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## Vitamin D insufficiency in COVID-19, influenza A and critical illness survivors: a cross-sectional study

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**Vitamin D insufficiency in COVID-19, influenza A and critical illness survivors: a cross-sectional study**

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**ABSTRACT**

**Objectives:** The steroid hormone vitamin D has roles in immunomodulation and bone health. Insufficiency is associated with susceptibility to respiratory infections. We report 25(OH)D measurements in hospitalised people with COVID-19 and influenza A, and survivors of critical illness, to test the hypotheses that vitamin D insufficiency scales with illness severity and persists in survivors.

**Design:** Cross-sectional study

**Setting and Participants:** Plasma was obtained from 295 hospitalised people with COVID-19 (ISARIC/WHO CCP-UK study), 93 with influenza A (MOSAIC study, during the 2009-10 H1N1 pandemic), and 139 survivors of non-selected critical illness (prior to COVID-19 pandemic). Total 25(OH)D was measured by liquid chromatography-tandem mass spectrometry. Free 25(OH)D was measured by ELISA in COVID-19 samples.

**Outcome measures:** Receipt of invasive mechanical ventilation (IMV) and in-hospital mortality.

**Results:** Vitamin D insufficiency (total 25(OH)D 25-50 nmol/L) and deficiency (<25nmol/L) were prevalent in COVID-19 (29.3% and 44.4% respectively), influenza A (47.3% and 37.6%) and critical illness survivors (30.2% and 56.8%). In COVID-19 and influenza A, total 25(OH)D measured early in illness was lower in patients who received IMV (19.6 vs. 31.9 nmol/L,  $p<0.0001$  and 22.9 vs. 31.1 nmol/L,  $p=0.0009$  respectively). In COVID-19, biologically-active free 25(OH)D correlated with total 25(OH)D, was lower in patients who received IMV, but was not associated with selected circulating inflammatory mediators.

**Conclusions:** Vitamin D deficiency/insufficiency was present in the majority of hospitalised patients with COVID-19 or influenza A, correlated with severity and persisted in critical illness survivors at concentrations expected to disrupt bone metabolism. These findings support early supplementation trials to determine if insufficiency is causal in progression to severe disease, and investigation of longer-term bone health outcomes.

**KEYWORDS:** Vitamin D; Free 25-hydroxyvitamin-D; COVID-19; critical illness; influenza A.

### STRENGTHS AND LIMITATIONS OF THIS STUDY

- We report 25(OH)D liquid chromatography-tandem mass spectrometry measurements in well characterised hospitalised people with COVID-19, influenza A and survivors of non-selected critical illness.
- For the first time, we report measurement of biologically active free 25(OH)D in addition to total in COVID-19.
- Samples from people with COVID-19 and influenza A were obtained early in the course of disease.
- The association of 25(OH)D with outcomes in COVID-19 and influenza A was assessed with binary logistic regression multivariable models to correct for other known relevant covariates.
- The observational nature of the study means it is not clear whether vitamin D status led to poor clinical outcome or was a consequence of illness severity.



## INTRODUCTION

Vitamin D metabolites contribute to bone metabolism, calcium homeostasis and immunomodulation.

Vitamin D is a steroid pre-pro-hormone which is converted to the main circulating form 25-hydroxy-vitamin D (25(OH)D), and subsequently to the active hormone 1,25 dihydroxy-vitamin D (1,25(OH)<sub>2</sub>D).

This second activation step occurs in the kidney, modulated by parathyroid hormone (PTH), for “endocrine” calciotropic effects, and also under local control within extra-renal tissues, including immune cells, for direct action. These “intracrine” actions on immune cells mediate anti-microbial and anti-inflammatory effects [1]. The majority of 25(OH)D circulates bound to proteins, principally vitamin D binding protein (85-90%), and the relatively small unbound (“free”) fraction is available to immune cells [2].

In the context of infectious diseases, vitamin D insufficiency (routinely determined by total 25(OH)D measurement) is associated with increased incidence and severity of respiratory tract infections [3–5] including coronavirus disease 2019 (COVID-19) [6–7]. A geographic association between vitamin D deficiency prevalence and COVID-19 incidence and mortality has been reported [8]. Free 25(OH)D has not yet been investigated in COVID-19, but this is required to fully understand vitamin D homeostasis and any effects on systemic inflammation. Clinical trials of vitamin D supplementation in respiratory diseases have returned mixed results [9–12]. Potential beneficial effects of vitamin D supplementation may be pathogen-specific, and dependent upon timing and route of administration. In addition to an interest in modifying acute illness outcomes, longer term effects on bone health warrant consideration as critical illness is associated with loss of bone mineral density after recovery [13].

In this cross-sectional study, we report measurements of total and free 25(OH)D in hospitalised people with COVID-19, and total 25(OH)D in hospitalised people with influenza A and survivors of critical

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3 illness. We use these three datasets to test the hypotheses that vitamin D insufficiency in severe  
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5 respiratory virus infections scales with severity and persists in survivors of critical illness.  
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## METHODS

### Patients and sampling

#### *COVID-19*

The ISARIC WHO Clinical Characterization Protocol for Severe Emerging Infections in the UK (CCP-UK) is an ongoing prospective cohort study of hospitalized patients with COVID-19, which is recruiting in 308 hospitals in England, Scotland, Wales and Northern Ireland (National Institute for Health Research Clinical Research Network Central Portfolio Management System ID: 14152), delivered by the ISARIC Coronavirus Clinical Characterisation Consortium (ISARIC4C) investigators. The protocol, revision history, case report form and consent forms are available online at [isaric4c/net](https://www.isaric4c.net). The ISARIC/WHO CCP-UK study was registered at <https://www.isrctn.com/ISRCTN66726260> and designated an Urgent Public Health Research Study by the National Institute for Health Research UK. A prespecified case report form was used to collect data on patient characteristics, medical interventions received and outcomes, as previously reported [14].

#### *Influenza A*

Hospitalised patients with influenza A were recruited between 2009 and 2010 (the first and second H1N1 pandemic waves) and 2011 (the first post-pandemic season) by the MOSAIC (Mechanisms of Severe Acute Influenza Consortium) investigators.

#### *Non-selected critical illness survivors*

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3 We include a post-hoc analysis of the RECOVER trial of intensive rehabilitation after critical illness [15].  
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5 Full eligibility criteria have been published previously; briefly, adults were recruited who had received  
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We include a post-hoc analysis of the RECOVER trial of intensive rehabilitation after critical illness [15]. Full eligibility criteria have been published previously; briefly, adults were recruited who had received invasive mechanical ventilation (IMV) for at least 48 hours and were considered well enough for discharge from the ICU. Patients gave additional consent for participation in a biomarker sub-study and blood samples were collected at ICU discharge [16].

### *Ethics Approvals*

Ethical approval for the ISARIC/WHO CCP-UK study (COVID-19) was given by the South Central Oxford C Research Ethics Committee in England (13/SC/0149), the Scotland A Research Ethics Committee (20/SS/0028), and the WHO Ethics Review Committee (RPC571 and RPC572, 25 April 2013). Ethical approval for the MOSAIC study (influenza A) was given by the NHS National Research Ethics Service, Outer West London Research Ethics Committee (09/H0709/52, 09/MRE00/67), as previously reported [17]. Participants gave informed consent.

### **LC-MS/MS methods for total 25(OH)D analysis**

EDTA plasma concentrations of 25(OH)D<sub>2</sub> and 25(OH)D<sub>3</sub> isoforms were measured by liquid chromatography tandem mass spectrometry (LC-MS/MS) and summed to derive the total 25(OH)D concentrations presented in the results. For patients with COVID-19 and critical illness survivors, analysis was performed by the Vitamin D Animal Laboratory (VitDAL) using an assay which has been certified as proficient by the international Vitamin D Quality Assessment Scheme (DEQAS) and described in detail in an earlier manuscript, using 200µL plasma [18]. For patients with influenza A, analysis was performed using another LC-MS/MS method at a separate clinical biochemistry laboratory. Full LC-MS/MS methods are presented in Supplementary Table 1.

### Definition of vitamin D status

In addition to the absolute total 25(OH)D concentration, the relationship between vitamin D status and outcomes is often explored using a total 25(OH)D cut off of 50 nmol/L to define populations that are vitamin D sufficient [19]. In this study, total 25(OH)D >50nmol/L is reported as “sufficient”, 25–50 nmol/L as “insufficient” and <25 nmol/L as “deficient” (see Supplementary Methods).

### Free 25(OH)D ELISA

Free 25(OH)D was measured using the Free 25OH Vitamin D ELISA (DIAsource ImmunoAssays® S.A, Belgium), following manufacturer’s instructions, using 10µL of serum. Absorbance was measured at 450nm against a reference filter set at 630nm using the Tecan Sunrise™ Microplate Reader (TECAN). GraphPad Prism (version 7.0e for Mac OS X) was used to perform a 4-parameter logistic function to create the calibration curve in order to read the mean concentration of duplicate samples.

### Statistical analysis

For univariable analyses, the Shapiro-Wilk test was used to test for normal data distribution then appropriate tests, specified in the text, were used for comparisons. Associations between covariates and outcomes in COVID-19 and influenza A were assessed with binary logistic regression multivariable models. Sex, age, illness duration at time of sampling and comorbidity count were chosen as covariates. The comorbidity count was derived from the same comorbidities (Table 1) from the two cohorts. To allow for potential non-linear relationship between predictors and the probability of an outcome, the models included smoothed thin plate regression spline terms for age, illness duration at time of sampling, comorbidity count and 25(OH)D concentrations. Multivariable models were estimated using the *gam()* function of the R *mgcv* package using the default, thin plate regression

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3 smoothers [20,21]. The upper limit of smoother dimensionality was set to 9 for all variables excluding  
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5 the comorbidity count where it was set to 7 as this variable was discrete with 7 levels. Smoother  
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7 parameters were estimated with restricted maximum likelihood. 25(OH)D concentrations were below  
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9 the limit of detection (LOD) for 92 patients (free) and 2 patients (total) in the COVID-19 cohort. For  
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11 the regression models, 25(OH)D values for these patients were imputed as the LOD for the relevant  
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13 analyte divided by the square root of two [22]. As this is a commonly used but arbitrary method the  
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15 regression analysis was repeated using zero and the limit of detection as imputed values to assess  
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17 sensitivity of the result to this assumption. Effects for categorical covariates are reported as odds  
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19 ratios; smoothed continuous covariates are reported graphically. Statistical analyses were conducted  
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21 in R [23] using the *mgcv*, *tidyverse* and *gratia* packages.  
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## RESULTS

### Patient characteristics

Samples were obtained from 259 people hospitalised due to COVID-19 and 93 people hospitalised due to influenza A. Samples were also obtained from 139 critical illness survivors (prior to the COVID-19 pandemic) at time of ICU discharge. Patient characteristics, including sampling time after symptom onset, are shown in Table 1. For COVID-19 patients, samples were obtained a median of 3 days (IQR 2-6) after hospital admission. Patients with influenza A were younger, more likely to be female and more likely to have asthma compared to the other cohorts. Receipt of IMV and in-hospital mortality did not differ between COVID-19 and influenza A. Details on ethnicity were available for the COVID-19 and influenza A cohorts. No differences in total 25(OH)D were observed between ethnic groups, but only small numbers of participants were from non-white groups (COVID-19 65/259, influenza A 25/93; Supplementary Figure 1). All samples from people with influenza A were collected between the months of November to February (63.4% in December), whereas all samples from people with COVID-19 were collected between March to June (67.6% in April). However, the distribution of total 25(OH)D measurements did not differ when stratified by month (Supplementary Figure 2). Total 25(OH)D concentration was lower in all three patient cohorts when compared to healthy controls (n=36; Supplementary Figure 3).

