AUC
1	Control	320	5	pre-Alpha	540	134
2	Control	320	4	pre-Alpha	4860	1605
3	Control	23271	4	pre-Alpha	540	87
4	Control	12168	3	Alpha	540	123
5	Control	498	9	Alpha	14580	4302
6	CCP	320	7	pre-Alpha	1620	406
7	CCP	4219	7	Alpha	1620	239

### Extended Table 2

Unvaccinated screen seropositive participants who were hospitalized

### Extended Table 3.

Full study participant characteristics during variant periods

	Pre-Alpha n (%)	Alpha n (%)	Delta n (%)	Total n (%)
Number	693	260	228	1181
Treatment				
ССР	349 (50)	128 (49)	115 (50)	592 (50)
Control	344 (50)	132 (51)	113 (50)	589 (50)
Median Age (IQR)	47 (35-58)	40 (31-49)	36 (29-47)	43 (32-54)
Mean Age	47	40	38	44
Age Category				
18-49 yr	388 (56)	197 (76)	185 (81)	770 (65)
50-85 yr	305 (44)	63 (24)	43 (19)	411 (35)
Sex				
Female	384 (55)	151 (58)	140 (61	675 (57)
Male	309 (45)	109 (42)	88 (39)	506 (43)
Race				
Asian	25 (4)	11 (4)	8 (4)	44 (4)
Black	78 (11)	45 (17)	40 (18)	163 (14)
American Indian	16 (2)	0 (0	1 (0)	17(1)
Pacific Islander	3 (0)	1 (0)	0 (0)	4 (0)
Other/Not Reported	8 (1)	2 (1)	6 (3)	16(1)
White	560 (81)	201 (77)	173 (76)	934 (79)
Hispanic or Latino	79 (11)	40 (15)	51 (22)	170 (14)
BMI Category				
BMI<30	395 (57)	139 (53)	145 (64)	679 (57)
BMI =>30	250 (36)	116 (45)	79 (35)	445 (38)
Any Comorbidity	310 (45)	98 (38)	51 (22)	459 (39)
Hypertension	199 (29)	52 (20)	25 (11)	276 (23)
Diabetes	72 (10)	18 (7)	9 (4)	99 (8)
Asthma	84 (12)	27 (10)	21 (9)	132 (11)
HIV	17 (2)	7 (3	1 (0	25 (2)
Pregnant	1 (0)	0 (0)	2 (1)	3 (0)
Vaccination Status at Screen				
Unvaccinated	632 (91)	200 (77)	79 (35)	911 (77)
Seronegative*	501 (82)	154 (77)	42 (54)	697 (78)
Seropositive*	110 (18)	46 (23)	36 (46)	192 (22)

No screening samples	21	0	1	22
Partly Vaccinated	20 (3)	28 (11)	9 (4)	57 (5)
Seronegative*	6 (30)	9 (32)	2 (22)	17 (30)
Seropositive*	14 (70)	19 (68)	7 (78)	40 (70)
Fully Vaccinated	0 (0)	21 (8)	138 (61)	159 (13)
Seronegative*	0 (0)	0 (0)	1 (1)	1(1)
Seropositive*	0 (0)	21 (100)	129 (99)	150 (99)
No screening samples	0	0	8	8
Vaccinated During Study	100 (14)	60 (23)	5 (2)	165 (14)
Hospitalized	41(6)	11 (4)	2 (1)	54 (5)
Seronegative*	35 (90)	8 (1-PV) (73)	1 (100)	44 (86)
Seropositive*	4 (10)	3 (27)	0	7 (14)
No screening samples	2	0	1	3

### Figures

Fig. 1 Screen seropositive participants and post-transfusion seronegative participants. A) Spearman correlation of unique donor anti-S-RBD titer and AUC (n=318) B) Unvaccinated and not hospitalized recipient screen seronegative anti-S-RBD AUC (n=338) with corresponding donor unit antibody levels transfused into matched recipients and C) Seronegative recipients (n=15) subsequently hospitalized after transfusion. D) Antibody levels in the 199 (21%) of unvaccinated, but seropositive recipients at screening before transfusion in the jointly stratified groups. There were no significant differences in screening antibody levels between control and CCP recipients. E) The same 199 unvaccinated seropositive participant antibody levels stratified by days from symptom onset to transfusion. All point estimates are shown with error bars indicating the geometric mean with geometric SD. Numbers above the x-axis represent geometric mean (GM), the number in the group (n). \*\*\*p<0.001 by non-parametric Kruskal-Wallis multiple comparisons test with Dunn's post-hoc corrections or Mann-Whitney test. The dashed line in B-E represents the upper post-transfusion 150 AUC recipient's threshold.