**Table 1: Characteristics of included patients**

Characteristic	COVID-19 (n=259)	Influenza A (n=93)	Critical illness	
			survivors (n=139)	p-value <sup>a</sup>
<b>Demographics</b>				
Age at admission, years <sup>b</sup>	63 (52-73)	43 (29-50)	63 (53-70)	<0.0001
Male sex	175 (67.6)	47 (50.5)	85 (61.2)	0.01
Day of illness at time of sampling <sup>b</sup>	10 (6-16)	7 (4-11)	11 (6-18) <sup>c</sup>	<0.001 <sup>d</sup>
<b>Co-morbidities</b>				
Diabetes mellitus	66 (25.5)	10 (10.8)	23 (16.5)	0.005
Chronic cardiac disease	57 (22.4)	17 (18.3)	15 (10.8)	0.02
Obesity, clinician defined	44 (18.7)	23 (24.7)	28 (20.1)	0.3
Asthma	41 (16.1)	33 (35.5)	26 (18.7)	0.0002
Chronic lung disease, not asthma	35 (13.8)	12 (12.9)	24 (17.3)	0.5
Chronic kidney disease	25 (9.9)	4 (4.3)	NA	0.1
Neoplasia	14 (5.6)	9 (9.7)	NA	0.2
Moderate or severe liver disease	3 (1.2)	4 (4.3)	NA	0.08
<b>Illness severity</b>				
Admission to critical care	106 (40.9)	32 (34.4)	139 (100)	0.3 <sup>d</sup>
Invasive mechanical ventilation	67 (25.9)	29 (31.2)	139 (100)	0.3 <sup>d</sup>
In-hospital mortality	52 (20.1)	12 (12.9)	4 (2.9) <sup>e</sup>	0.2 <sup>d</sup>
<b>Total 25(OH)D status</b>				
Sufficient (>50 nmol/L)	68 (26.3)	14 (15.1)	18 (12.9)	
Insufficient (25-50 nmol/L)	76 (29.3)	44 (47.3)	42 (30.2)	0.0002
Deficient (<25 nmol/L)	115 (44.4)	35 (37.6)	79 (56.8)	

Data are number (%) unless otherwise stated.

<sup>a</sup>Kruskal-Wallis, Mann-Whitney or Chi<sup>2</sup> test as appropriate

<sup>b</sup>median (interquartile range)

<sup>c</sup>length of ICU stay

<sup>d</sup>comparing COVID-19 and influenza A

<sup>e</sup>death after discharge from ICU



### Total 25(OH)D correlates with severity in COVID-19

The majority of COVID-19 patients had total 25(OH)D concentrations indicative of vitamin D insufficiency (29.3%) or deficiency (44.4%; Table 1). Total 25(OH)D was lower in men than women (median 26.8 [IQR 14.1-47.4] vs. 31.7 [20.1-63.8] nmol/L,  $p=0.01$ ) and weakly positively correlated with increased age (Pearson  $r$  0.25,  $p<0.0001$ ).

When stratified by receipt of IMV as a marker of illness severity, total 25(OH)D differed significantly with a median concentration of 19.6 nmol/L (IQR 12.6-32.3) in patients receiving IMV compared to 31.9 nmol/L (IQR 20.0-58.3) in the remainder of the cohort ( $p<0.0001$ , Figure 1A). When total 25(OH)D was stratified by associated vitamin D status, patients receiving IMV were more likely to be insufficient/deficient (Figure 1A). Amongst patients who received IMV, 64.2% (43/67) were deficient and 26.9% (18/67) were insufficient. Total 25(OH)D concentration was also associated with in-hospital mortality (median 23.2 nmol/L [IQR 15.4-39.9] in non-survivors vs. 29.5 nmol/L [IQR 17.2-55.4] in survivors,  $p=0.01$ ).

Obesity is a risk factor for severity and mortality in COVID-19, and can be associated with vitamin D deficiency [14]. However, there was no difference in total 25(OH)D concentration between patients with/without clinician defined obesity (Supplementary Figure 4). Inflammatory mediator measurements had previously been performed on plasma samples from 66 patients included in this study [24]. Correlation matrix analysis demonstrated that total 25(OH)D was not significantly associated with circulating markers of systemic inflammation demonstrated to be involved in COVID-19 pathogenesis (Supplementary Figure 5).

Multivariable analyses confirmed that total 25(OH)D concentration and vitamin D status were both independently and negatively associated with receipt of IMV (Table 2, Figure 2A, Supplementary Table

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3 2). Two patients had total 25(OH)D concentrations below the LOD; using zero and LOD, instead of LOD  
4 divided by the square root of two, had no substantive effect on significance of covariates or their  
5 effect sizes. Vitamin D status was also independently associated with in-hospital mortality, but total  
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10 25(OH)D concentration was not (Supplementary Table 2; Supplementary Figure 6A).  
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**Table 2: Multivariable analyses of 25(OH)D concentration and outcomes**

Variable	Odds ratio	p-value
<b>Total 25(OH)D</b>		
<i>COVID-19: receipt of IMV</i>		
Male sex	2.33 (1.13-4.78)	<b>0.022</b>
Comorbidity count <sup>a</sup>	-	0.487
<b>Total 25(OH)D<sup>a</sup></b>	-	<b>0.001</b>
Day of illness <sup>a</sup>	-	0.386
Age <sup>a</sup>	-	0.061
<i>Influenza A: receipt of IMV</i>		
Male sex	2.22 (0.54 – 9.06)	0.27
Comorbidity count <sup>a</sup>	-	0.15
<b>Total 25(OH)D<sup>a</sup></b>	-	<b>0.016</b>
Day of illness <sup>a</sup>	-	<b>0.001</b>
Age <sup>a</sup>	-	0.19
<b>Free 25(OH)D</b>		
<i>COVID-19: receipt of IMV</i>		
Male sex	2.53 (1.24-5.314)	<b>0.011</b>
Comorbidity count <sup>a</sup>	-	0.605
<b>Free 25(OH)D<sup>a</sup></b>	-	<b>0.006</b>
Day of illness <sup>a</sup>	-	0.577
Age <sup>a</sup>	-	0.053
<i>COVID-19: in-hospital mortality</i>		
Male sex	2.78 (1.25-6.17)	<b>0.012</b>
Comorbidity count <sup>a</sup>	-	<b>0.022</b>
<b>Free 25(OH)D<sup>a</sup></b>	-	<b>0.025</b>
Day of illness <sup>a</sup>	-	0.795
Age <sup>a</sup>	-	<b>0.041</b>

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 IMV: invasive mechanical ventilation

<sup>a</sup>smoothed

### **Total 25(OH)D correlates with severity in influenza A**

We then extended these observations to total 25(OH)D concentrations measured in people hospitalised with influenza A. Total 25(OH)D was not associated with age ( $p=0.1$ ) or sex ( $p=0.8$ ). Similar to our findings in COVID-19, the majority of patients had total 25(OH)D concentrations indicative of vitamin D insufficiency (47.3%) or deficiency (37.6%; Table 1). When stratified by receipt of IMV, total 25(OH)D was lower in patients receiving IMV (median 22.9 nmol/L, IQR 18.0-29.8) compared to the remainder of the cohort (median 31.1 nmol/L, IQR 23.8-45.2,  $p=0.0009$ ) and these patients were more likely to be vitamin D insufficient/deficient (Figure 1B). Total 25(OH)D was lower in non-survivors compared to survivors (median 22.1 nmol/L [IQR 17.6-34.1] vs. 29.2 nmol/L [IQR 20.6-38.5]) but this was not statistically significant ( $p=0.2$ ). Multivariable analysis confirmed an independent negative association between total 25(OH)D and receipt of IMV but not in-hospital mortality (Figure 2B, Table 2; Supplementary Table 3; Supplementary Figure 6B).

### **Vitamin D deficiency persists in survivors of critical illness**

In survivors of non-selected critical illness, at the time of ICU discharge the median total 25(OH)D concentration was 22.9 nmol/L (IQR 14.6-34.6), similar to concentrations in patients with COVID-19/influenza A who required IMV or did not survive. The majority of patients had total 25(OH)D concentrations indicative of vitamin D deficiency (56.8%) or insufficiency (30.2%; Figure 1C and Table 1). Total 25(OH)D concentration was not associated with age ( $p=0.7$ ), sex ( $p=0.7$ ) or length of ICU stay ( $p=0.8$ ). Measurements were not available from earlier in these patients' illnesses.

### **Free 25(OH)D correlates with severity in COVID-19**

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3 In patients with COVID-19, we found a strong correlation between free and total 25(OH)D  
4 concentrations ( $r=0.79$ ,  $p<0.0001$ ) (Figure 3A). Free 25(OH)D was lower in patients receiving IMV  
5 (median 2.4 pg/mL [IQR 2.4-3.4] vs. 3.6 pg/mL [IQR 2.4-5.7],  $p<0.0001$ ; Figure 3B) but was not  
6 statistically different between survivors and non-survivors on univariable analysis (median 2.8 pg/mL  
7 [IQR 2.4-4.4] vs. 3.3 pg/mL [IQR 2.4-5.3],  $p=0.2$ ). In multivariable analysis, free 25(OH)D was negatively  
8 associated with both receipt of IMV and in-hospital mortality (Figure 2B-D, Table 2). Free 25(OH)D was  
9 not associated with plasma inflammatory mediator concentrations (Supplementary Figure 5).  
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## DISCUSSION

Vitamin D insufficiency was prevalent and scaled with severity in patients with COVID-19 and influenza A, and insufficiency persisted in survivors of critical illness. 73% of COVID-19 patients, 84% of influenza A patients and 87% of critical illness survivors were vitamin D insufficient/deficient, determined by total 25(OH)D measurement. We demonstrate evidence of a strong association between vitamin D status (insufficiency/deficiency) and both COVID-19 severity (receipt of IMV) and in-hospital mortality, with relevant confounders such as sex, age, comorbidities and day of illness adjusted for. This observation was replicated in influenza A but the smaller sample size (n=93 compared to 259) limited multivariable analyses. For the first time, we demonstrate a similar strong negative association between free 25(OH)D and COVID-19 disease severity and mortality. The results from this study extend earlier findings from other observational studies reporting associations between vitamin D status and SARS-CoV-2 infection and COVID-19 outcome [6,7,25–27].

Vitamin D may beneficially modulate the host response against SARS-CoV-2 via intracrine immune signalling. Vitamin D enhances intracellular pathogen clearance, primarily via the induction of autophagy [28]. Importantly, the ability of macrophages to produce cathelicidin, which has anti-viral activity against influenza virus and respiratory syncytial virus, correlates with circulating 25(OH)D concentrations [29]. Although anti-viral effects of vitamin D have not yet been demonstrated *in vitro* for SARS-CoV-2, they have been demonstrated for other bacterial and viral pathogens [30,31]. Consistent with vitamin D having a role in local immunomodulation, neither free nor total 25(OH)D correlated with circulating markers of systemic inflammation involved in COVID-19 pathogenesis (including CRP, IL-6 and GM-CSF).

Evidence for the importance of free versus total 25(OH)D in relation to the mechanisms by which vitamin D exerts antimicrobial and anti-inflammatory functions have been demonstrated [32,33]. We

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3 now demonstrate that free 25(OH)D was negatively associated with COVID-19 severity and in-hospital  
4 mortality. Studies directly measuring free 25(OH)D and immune responses to infection or during  
5 critical illness are limited. In a study of 30 critically ill patients, Han et al (2017) showed that  
6 supplementation with high dose vitamin D increased free 25(OH)D and plasma cathelicidin  
7 concentrations [34]. Another study of 30 patients with sepsis reported similar results when they  
8 examined the effects of vitamin D supplementation on bioavailable (combined albumin-bound and  
9 free fraction) 25(OH)D and cathelicidin concentrations [35]. Together, these findings suggest that low  
10 concentrations of free 25(OH)D may reduce the vitamin D-induced antimicrobial and anti-  
11 inflammatory response, compromising immune defences.  
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25 We found that 30.2% of patients surviving critical illness and requiring IMV (prior to the COVID-19  
26 pandemic) were vitamin D insufficient and 56.8% were deficient. Vitamin D deficiency is common in  
27 critical illness with a reported prevalence of between 40-70% in observational studies of both adults  
28 and children worldwide [36,37]. Although some patients may enter ICU in a deficient state due to pre-  
29 existing disease and malnutrition, vitamin D metabolism is dysregulated in critical illness [38] and  
30 concentrations fall rapidly after ICU admission [39]. Furthermore, vitamin D insufficiency/deficiency  
31 has been associated with a range of poor outcomes in critical illness [37,40–42]. Vitamin D  
32 insufficiency leads to secondary hyperparathyroidism and a concentration of 50 nmol/L total 25(OH)D  
33 is required for optimum PTH concentrations [43]. 87% of the critical illness survivors had total 25(OH)D  
34 <50 nmol/L, which would be associated with secondary hyperparathyroidism and the potential for  
35 associated loss of bone mineral density. Critical illness survivors suffer accelerated loss of bone  
36 mineral density in the year after ICU discharge (compared to matched controls) and increased 10-year  
37 fracture risk [13]. Our findings implicate vitamin D insufficiency in this process.  
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57 There is evidence that vitamin D supplementation can improve circulating total 25(OH)D  
58 concentrations in critically ill patients [34,35,44], but evidence of a beneficial effect on outcomes is  
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3 less clear. High-dose vitamin D supplementation in COVID-19 [12] and critical illness [44] has been  
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5 shown to increase plasma 25(OH)D concentrations 7-days post-supplementation but no significant  
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7 reduction in the length of hospital stay or acute outcomes including in-hospital mortality, admission  
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9 to ICU or requirement for IMV were demonstrated [12,44,45]. Longer term outcomes such as bone  
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11 health have not been evaluated. Conversely, a report of an open-label randomized trial in COVID-19  
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13 patients showed that those who were given high-dose 25(OH)D3 (instead of vitamin D3 as in the above  
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15 mentioned studies) on admission and then subsequent doses on days 3, 7 and then weekly, were less  
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17 likely to require ICU admission [46]. We identified that vitamin D insufficiency was present early in the  
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19 course of COVID-19 and influenza A (10 and 7 days after symptom onset respectively) indicating that  
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21 timing of supplementation may be an important factor when designing future supplementation  
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23 studies. We propose that future studies examining effects on disease progression should investigate  
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25 the effects of vitamin D supplementation given earlier in the course of disease, closer to symptom  
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27 onset rather than after hospitalisation. The longer-term effects of persistent vitamin D  
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29 insufficiency/deficiency in survivors of critical illness also requires further investigation especially in  
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31 the context of bone health which could be independently evaluated using sequential measurement of  
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33 bone turnover markers and serum PTH.  
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41 In conclusion, vitamin D deficiency/insufficiency was present in the majority of hospitalised patients  
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43 with COVID-19 or influenza A and scaled with severity, highlighting that reduced concentrations of  
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45 vitamin D is common to these disease states and distinct patient cohorts. For the first time, free and  
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47 total 25(OH)D were studied in COVID-19 demonstrating consistent results. It is not clear whether  
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49 vitamin D status led to poor clinical outcome or was a consequence of illness severity. Randomised  
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51 trials will be necessary to determine whether a causal relationship exists between vitamin D early in  
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53 the course of disease and development of critical illness. Since vitamin D deficiency/insufficiency  
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55 persisted at concentrations expected to disrupt bone metabolism in critical illness survivors,  
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57 investigation of longer-term bone health outcomes is also warranted.  
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### 32 33 34 **DATA SHARING**

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36 Access to all data and samples collected by ISARIC4C are controlled by an Independent Data and  
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38 Materials Access Committee composed of representatives of research funders, academia, clinical  
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40 medicine, public health, and industry. The application process for access to the data is available on  
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42 the ISARIC4C website (<https://isaric4c.net>).  
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**FIGURE CAPTIONS****Figure 1: Total 25(OH)D in COVID-19, influenza A and survivors of critical illness**

Violin plots of total 25(OH)D concentrations (nmol/L). The solid line within the plot represents the median and the dashed lines represent the interquartile range. The dotted lines on the y-axis represent the thresholds for total vitamin D insufficiency (25-50nmol/L) and deficiency (<25nmol/L). Patients with (A) COVID-19 (n=295) and (B) influenza A (from 2009 H1N1 pandemic, n=93) are stratified by receipt of invasive mechanical ventilation. Groups are compared by Mann-Whitney test. The stacked bar charts represent the proportion of patients in each sub-group with sufficient (green), insufficient (orange), or deficient (red) total vitamin D status, compared by Chi-squared test. (C) Non-selected critical illness survivors (n=139, recruited prior to the COVID-19 pandemic) at the time of ICU discharge.

**Figure 2: Total and free 25(OH)D and outcomes in COVID-19 and influenza A**

Smoothed predicted probability of outcomes (invasive mechanical ventilation or in-hospital mortality) vs. total or free 25(OH)D concentration (with other co-variables at mean values) from the binary logistic regression multivariable models. Grey ribbon represents estimated 95% confidence interval and the x-axis ticks show observations.

**Figure 3: Free 25(OH)D in COVID-19**

(A) Simple linear regression line and 95% confidence interval (dashed lines) representing the correlation between total and free 25(OH)D concentrations in COVID-19. (B) Violin plot of free 25(OH)D concentrations (pg/ml) in patients with COVID-19 stratified by receipt of invasive mechanical ventilation. The solid line within the plot represents the median and the dashed lines represent the interquartile range. Groups are compared by Mann-Whitney test.



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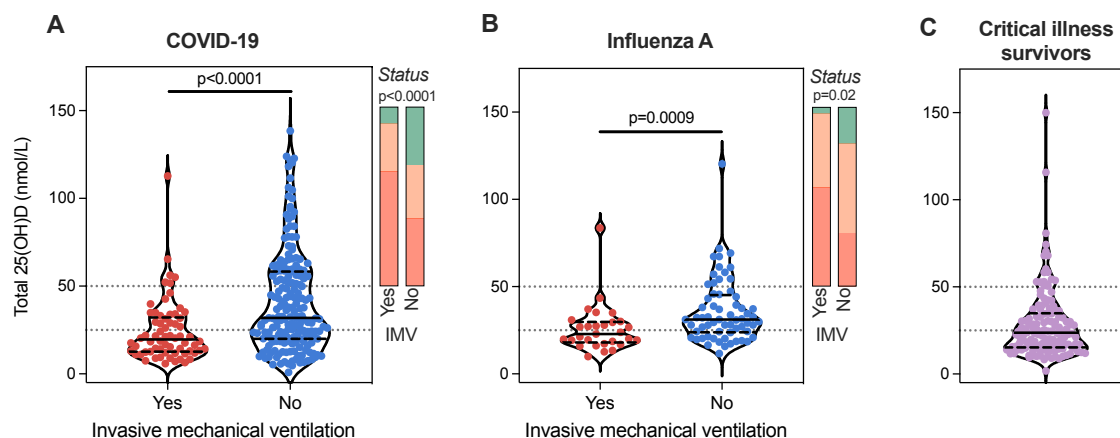
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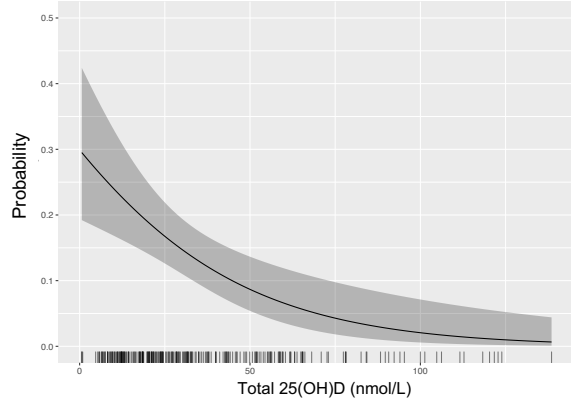
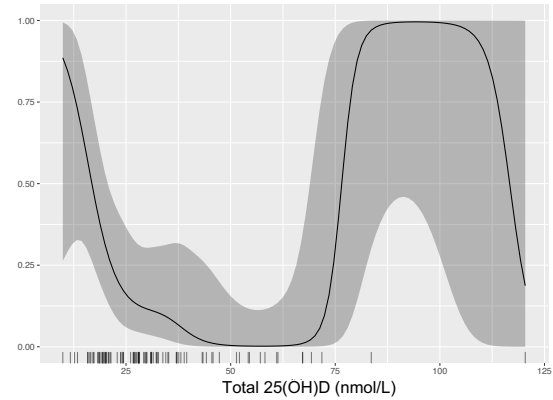
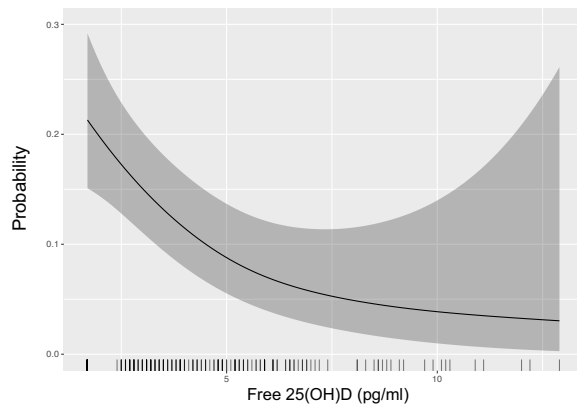
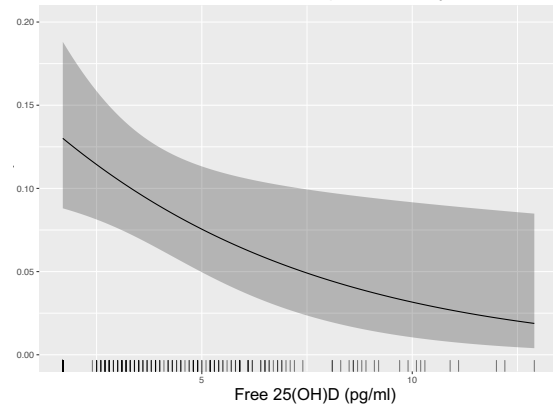
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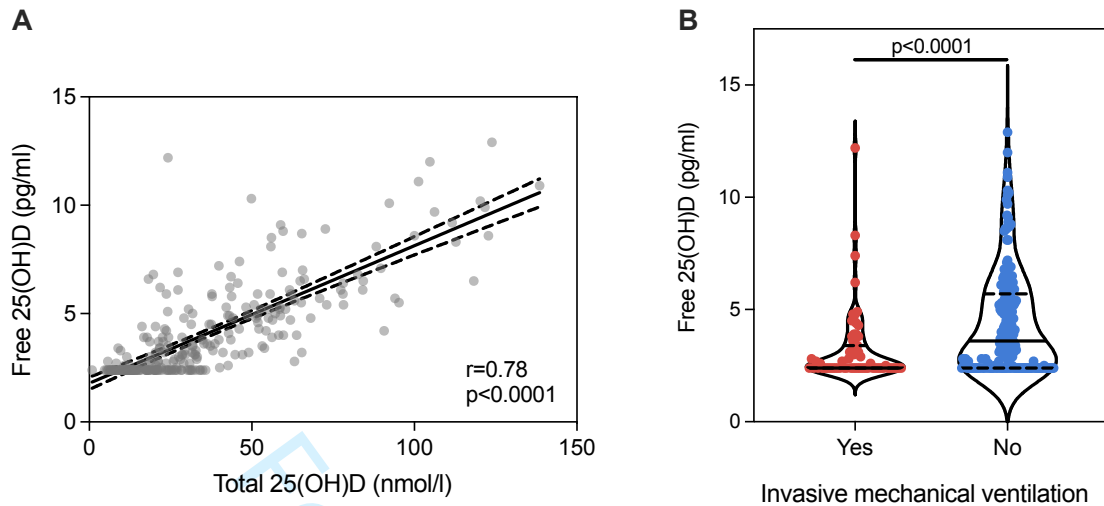
**Figure 1: Total 25(OH)D in COVID-19, influenza A and survivors of critical illness**