Fig. 2 **CCP** participant post-transfusion recipient antibody levels stratified by duration from symptom onset to transfusion. Post-transfusion recipient antibody levels segregated by duration from symptom onset to transfusion. Recipient post-transfusion anti-S-RBD IgG AUC levels of subsequently hospitalized (red dots) and non-hospitalized within 28 days from transfusion (blue dots) were plotted by days from symptom onset to transfusion, segregating by early ( $\leq$ 5 days of symptom onset) or late (>5 days after symptom onset) transfusion and high ( $\geq$ 150 AUC) or low (<150 AUC) antibody levels. Early transfusion with high antibody levels measured 30 minutes post-transfusion (D0) among unvaccinated, CCP recipients had the lowest proportion of hospitalizations (0%) whereas late transfusion with low antibody levels had the greatest proportion of hospitalizations (5.9%). Numbers in each quadrant represent the proportion of hospitalization and sample size for each category.



Fig. 3 **Mapped recipients with donor unit antibody levels stratified by duration from symptom onset to transfusion.** Donor unit antibody levels that correspond with unvaccinated, CCP recipient antibody data segregated by duration from symptom onset to transfusion. A) Early recipients with donor anti-S-RBD IgG AUC levels over 3286 were found to correlate with the lowest proportion of hospitalizations (1.1%). B) Early recipients with donor neutralization antibody (nAb) AUC levels greater than the geometric mean of 58 also had the lowest proportion of hospitalizations (0%). The percentage of recipients hospitalized is indicated in each quadrant of the graph. Numbers in each quadrant represent the proportion of hospitalization and sample size for each category.



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Fig. 4 Screen pre-transfusion nasal swab viral load determinations segregated by A) the unvaccinated jointly stratified early/late or high/low groups. B) CCP or control plasma administration in those hospitalized or not hospitalized. Unvaccinated pre-Delta period participants were segregated into C) seronegative and D) seropositive populations by symptom duration in days to transfusion. Numbers above the x-axis represent geometric mean (GM), the number in the group (n), and percentage of PCR-positive samples (%) for each category. \*\*\* p<0.001, \*\* p=0.002 and \* p=0.033 by non-parametric Kruskal-Wallis multiple comparisons test with Dunn's post-hoc corrections. All point estimates are shown with error bars indicating the geometric mean with geometric SD. The dashed lines indicate samples below the limit of detection of 330 viral copies.



# Fig. 5 Antibody levels over three months past transfusion in those hospitalized or not further segregated by CCP or control plasma. Log<sub>10</sub>-transformed antibody levels up to 90 days post-transfusion were segregated by treatment and hospitalization status of recipients using a linear mixed effects regression model, adjusted for variant, age, sex, and BMI. CCP recipients have greater AUC levels on D0, but by D14, the hospitalized recipients have greater AUC levels than non-hospitalized. The average days from transfusion to hospitalization was 3.05 days, with all post-transfusion hospitalizations occurring between D0 and D14. The dashed line represents the log-transformed cutoff (1.924) for seropositivity. This diagnostic threshold equals the anti-S-RBD IgG log<sub>10</sub>-transformed value at a 180 titer.



Group	Comparison	Timepoint	Contrast	P Value
ССР	Hospitalized vs. Non-Hospitalized	D0	-0.144	0.573
Control	Hospitalized vs. Non-Hospitalized	D0	-0.243	0.180
Hospitalized	CCP vs. Control	D0	1.23	< 0.001
Non-Hospitalized	CCP vs. Control	D0	1.13	< 0.001
ССР	Hospitalized vs. Non-Hospitalized	D14	0.819	0.003
Control	Hospitalized vs. Non-Hospitalized	D14	0.686	0.001
Hospitalized	CCP vs. Control	D14	0.129	0.702
Non-Hospitalized	CCP vs. Control	D14	-0.003	0.963
ССР	Hospitalized vs. Non-Hospitalized	D28	0.649	0.020
Control	Hospitalized vs. Non-Hospitalized	D28	0.576	0.005
Hospitalized	CCP vs. Control	D28	0.061	0.856
Non-Hospitalized	CCP vs. Control	D28	-0.012	0.857

### **Extended Figures**

Extended Fig. 1 **Post-transfusion recipient antibody levels stratified by duration from symptom onset to transfusion.** Anti-S-RBD IgG titer levels 30 minutes post-transfusion for unvaccinated CCP recipients are plotted by recipients' days from symptom onset to transfusion, colored by hospitalized (red dots) or non-hospitalized (blue dots). Early ( $\leq$ 5 days of symptom onset) or late (>5 days after symptom onset) transfusion is indicated by a dashed line on the x-axis. High (>540 AUC) or low ( $\leq$ 540 AUC) levels of antibody are indicated by the dashed line on the y-axis. CCP recipients with early transfusion with high measured anti-S-RBD IgG titer levels shortly after transfusion had the lowest proportion of hospitalization (0%) whereas those with late transfusion and low measured antibody levels had the greatest (5.3%), consistent with the data in AUC units.