Violin plots of total 25(OH)D concentrations (nmol/L). The solid line within the plot represents the median and the dashed lines represent the interquartile range. The dotted lines on the y-axis represent the thresholds for total vitamin D insufficiency (25-50nmol/L) and deficiency (<25nmol/L). Patients with **(A)** COVID-19 (n=295) and **(B)** influenza A (from 2009 H1N1 pandemic, n=93) are stratified by receipt of invasive mechanical ventilation. Groups are compared by Mann-Whitney test. The stacked bar charts represent the proportion of patients in each sub-group with sufficient (green), insufficient (orange), or deficient (red) total vitamin D status, compared by Chi-squared test. **(C)** Non-selected critical illness survivors (n=139, recruited prior to the COVID-19 pandemic) at the time of ICU discharge.

**Total 25(OH)D****A** COVID-19: invasive mechanical ventilation**B** Influenza A: invasive mechanical ventilation**Free 25(OH)D****C** COVID-19: invasive mechanical ventilation**D** COVID-19: in-hospital mortality**Figure 2: Total and free 25(OH)D and outcomes in COVID-19 and influenza A**

Smoothed predicted probability of outcomes (invasive mechanical ventilation or in-hospital mortality) vs. total or free 25(OH)D concentration (with other co-variates at mean values) from the binary logistic regression multivariable models. Grey ribbon represents estimated 95% confidence interval and the x-axis ticks show observations.





**Figure 3: Free 25(OH)D in COVID-19**

**(A)** Simple linear regression line and 95% confidence interval (dashed lines) representing the correlation between total and free 25(OH)D concentrations in COVID-19. **(B)** Violin plot of free 25(OH)D concentrations (pg/ml) in patients with COVID-19 stratified by receipt of invasive mechanical ventilation. The solid line within the plot represents the median and the dashed lines represent the interquartile range. Groups are compared by Mann-Whitney test.

## SUPPLEMENTARY DATA

Supplementary Table 1: LC-MS/MS method parameters

Parameter	COVID-19 (n=259) / ICU (n=139) sample method	Influenza A (n=93) / healthy controls (n=36) sample method
<b>Sample preparation</b>		
Isotopically labelled internal standards	d <sub>3</sub> -25(OH)D <sub>2</sub> <sup>13</sup> C <sub>5</sub> -25(OH)D <sub>3</sub>	- d <sub>6</sub> -25(OH)D <sub>3</sub>
Extraction method	Automated SLE	PPT + LLE
Derivatization	DMEQ-TAD	-
<b>LC-MS instrumentation</b>		
LC-MS system	Shimadzu Nexera UPLC – Sciex QTrap 6500+	Waters ACQUITY TQD UPLC/MS/MS
LC column	Raptor Fluorophenyl column (2.7µm 100 Å, 100 x 2.1 mm)	Phenyl reversed phase LC column
Ionization mode	ESI, positive	Turbulon Spray, positive
Detection mode	MRM	MRM
<b>Method specifications</b>		
LLOQ	0.5 nmol/L 25(OH)D <sub>2</sub> 4 nmol/L 25(OH)D <sub>3</sub>	10 nmol/L 25(OH)D <sub>2</sub> 10 nmol/L 25(OH)D <sub>3</sub>
Inter-assay precision (CV%)	<11.5% 25(OH)D <sub>2</sub> <11.5% 25(OH)D <sub>3</sub>	<11% 25(OH)D <sub>2</sub> <10% 25(OH)D <sub>3</sub>

n – number of patient samples analysed; d – deuterium labelled; <sup>13</sup>C – carbon 13 labelled; SLE – supported liquid extraction performed on the Biotage® Extrahera™; PPT – protein precipitation; LLE – liquid liquid extraction using n-hexane; ESI – electrospray ionization; MRM – multiple reaction monitoring; LLOQ – lower limit of quantification.

Supplementary Table 2: Multivariable analyses of total 25(OH)D and vitamin D status and outcomes in COVID-19

Variable	Odds ratio	p-value
<b>Vitamin D status</b>		
<i>Receipt of IMV</i>		
Sufficient <sup>a</sup>	0.26 (0.1-0.62)	<b>0.004</b>
Male sex	2.41 (1.18-4.91)	<b>0.015</b>
Comorbidity count <sup>b</sup>	-	0.805
Day of illness <sup>b</sup>	-	0.462
Age <sup>b</sup>	-	<b>0.018</b>
<i>In-hospital mortality</i>		
Sufficient <sup>a</sup>	0.27 (0.11-0.68)	<b>0.005</b>
Male sex	2.52 (1.13-5.63)	<b>0.024</b>
Comorbidity count <sup>b</sup>	-	<b>0.016</b>
Day of illness <sup>b</sup>	-	0.576
Age <sup>b</sup>	-	0.059
<b>Total 25(OH)D concentration</b>		
<i>In-hospital mortality</i>		
Male sex	2.49 (1.12-5.57)	<b>0.026</b>
Comorbidity count <sup>b</sup>	-	<b>0.030</b>
<b>Total 25(OH)D<sup>b</sup></b>	-	0.068
Day of illness <sup>b</sup>	-	0.495
Age <sup>b</sup>	-	<b>0.046</b>

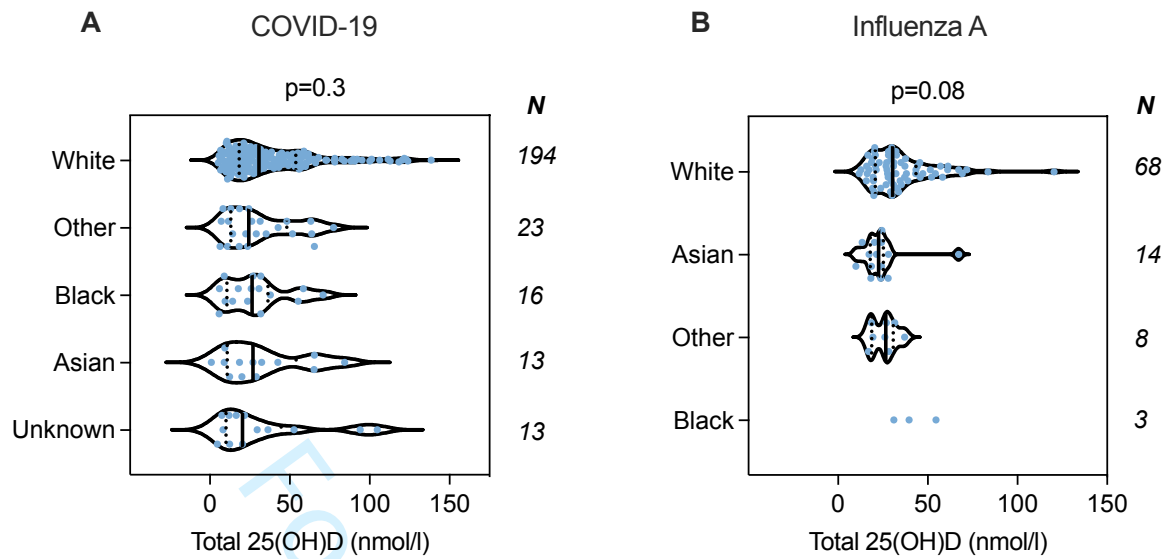
<sup>a</sup>total 25(OH)D >50 nmol/l

<sup>b</sup>smoothed

Supplementary Table 3: Multivariable analysis of total 25(OH)D concentration and in-hospital mortality in influenza A

Variable	Odds ratio	p-value
<i>In-hospital mortality</i>		
Male sex	0.84	0.798
Comorbidity count <sup>a</sup>	-	0.539
<b>Total 25(OH)D<sup>a</sup></b>	-	0.421
Day of illness <sup>a</sup>	-	0.244
Age <sup>a</sup>	-	0.200

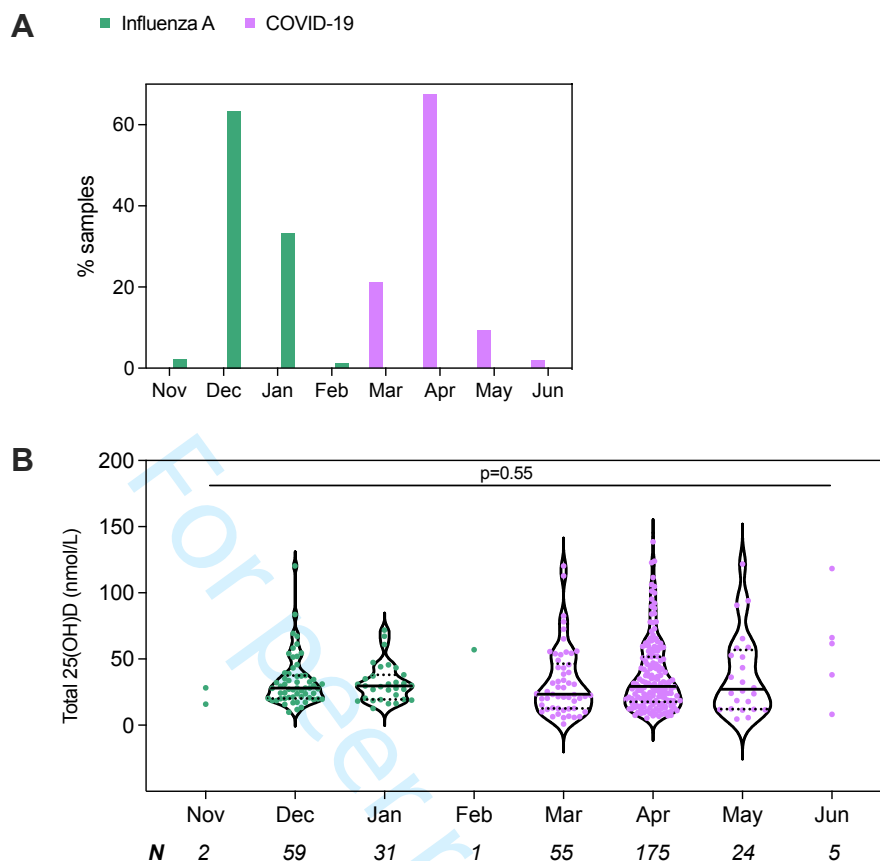
<sup>a</sup>smoothed



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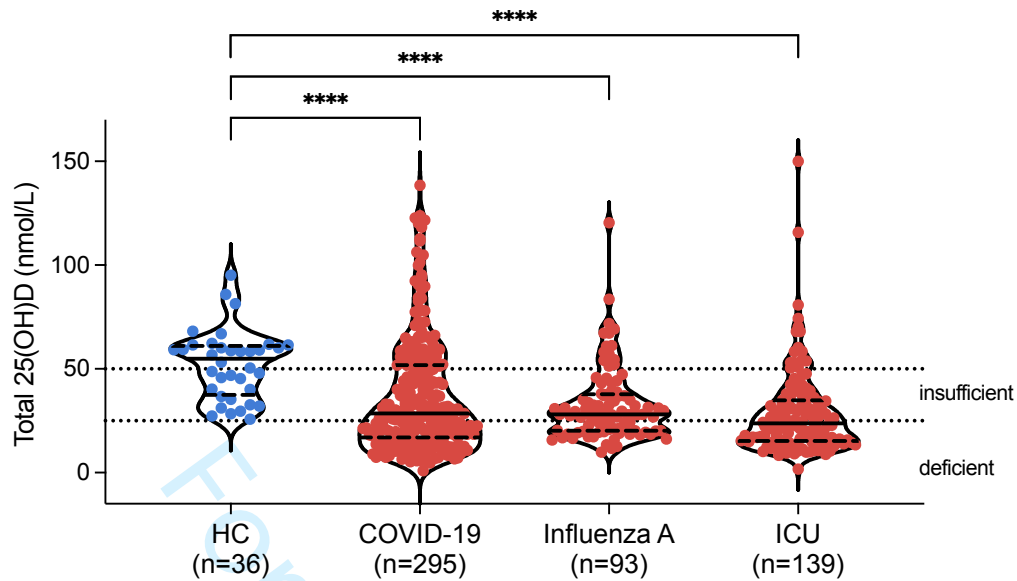
**Supplementary Figure 1: Total 25(OH)D concentration stratified by ethnicity**

(A) COVID-19 and (B) influenza A. The solid line within the violin plot represents the median and the dotted lines represent the interquartile range. Groups  $\leq 5$  are shown as individual data points. Groups were compared by ANOVA. N refers to the number of patients in each group.



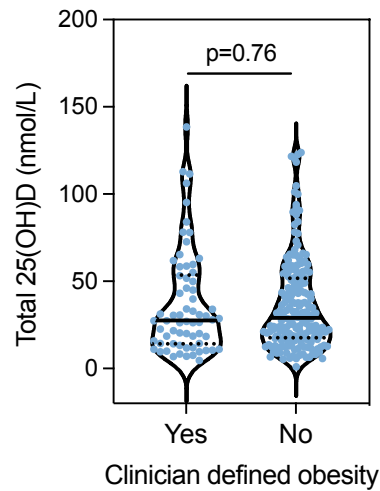
**Supplementary Figure 2: Total 25(OH)D stratified by months of the year**

(A) Month of the year during which samples were obtained from people with influenza A (2009-2011) and COVID-19 (2020). (B) Total 25(OH)D concentrations stratified by month of the year the sample was obtained. Groups compared by Kruskal-Wallis test. The solid line within the violin plot represents the median and the dotted lines represent the interquartile range. N refers to the number of samples for each month. Groups  $\leq 5$  are shown as individual data points.



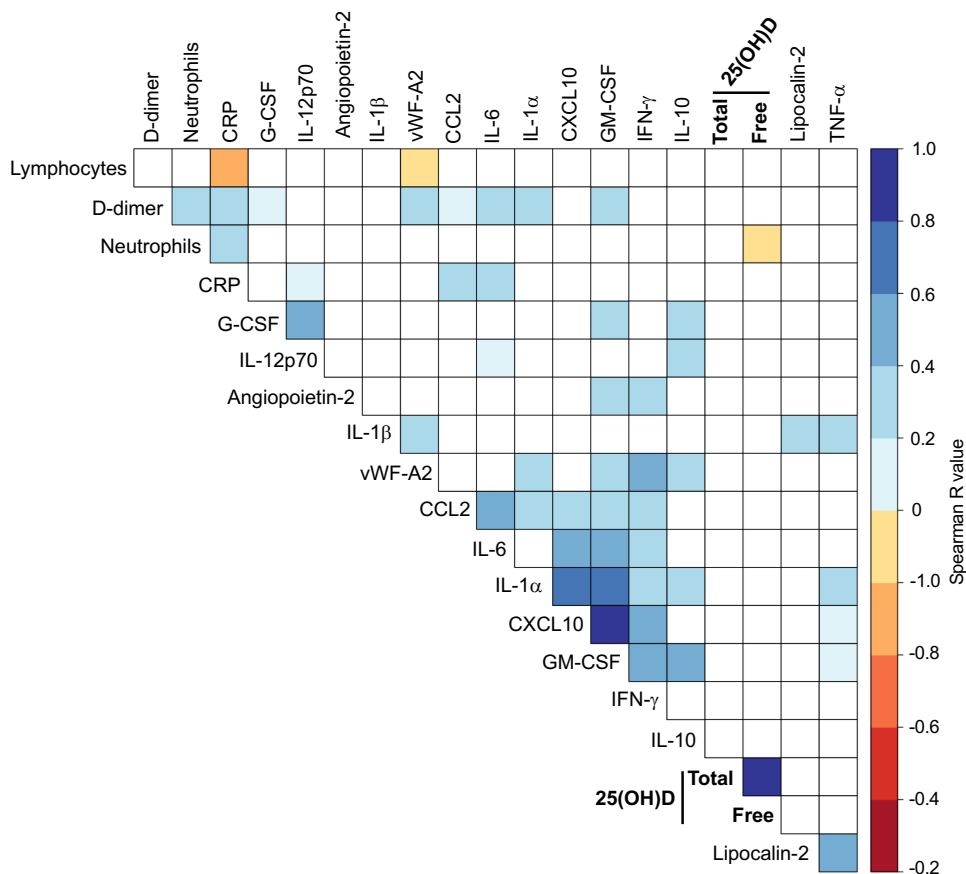
### Supplementary Figure 3: Total 25(OH)D in patient cohorts compared to healthy controls

Total 25(OH)D measured in healthy controls ("HC", from the MOSAIC study recruited between June-September 2011), hospitalised patients with COVID-19 and influenza A, and non-selected critical illness survivors ("ICU"). The solid line within the violin plot represents the median and the dashed lines represent the interquartile range. Patient cohorts compared to healthy controls by Kruskal-Wallis test and Dunn's multiple comparisons test. \*\*\*\*  $p < 0.0001$



**Supplementary Figure 4: Total 25(OH)D in patients with COVID-19 with/without obesity**

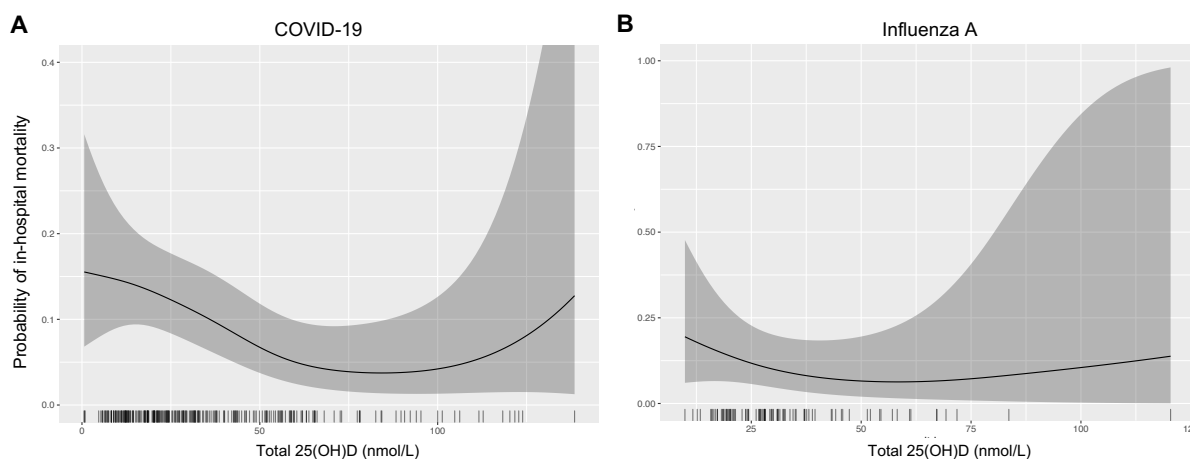
Total 25(OH)D levels in hospitalised patients with COVID-19 with/without clinician defined obesity. Groups compared by Mann-Whitney test. The solid line within the violin plot represents the median and the dotted lines represent the interquartile range.



**Supplementary Figure 5: Correlation analysis of 25(OH)D and inflammatory mediators**

Correlogram of concentrations of plasma inflammatory mediators associated with COVID-19 severity and 25(OH)D (free and total). Cells with a correlation with  $p < 0.05$  (after correction for multiple comparisons) are shaded according to the Spearman R value. Inflammatory mediator measurements were available for 66 patients. Analysis was performed using the *corrplot* package in R.





**Supplementary Figure 6: Total 25(OH)D concentration and in-hospital mortality in COVID-19 and influenza A.**

Smoothed predicted probability of in-hospital mortality vs. total 25(OH)D concentration (with other co-variables at mean values) from the binary logistic regression multivariable models for hospitalised people with (A) COVID-19 and (B) influenza A. Grey ribbon represents estimated 95% confidence interval and the x-axis ticks show observations.

## SUPPLEMENTARY METHODS

### Definition of vitamin D status

A total 25(OH)D concentration of 50nmol/L is the value widely used to define vitamin D sufficiency since experimental studies have shown that this is the concentration at which parathyroid hormone concentrations plateau [1,2]. Furthermore, based on evidence from the Institute of Medicine (IOM) and the Scientific Advisory Committee on Nutrition (SACN) which demonstrate an increased risk of poor musculoskeletal health with 25(OH)D levels between 20-30 nmol/L, the Royal Osteoporosis Society guidelines (which advice on testing and treatment of vitamin D in primary care in for the NHS), suggest that plasma 25(OH)D of 25-50 nmol/L may be inadequate in some people [3].

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**STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cross-sectional studies***

Section/Topic	Item #	Recommendation	Reported on page #
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5
Objectives	3	State specific objectives, including any prespecified hypotheses	5-6
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	7-8
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	7-8
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	9
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8-9
Bias	9	Describe any efforts to address potential sources of bias	NA
Study size	10	Explain how the study size was arrived at	NA
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9
		(b) Describe any methods used to examine subgroups and interactions	NA
		(c) Explain how missing data were addressed	No missing data
		(d) If applicable, describe analytical methods taking account of sampling strategy	9-10
		(e) Describe any sensitivity analyses	NA
<b>Results</b>			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	11
		(b) Give reasons for non-participation at each stage	NA
		(c) Consider use of a flow diagram	NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	11, Table 1
		(b) Indicate number of participants with missing data for each variable of interest	None
Outcome data	15*	Report numbers of outcome events or summary measures	11, Table 1
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Yes, throughout
		(b) Report category boundaries when continuous variables were categorized	8-9
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	NA
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	18
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	20
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	20
Generalisability	21	Discuss the generalisability (external validity) of the study results	20
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	21-22

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).