Extended Fig. 2 Recipients stratified by duration from symptom onset to transfusion matched to donor unit titer antibody levels. Donor unit antibody levels that correspond with unvaccinated, CCP recipient antibody data segregated by duration from symptom onset to transfusion. A) Early recipients with donor anti-S-RBD IgG titer levels over 4860 were found to correlate with the lowest proportion of hospitalizations (1.1%). B) Early recipients with donor neutralization antibody (nAb) titer levels greater than the median of 80 also had the lowest proportion of hospitalizations (0%). The percentage of recipients hospitalized is indicated in each quadrant of the graph. Numbers in each quadrant represent the proportion of hospitalization and sample size for each category.



Extended Fig. 3 Recipients segregated by duration from symptom onset to transfusion matched to donor unit antibody levels measured by EUROIMMUN. Donor unit antibody levels that correspond with unvaccinated, CCP recipient antibody data segregated by duration from symptom onset to transfusion. A) Early recipients with donor EUROIMMUN over mean of 6 AU were found to correlate with the lowest proportion of hospitalizations (0%). B) Early recipients with EUROIMMUN BAU/mL greater than the geometric mean of 600 also had the lowest proportion of hospitalizations (0%). The percentage of recipients hospitalized is indicated in each quadrant of the graph. Numbers in each quadrant represent the proportion of hospitalization and sample size for each category.



Extended Fig 4 Antibody levels and viral loads by variant period A) Unvaccinated and fully vaccinated, but seropositive recipient antibody levels (anti-S-RBD AUC) at screening pre-transfusion as well as fully vaccinated seropositive participants. B) Nasal swab viral load determinations for both vaccinated and unvaccinated segregated by B) CCP or control plasma administration C) serostatus of vaccinated and unvaccinated participants. \*\*\*p<0.001 and \*p=0.033 by non-parametric Kruskal-Wallis multiple comparisons test with Dunn's post-hoc corrections. All point estimates are shown with error bars indicating the geometric mean with geometric SD. Numbers above the x-axis in B and C represent the geometric means (GM), the number in the group (n) and percentage of samples PCR positive (%). The dashed line in A represents the upper portion post-transfusion 150 AUC recipient's threshold. The dashed lines indicate samples below the limit of detection of 330 viral copies.



Extended Fig. 5 Screen viral loads during the Delta period. During the Delta period there were only 77 (34%) participants unvaccinated to segregate into A) seronegative (n=42) and B) seropositive (n=35) groups by duration from symptom onset to transfusion. C) During the Delta period fully vaccinated participants (n=128) were antibody positive with an additional single recipient fully vaccinated, but seronegative with nasal viral load on day 0 of 320 (not graphed). All point estimates are shown with error bars indicating the geometric mean with geometric SD. Numbers above the x-axis represent geometric means (GM), the number in the group (n), and the percentage of samples PCR positive (%). The dashed lines indicate samples below the limit of detection of 330 viral copies.



Extended Fig. 6 Antibody levels three months post-transfusion. recipients anti-S-RBD AUC antibody levels at Day 90 post-transfusion (excluding the 165 vaccinated during the follow-up visits) separated by A) Jointly stratified unvaccinated early and late treatment with high or low post-transfusion antibody levels B) both CCP and control recipients by SARS-CoV-2 variant period and vaccination status. Clear squares indicate donor, red squares indicate hospitalized recipients, and gray squares indicate both CCP and control non-hospitalized recipients. \*\*\*p<0.001 and \*p=0.033 by non-parametric Kruskal-Wallis multiple comparisons test with Dunn's post-hoc corrections. All point estimates are shown with error bars indicating the geometric mean with geometric SD. Numbers above the x-axis represent each category's geometric mean (GM) and number in the group (n). The dashed line in A, B represents the upper portion post-transfusion 150 AUC recipient's threshold, GM donor 3286 AUC and GM donor 6678 titer.