# BMJ Open

## Vitamin D insufficiency in COVID-19 and influenza A, and critical illness survivors: a cross-sectional study

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Secondary Subject Heading:	Immunology (including allergy), Intensive care, Respiratory medicine
Keywords:	COVID-19, INTENSIVE & CRITICAL CARE, Respiratory infections < THORACIC MEDICINE, IMMUNOLOGY

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**ABSTRACT**

**Objectives:** The steroid hormone vitamin D has roles in immunomodulation and bone health. Insufficiency is associated with susceptibility to respiratory infections. We report 25(OH)D measurements in hospitalised people with COVID-19 and influenza A, and survivors of critical illness, to test the hypotheses that vitamin D insufficiency scales with illness severity and persists in survivors.

**Design:** Cross-sectional study

**Setting and Participants:** Plasma was obtained from 295 hospitalised people with COVID-19 (ISARIC/WHO CCP-UK study), 93 with influenza A (MOSAIC study, during the 2009-10 H1N1 pandemic), and 139 survivors of non-selected critical illness (prior to COVID-19 pandemic). Total 25(OH)D was measured by liquid chromatography-tandem mass spectrometry. Free 25(OH)D was measured by ELISA in COVID-19 samples.

**Outcome measures:** Receipt of invasive mechanical ventilation (IMV) and in-hospital mortality.

**Results:** Vitamin D insufficiency (total 25(OH)D 25-50 nmol/L) and deficiency (<25nmol/L) were prevalent in COVID-19 (29.3% and 44.4% respectively), influenza A (47.3% and 37.6%) and critical illness survivors (30.2% and 56.8%). In COVID-19 and influenza A, total 25(OH)D measured early in illness was lower in patients who received IMV (19.6 vs. 31.9 nmol/L,  $p<0.0001$  and 22.9 vs. 31.1 nmol/L,  $p=0.0009$  respectively). In COVID-19, biologically-active free 25(OH)D correlated with total 25(OH)D, was lower in patients who received IMV, but was not associated with selected circulating inflammatory mediators.

**Conclusions:** Vitamin D deficiency/insufficiency was present in the majority of hospitalised patients with COVID-19 or influenza A, correlated with severity and persisted in critical illness survivors at concentrations expected to disrupt bone metabolism. These findings support early supplementation trials to determine if insufficiency is causal in progression to severe disease, and investigation of longer-term bone health outcomes.

**KEYWORDS:** Vitamin D; Free 25-hydroxyvitamin-D; COVID-19; critical illness; influenza A.

### STRENGTHS AND LIMITATIONS OF THIS STUDY

- Liquid chromatography-tandem mass spectrometry was used to quantify 25(OH)D in plasma samples from well characterised hospitalised people with COVID-19 and influenza A, and survivors of non-selected critical illness.
- Biologically active free 25(OH)D was measured by ELISA in COVID-19 plasma samples for the first time.
- Samples from people with COVID-19 and influenza A were obtained early in the course of disease.
- Binary logistic regression multivariable models were used to assess the association of plasma 25(OH)D concentration with outcomes in COVID-19 and influenza A, correcting for other known relevant covariates.
- The observational nature of the study means it is not known whether vitamin D status led to poor clinical outcome or was a consequence of illness severity.

## INTRODUCTION

Vitamin D metabolites contribute to bone metabolism, calcium homeostasis and immunomodulation.

Vitamin D is a steroid pre-pro-hormone which is converted to the main circulating form 25-hydroxy-vitamin D (25(OH)D), and subsequently to the active hormone 1,25 dihydroxy-vitamin D (1,25(OH)<sub>2</sub>D).

This second activation step occurs in the kidney, modulated by parathyroid hormone (PTH), for “endocrine” calciotropic effects, and also under local control within extra-renal tissues, including immune cells, for direct action. These “intracrine” actions on immune cells mediate anti-microbial and anti-inflammatory effects(1). The majority of 25(OH)D circulates bound to proteins, principally vitamin D binding protein (85-90%), and the relatively small unbound (“free”) fraction is available to immune cells(2).

In the context of infectious diseases, vitamin D insufficiency (routinely determined by total 25(OH)D measurement) is associated with increased incidence and severity of respiratory tract infections(3-5) including coronavirus disease 2019 (COVID-19)(6, 7). A geographic association between vitamin D deficiency prevalence and COVID-19 incidence and mortality has been reported(8). Free 25(OH)D has not yet been investigated in COVID-19, but this is required to fully understand vitamin D status during acute illness and any associations with systemic inflammation(9). Clinical trials of vitamin D supplementation in respiratory diseases have returned mixed results(10-13). Potential beneficial effects of vitamin D supplementation may be pathogen-specific, and dependent upon timing and route of administration. In addition to an interest in modifying acute illness outcomes, longer term effects on bone health warrant consideration as critical illness is associated with loss of bone mineral density after recovery(14).

In this cross-sectional study, we report measurements of total and free 25(OH)D in hospitalised people with COVID-19, and total 25(OH)D in hospitalised people with influenza A and survivors of critical

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3 illness. We use these three datasets to test the hypotheses that vitamin D insufficiency in severe  
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5 respiratory virus infections scales with severity and persists in survivors of critical illness.  
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For peer review only

## METHODS

### Patients and sampling

#### *COVID-19*

The ISARIC WHO Clinical Characterization Protocol for Severe Emerging Infections in the UK (CCP-UK) is an ongoing prospective cohort study of hospitalized patients with COVID-19, which is recruiting in 308 hospitals in England, Scotland, Wales and Northern Ireland (National Institute for Health Research Clinical Research Network Central Portfolio Management System ID: 14152), delivered by the ISARIC Coronavirus Clinical Characterisation Consortium (ISARIC4C) investigators. The protocol, revision history, case report form and consent forms are available online at [isaric4c/net](https://www.isaric4c.net). The ISARIC/WHO CCP-UK study was registered at <https://www.isrctn.com/ISRCTN66726260> and designated an Urgent Public Health Research Study by the National Institute for Health Research UK. A prespecified case report form was used to collect data on patient characteristics, medical interventions received and outcomes, as previously reported(15).

#### *Influenza A*

Hospitalised patients with influenza A were recruited between 2009 and 2010 (the first and second H1N1 pandemic waves) and 2011 (the first post-pandemic season) by the MOSAIC (Mechanisms of Severe Acute Influenza Consortium) investigators.

#### *Non-selected critical illness survivors*

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3 We include a post-hoc analysis of the RECOVER trial of intensive rehabilitation after critical illness(16).  
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5 Full eligibility criteria have been published previously; briefly, adults were recruited who had received  
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We include a post-hoc analysis of the RECOVER trial of intensive rehabilitation after critical illness(16). Full eligibility criteria have been published previously; briefly, adults were recruited who had received invasive mechanical ventilation (IMV) for at least 48 hours and were considered well enough for discharge from the intensive care unit (ICU). Patients gave additional consent for participation in a biomarker sub-study and blood samples were collected at ICU discharge(17).

### *Ethics Approvals*

Ethical approval for the ISARIC/WHO CCP-UK study (COVID-19) was given by the South Central Oxford C Research Ethics Committee in England (13/SC/0149), the Scotland A Research Ethics Committee (20/SS/0028), and the WHO Ethics Review Committee (RPC571 and RPC572, 25 April 2013). Ethical approval for the MOSAIC study (influenza A) was given by the NHS National Research Ethics Service, Outer West London Research Ethics Committee (09/H0709/52, 09/MRE00/67), as previously reported(18). Participants gave informed consent.

### *Patient and Public Involvement*

There was no patient or public involvement in this study.

### **LC-MS/MS methods for total 25(OH)D analysis**

EDTA plasma concentrations (on samples obtained on the day of enrolment to the study) of 25(OH)D2 and 25(OH)D3 isoforms were measured by liquid chromatography tandem mass spectrometry (LC-MS/MS) and summed to derive the total 25(OH)D concentrations presented in the results. For patients with COVID-19 and critical illness survivors, analysis was performed by the Vitamin D Animal Laboratory (VitDAL) using an assay which has been certified as proficient by the international Vitamin

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3 D Quality Assessment Scheme (DEQAS) and described in detail in an earlier manuscript, using 200µL  
4 plasma(19). Inter-assay precision (coefficient of variation) of this method was <11.5% for both  
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6 25(OH)D2 and 25(OH)D3 analytes (Supplementary Table 1). For patients with influenza A, analysis was  
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8 performed using another LC-MS/MS method at a separate clinical biochemistry laboratory. Inter-assay  
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10 precision of this method was <11% for 25(OH)D2 and <10% for 25(OH)D3 (Supplementary Table 1).  
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12 Full LC-MS/MS methods are presented in Supplementary Table 1.  
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### 19 **Definition of vitamin D status**

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23 In addition to the absolute total 25(OH)D concentration, the relationship between vitamin D status  
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25 and outcomes is often explored using a total 25(OH)D cut off of 50 nmol/L to define populations that  
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27 are vitamin D sufficient(20). In this study, total 25(OH)D >50nmol/L is reported as “sufficient”, 25–50  
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29 nmol/L as “insufficient” and <25 nmol/L as “deficient” (see Supplementary Methods).  
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### 35 **Free 25(OH)D ELISA**

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39 Free 25(OH)D was measured using the Free 25OH Vitamin D ELISA (DIAsource ImmunoAssays® S.A,  
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41 Belgium), following manufacturer’s instructions, using 10µL of plasma. Absorbance was measured at  
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43 450nm against a reference filter set at 630nm using the Tecan Sunrise™ Microplate Reader (TECAN).  
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45 GraphPad Prism (version 7.0e for Mac OS X) was used to perform a 4-parameter logistic function to  
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47 create the calibration curve in order to read the mean concentration of duplicate samples. The lower  
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49 limit of detection (LLOD) of the assay was 2.4pg/mL. The intra-assay repeatability (coefficient of  
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51 variation (CV) was ≤5.5% across 3 concentrations (low, mid and high concentrations on the standard  
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53 curve) and the inter-assay precision (CV) was <6.5% across the 3 concentrations, calculated based on  
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55 CLSI EP05-A3 and reported in the manufacturer’s guidelines. Two control samples (a low and high  
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57 concentration) were analysed in each batch in duplicate and data were only reported for the batch if  
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3 the results of the controls were within the acceptance range outlined on each control sample vial.  
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5 Each calibrator, control and patient sample were assessed in duplicate and results only reported if the  
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7 CV of the replicates was <10%.  
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## 10 11 12 **Statistical analysis** 13

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16 For univariable analyses, the Shapiro-Wilk test was used to test for normal data distribution then  
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18 appropriate tests, specified in the text, were used for comparisons. Associations between covariates  
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20 and outcomes in COVID-19 and influenza A were assessed with binary logistic regression multivariable  
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22 models. Sex, age, illness duration at time of sampling and comorbidity count were chosen as  
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24 covariates. The comorbidity count was derived from the same comorbidities (Table 1) from the two  
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26 cohorts. To allow for potential non-linear relationship between predictors and the probability of an  
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28 outcome, the models included smoothed thin plate regression spline terms for age, illness duration at  
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30 time of sampling, comorbidity count and 25(OH)D concentrations. Multivariable models were  
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32 estimated using the *gam()* function of the R *mgcv* package using the default, thin plate regression  
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34 smoothers(21, 22). The upper limit of smoother dimensionality was set to 9 for all variables excluding  
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36 the comorbidity count where it was set to 7 as this variable was discrete with 7 levels. Smoother  
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38 parameters were estimated with restricted maximum likelihood. 25(OH)D concentrations were below  
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40 the LLOD for 92 patients (free) and 2 patients (total) in the COVID-19 cohort. For the regression  
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42 models, 25(OH)D values for these patients were imputed as the LLOD for the relevant analyte divided  
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44 by the square root of two(23). As this is a commonly used but arbitrary method the regression analysis  
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46 was repeated using zero and the LLOD as imputed values to assess sensitivity of the result to this  
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48 assumption. Effects for categorical covariates are reported as odds ratios; smoothed continuous  
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50 covariates are reported graphically. Statistical analyses were conducted in R using the *mgcv*, *tidyverse*  
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52 and *gratia* packages.  
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## RESULTS

### Patient characteristics

Samples were obtained from 259 people hospitalised due to COVID-19 and 93 people hospitalised due to influenza A. Samples were also obtained from 139 critical illness survivors (prior to the COVID-19 pandemic) at time of ICU discharge. Patient characteristics, including sampling time after symptom onset, are shown in Table 1. For COVID-19 patients, samples were obtained a median of 3 days (IQR 2-6) after hospital admission. Patients with influenza A were younger, more likely to be female and more likely to have asthma compared to the other cohorts. Receipt of IMV and in-hospital mortality did not differ between COVID-19 and influenza A. The WHO ordinal severity scale scores for people with COVID-19 are shown in Supplementary Figure 1, illustrating that the cohort is representative of the full spectrum of disease severity in hospitalised people. Details on ethnicity were available for the COVID-19 and influenza A cohorts. No differences in total 25(OH)D were observed between ethnic groups, but only small numbers of participants were from non-white groups (COVID-19 65/259, influenza A 25/93; Supplementary Figure 2). All samples from people with influenza A were collected between the months of November to February (63.4% in December), whereas all samples from people with COVID-19 were collected between March to June (67.6% in April). However, the distribution of total 25(OH)D measurements did not differ when stratified by month (Supplementary Figure 3). Total 25(OH)D concentration was lower in all three patient cohorts when compared to healthy controls (n=36; Supplementary Figure 4), but the healthy control samples were obtained between the months of June to September.

**Table 1: Characteristics of included patients**

Characteristic	COVID-19 (n=259)	Influenza A (n=93)	Critical illness	
			survivors (n=139)	p-value <sup>a</sup>
<b>Demographics</b>				
Age at admission, years <sup>b</sup>	63 (52-73)	43 (29-50)	63 (53-70)	<0.0001
Male sex	175 (67.6)	47 (50.5)	85 (61.2)	0.01
Day of illness at time of sampling <sup>b</sup>	10 (6-16)	7 (4-11)	11 (6-18) <sup>c</sup>	<0.001 <sup>d</sup>
<b>Co-morbidities</b>				
Diabetes mellitus	66 (25.5)	10 (10.8)	23 (16.5)	0.005
Chronic cardiac disease	57 (22.4)	17 (18.3)	15 (10.8)	0.02
Obesity, clinician defined	44 (18.7)	23 (24.7)	28 (20.1)	0.3
Asthma	41 (16.1)	33 (35.5)	26 (18.7)	0.0002
Chronic lung disease, not asthma	35 (13.8)	12 (12.9)	24 (17.3)	0.5
Chronic kidney disease	25 (9.9)	4 (4.3)	NA	0.1
Neoplasia	14 (5.6)	9 (9.7)	NA	0.2
Moderate or severe liver disease	3 (1.2)	4 (4.3)	NA	0.08
<b>Illness severity</b>				
Admission to critical care	106 (40.9)	32 (34.4)	139 (100)	0.3 <sup>d</sup>
Invasive mechanical ventilation	67 (25.9)	29 (31.2)	139 (100)	0.3 <sup>d</sup>
In-hospital mortality	52 (20.1)	12 (12.9)	4 (2.9) <sup>e</sup>	0.2 <sup>d</sup>
<b>Total plasma 25(OH)D</b>				
Median (IQR) nmol/L	28.5 (17.1-51.9)	28.1 (20.2-37.9)	23.7 (15.3-34.9)	0.01
Status				
Sufficient (>50 nmol/L)	68 (26.3)	14 (15.1)	18 (12.9)	
Insufficient (25-50 nmol/L)	76 (29.3)	44 (47.3)	42 (30.2)	0.0002
Deficient (<25 nmol/L)	115 (44.4)	35 (37.6)	79 (56.8)	

Data are number (%) unless otherwise stated.

<sup>a</sup>Kruskal-Wallis, Mann-Whitney or Chi<sup>2</sup> test as appropriate

<sup>b</sup>median (interquartile range)

<sup>c</sup>length of ICU stay

<sup>d</sup>comparing COVID-19 and influenza A

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death after discharge from ICU

For peer review only

### Total 25(OH)D correlates with severity in COVID-19

The majority of COVID-19 patients had total 25(OH)D concentrations indicative of vitamin D insufficiency (29.3%) or deficiency (44.4%; Table 1). Total 25(OH)D was lower in men than women (median 26.8 [IQR 14.1-47.4] vs. 31.7 [20.1-63.8] nmol/L,  $p=0.01$ ) and weakly positively correlated with increased age (Pearson  $r$  0.25,  $p<0.0001$ ).

When stratified by receipt of IMV as a marker of illness severity, total 25(OH)D differed significantly with a median concentration of 19.6 nmol/L (IQR 12.6-32.3) in patients receiving IMV compared to 31.9 nmol/L (IQR 20.0-58.3) in the remainder of the cohort ( $p<0.0001$ , Figure 1A). When total 25(OH)D was stratified by associated vitamin D status, patients receiving IMV were more likely to be insufficient/deficient (Figure 1A). Amongst patients who received IMV, 64.2% (43/67) were deficient and 26.9% (18/67) were insufficient. Total 25(OH)D concentration was also associated with in-hospital mortality (median 23.2 nmol/L [IQR 15.4-39.9] in non-survivors vs. 29.5 nmol/L [IQR 17.2-55.4] in survivors,  $p=0.01$ ). Total 25(OH)D concentrations were divided into quartiles and the proportion of patients who received IMV was compared (Figure 1B). The lowest quartile ( $\leq 17.3$ nmol/L) had the highest proportion of patients receiving IMV (43.1%). The middle quartiles were similar (26.6 and 25.0%), with the highest 25(OH)D quartile ( $>51.8$ nmol/L) containing the lowest proportion receiving IMV (7.8%,  $\text{Chi}^2$   $p=0.0001$ ).

Obesity is a risk factor for severity and mortality in COVID-19, and can be associated with vitamin D deficiency(15). However, there was no difference in total 25(OH)D concentration between patients with/without clinician defined obesity (Supplementary Figure 5). Inflammatory mediator measurements had previously been performed on plasma samples from 66 patients included in this study(24). Correlation matrix analysis demonstrated that total 25(OH)D was not significantly

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3 associated with circulating markers of systemic inflammation demonstrated to be involved in COVID-  
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5 19 pathogenesis (Supplementary Figure 6).  
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10 Multivariable analyses confirmed that total 25(OH)D concentration and vitamin D status (not  
11 sufficient) were both independently and negatively associated with receipt of IMV (Table 2, Figure 2A,  
12 Supplementary Table 2). Two patients had total 25(OH)D concentrations below the LOD; using zero  
13 and LOD, instead of LOD divided by the square root of two, had no substantive effect on significance  
14 of covariates or their effect sizes. Vitamin D status was also independently associated with in-hospital  
15 mortality, but total 25(OH)D concentration was not (Supplementary Table 2; Supplementary Figure  
16 7A).  
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Table 2: Multivariable analyses of 25(OH)D concentration and outcomes

Variable	Odds ratio	p-value
<b>Total 25(OH)D</b>		
<i>COVID-19: receipt of IMV</i>		
Male sex	2.33 (1.13-4.78)	0.022
Comorbidity count <sup>a</sup>	-	0.487
<b>Total 25(OH)D<sup>a</sup></b>	-	0.001
Day of illness <sup>a</sup>	-	0.386
Age <sup>a</sup>	-	0.061
<i>Influenza A: receipt of IMV</i>		
Male sex	2.22 (0.54 – 9.06)	0.27
Comorbidity count <sup>a</sup>	-	0.15
<b>Total 25(OH)D<sup>a</sup></b>	-	0.016
Day of illness <sup>a</sup>	-	0.001
Age <sup>a</sup>	-	0.19
<b>Free 25(OH)D</b>		
<i>COVID-19: receipt of IMV</i>		
Male sex	2.53 (1.24-5.314)	0.011
Comorbidity count <sup>a</sup>	-	0.605
<b>Free 25(OH)D<sup>a</sup></b>	-	0.006
Day of illness <sup>a</sup>	-	0.577
Age <sup>a</sup>	-	0.053
<i>COVID-19: in-hospital mortality</i>		
Male sex	2.78 (1.25-6.17)	0.012
Comorbidity count <sup>a</sup>	-	0.022
<b>Free 25(OH)D<sup>a</sup></b>	-	0.025
Day of illness <sup>a</sup>	-	0.795
Age <sup>a</sup>	-	0.041

IMV: invasive mechanical ventilation

<sup>a</sup>smoothed

### **Total 25(OH)D correlates with severity in influenza A**

We then extended these observations to total 25(OH)D concentrations measured in people hospitalised with influenza A. Total 25(OH)D was not associated with age ( $p=0.1$ ) or sex ( $p=0.8$ ). Similar to our findings in COVID-19, the majority of patients had total 25(OH)D concentrations indicative of vitamin D insufficiency (47.3%) or deficiency (37.6%; Table 1). When stratified by receipt of IMV, total 25(OH)D was lower in patients receiving IMV (median 22.9 nmol/L, IQR 18.0-29.8) compared to the remainder of the cohort (median 31.1 nmol/L, IQR 23.8-45.2,  $p=0.0009$ ) and these patients were more likely to be vitamin D insufficient/deficient (Figure 1C). Total 25(OH)D was lower in non-survivors compared to survivors (median 22.1 nmol/L [IQR 17.6-34.1] vs. 29.2 nmol/L [IQR 20.6-38.5]) but this was not statistically significant ( $p=0.2$ ). Multivariable analysis confirmed an independent negative association between total 25(OH)D and receipt of IMV but not in-hospital mortality (Figure 2B, Table 2; Supplementary Table 3; Supplementary Figure 7B).

### **Vitamin D deficiency persists in survivors of critical illness**

In survivors of non-selected critical illness, at the time of ICU discharge the median total 25(OH)D concentration was 22.9 nmol/L (IQR 14.6-34.6), similar to concentrations in patients with COVID-19/influenza A who required IMV or did not survive. The majority of patients had total 25(OH)D concentrations indicative of vitamin D deficiency (56.8%) or insufficiency (30.2%; Figure 1D and Table 1). Total 25(OH)D concentration was not associated with age ( $p=0.7$ ), sex ( $p=0.7$ ) or length of ICU stay ( $p=0.8$ ). Measurements were not available from earlier in these patients' illnesses.

### **Free 25(OH)D correlates with severity in COVID-19**



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3 In patients with COVID-19, we found a strong correlation between free and total 25(OH)D  
4 concentrations ( $r=0.79$ ,  $p<0.0001$ ) (Figure 3A). Free 25(OH)D was lower in patients receiving IMV  
5 (median 2.4 pg/mL [IQR 2.4-3.4] vs. 3.6 pg/mL [IQR 2.4-5.7],  $p<0.0001$ ; Figure 3B) but was not  
6 statistically different between survivors and non-survivors on univariable analysis (median 2.8 pg/mL  
7 [IQR 2.4-4.4] vs. 3.3 pg/mL [IQR 2.4-5.3],  $p=0.2$ ). In multivariable analysis, free 25(OH)D was negatively  
8 associated with both receipt of IMV and in-hospital mortality (Figure 2B-D, Table 2). Free 25(OH)D was  
9 not associated with plasma inflammatory mediator concentrations (Supplementary Figure 6).  
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## DISCUSSION

Vitamin D insufficiency was prevalent and scaled with severity in patients with COVID-19 and influenza A, and insufficiency persisted in survivors of critical illness. 73% of COVID-19 patients, 84% of influenza A patients and 87% of critical illness survivors were vitamin D insufficient/deficient, determined by total 25(OH)D measurement. We demonstrate evidence of a strong association between vitamin D status (insufficiency/deficiency) during illness and both COVID-19 severity (receipt of IMV) and in-hospital mortality, with relevant confounders such as sex, age, comorbidities and day of illness adjusted for. This observation was replicated in influenza A but the smaller sample size (n=93 compared to 259) limited multivariable analyses. For the first time, we demonstrate a similar strong negative association between free 25(OH)D and COVID-19 disease severity and mortality. The results from this study extend earlier findings from other observational studies reporting associations between vitamin D status and severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection and COVID-19 outcome(6, 7, 25-27).

Vitamin D may beneficially modulate the host response against SARS-CoV-2 via intracrine immune signalling. Vitamin D enhances intracellular pathogen clearance, primarily via the induction of autophagy(28). Importantly, the ability of macrophages to produce cathelicidin, which has anti-viral activity against influenza virus and respiratory syncytial virus, correlates with circulating 25(OH)D concentrations(29). Although anti-viral effects of vitamin D have not yet been demonstrated *in vitro* for SARS-CoV-2, they have been demonstrated for other bacterial and viral pathogens(30, 31). Consistent with vitamin D having a role in local immunomodulation, neither free nor total 25(OH)D correlated with circulating markers of systemic inflammation involved in COVID-19 pathogenesis (including CRP, IL-6 and GM-CSF(24)).

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3 Evidence for the importance of free versus total 25(OH)D in relation to the mechanisms by which  
4 vitamin D exerts antimicrobial and anti-inflammatory functions have been demonstrated(32, 33). We  
5 now demonstrate that free 25(OH)D was negatively associated with COVID-19 severity and in-hospital  
6 mortality. Studies directly measuring free 25(OH)D and immune responses to infection or during  
7 critical illness are limited. In a study of 30 critically ill patients, supplementation with high dose vitamin  
8 D increased free 25(OH)D and plasma cathelicidin concentrations(34). Another study of 30 patients  
9 with sepsis reported similar results when they examined the effects of vitamin D supplementation on  
10 bioavailable (combined albumin-bound and free fraction) 25(OH)D and cathelicidin  
11 concentrations(35). Together, these findings suggest that low concentrations of free 25(OH)D may  
12 reduce the vitamin D-induced antimicrobial and anti-inflammatory response, compromising immune  
13 defences.  
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30 We found that 30.2% of patients surviving critical illness and requiring IMV (prior to the COVID-19  
31 pandemic) were vitamin D insufficient and 56.8% were deficient. Vitamin D deficiency is common in  
32 critical illness with a reported prevalence of between 40-70% in observational studies of both adults  
33 and children worldwide(36, 37). Although some patients may enter ICU in a deficient state due to pre-  
34 existing disease and malnutrition, vitamin D metabolism is dysregulated in critical illness(38) and  
35 concentrations fall rapidly after ICU admission(39). The mechanistic link between acute illness and  
36 vitamin D deficiency is likely to be multi-factorial, including reduced dietary intake/absorption,  
37 reduced cutaneous synthesis due to lack of sunlight and wastage due to reductions in vitamin D  
38 binding protein(40). Furthermore, vitamin D insufficiency/deficiency has been associated with a range  
39 of poor outcomes in critical illness(37, 41-43). Vitamin D insufficiency leads to secondary  
40 hyperparathyroidism and a concentration of 50 nmol/L total 25(OH)D is required for optimum PTH  
41 concentrations(44). 87% of the critical illness survivors had total 25(OH)D <50 nmol/L, which would  
42 be associated with secondary hyperparathyroidism and the potential for associated loss of bone  
43 mineral density. Critical illness survivors suffer accelerated loss of bone mineral density in the year  
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3 after ICU discharge (compared to matched controls) and increased 10-year fracture risk(14). Our  
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5 findings implicate vitamin D insufficiency in this process.  
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10 There is evidence that vitamin D supplementation can improve circulating total 25(OH)D  
11 concentrations in critically ill patients(34, 35, 45), but evidence of a beneficial effect on outcomes is  
12 less clear. High-dose vitamin D supplementation in COVID-19(13) and critical illness(45) has been  
13 shown to increase plasma 25(OH)D concentrations 7-days post-supplementation but no significant  
14 reduction in the length of hospital stay or acute outcomes including in-hospital mortality, admission  
15 to ICU or requirement for IMV were demonstrated(13, 45, 46). Longer term outcomes such as bone  
16 health have not been evaluated. Conversely, two reports of randomized trials of high-dose 25(OH)D3  
17 (instead of vitamin D3 as in the above mentioned studies) on admission and then subsequent doses  
18 on either days 3, 7, then weekly (n=76)(47) or days 3, 7, 15, and 30(n=838)(48), were less likely to  
19 require ICU admission. We identified that vitamin D insufficiency was present early in the course of  
20 COVID-19 and influenza A (10 and 7 days after symptom onset respectively) indicating that timing of  
21 supplementation may be an important factor when designing future supplementation studies. We  
22 propose that future studies examining effects on disease progression should investigate the effects of  
23 vitamin D supplementation given earlier in the course of disease, closer to symptom onset rather than  
24 after hospitalisation. Evidence from an observational study of vitamin D supplementation usage  
25 supports this approach(49). In a cohort of 8297 people with SARS-CoV-2 test results available, habitual  
26 vitamin D supplement intake prior to the pandemic was associated with a reduced risk of a positive  
27 test result after correction for known confounders including demographics and co-morbidities.  
28 Furthermore, despite a decline in vitamin D following cardiothoracic surgery, post-operative  
29 outcomes (including organ dysfunction and mortality) are still associated with pre-operative vitamin  
30 D status(50). This suggests that supplementation prior to illness onset can still be expected to improve  
31 outcomes despite the fall in vitamin D concentration during acute illness. The longer-term effects of  
32 persistent vitamin D insufficiency/deficiency in survivors of critical illness also requires further  
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3 investigation especially in the context of bone health which could be independently evaluated using  
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5 sequential measurement of bone turnover markers and serum PTH.  
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10 The current study has several important limitations. The observational design prevents any  
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12 conclusions about a causal role for vitamin D status in poor clinical outcome being drawn. We cannot  
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14 exclude the alternative explanation that the differences in vitamin D status were a consequence of  
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16 illness severity. Although blood samples were obtained from people with COVID-19 and influenza A  
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18 as soon after hospital admission as feasible, even these early measurements will still be subject to  
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20 acute illness-related changes in vitamin D homeostasis. Healthy control data is presented  
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22 (Supplementary Figure 4) but these samples were obtained between the months of June-September  
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24 whereas samples from people with COVID-19 and influenza A were obtained between November-June  
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26 (though no inter-month variation was observed). Data on pre- or in-hospital vitamin D  
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28 supplementation was not available. Finally, longer term follow-up samples to assess vitamin D status  
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30 in survivors of COVID-19 will be informative.  
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37 In conclusion, vitamin D deficiency/insufficiency was present in the majority of hospitalised patients  
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39 with COVID-19 or influenza A and scaled with severity, highlighting that reduced concentrations of  
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41 vitamin D is common to these disease states and distinct patient cohorts. For the first time, free and  
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43 total 25(OH)D were studied in COVID-19 demonstrating consistent results. It is not clear whether  
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45 vitamin D status led to poor clinical outcome or was a consequence of illness severity. Randomised  
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47 trials will be necessary to determine whether a causal relationship exists between vitamin D early in  
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49 the course of disease and development of critical illness. Since vitamin D deficiency/insufficiency  
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51 persisted at concentrations expected to disrupt bone metabolism in critical illness survivors,  
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53 investigation of longer-term bone health outcomes is also warranted.  
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RJM and EH are part of the VitDAL which provides a 25(OH)D assay service on a not-for-profit basis.

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#### 34 **DATA SHARING**

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36 Access to all data and samples collected by ISARIC4C are controlled by an Independent Data and  
37  
38 Materials Access Committee composed of representatives of research funders, academia, clinical  
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40 medicine, public health, and industry. The application process for access to the data is available on  
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42 the ISARIC4C website (<https://isaric4c.net>).  
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## FIGURE CAPTIONS

### Figure 1: Total 25(OH)D in COVID-19, influenza A and survivors of critical illness

(A) Total 25(OH)D concentrations in patients with COVID-19 (n=295) stratified by receipt of invasive mechanical ventilation. (B) Total 25(OH)D concentrations from COVID-19 patients were divided into quartiles and the proportion of patients who received IMV in each quartile was compared by Chi-squared test. (C) Total 25(OH)D concentrations in patients with influenza A (from 2009 H1N1 pandemic, n=93) stratified by receipt of invasive mechanical ventilation. For (A) and (C), groups are compared by Mann-Whitney test. The stacked bar charts represent the proportion of patients in each sub-group with sufficient (green), insufficient (orange), or deficient (red) total vitamin D status, compared by Chi-squared test. (D) Total 25(OH)D concentrations in non-selected critical illness survivors (n=139, recruited prior to the COVID-19 pandemic) at the time of ICU discharge. On violin plots of total 25(OH)D concentrations (nmol/L) the solid line within the plot represents the median and the dashed lines represent the interquartile range. The dotted lines on the y-axis represent the thresholds for total vitamin D insufficiency (25-50nmol/L) and deficiency (<25nmol/L).

### Figure 2: Total and free 25(OH)D and outcomes in COVID-19 and influenza A

Smoothed predicted probability of outcomes (invasive mechanical ventilation or in-hospital mortality) vs. total or free 25(OH)D concentration (with other co-variables at mean values) from the binary logistic regression multivariable models. Grey ribbon represents estimated 95% confidence interval and the x-axis ticks show observations.

### Figure 3: Free 25(OH)D in COVID-19

(A) Simple linear regression line and 95% confidence interval (dashed lines) representing the correlation between total and free 25(OH)D concentrations in COVID-19. (B) Violin plot of free 25(OH)D concentrations (pg/ml) in patients with COVID-19 stratified by receipt of invasive mechanical

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3 ventilation. The solid line within the plot represents the median and the dashed lines represent the  
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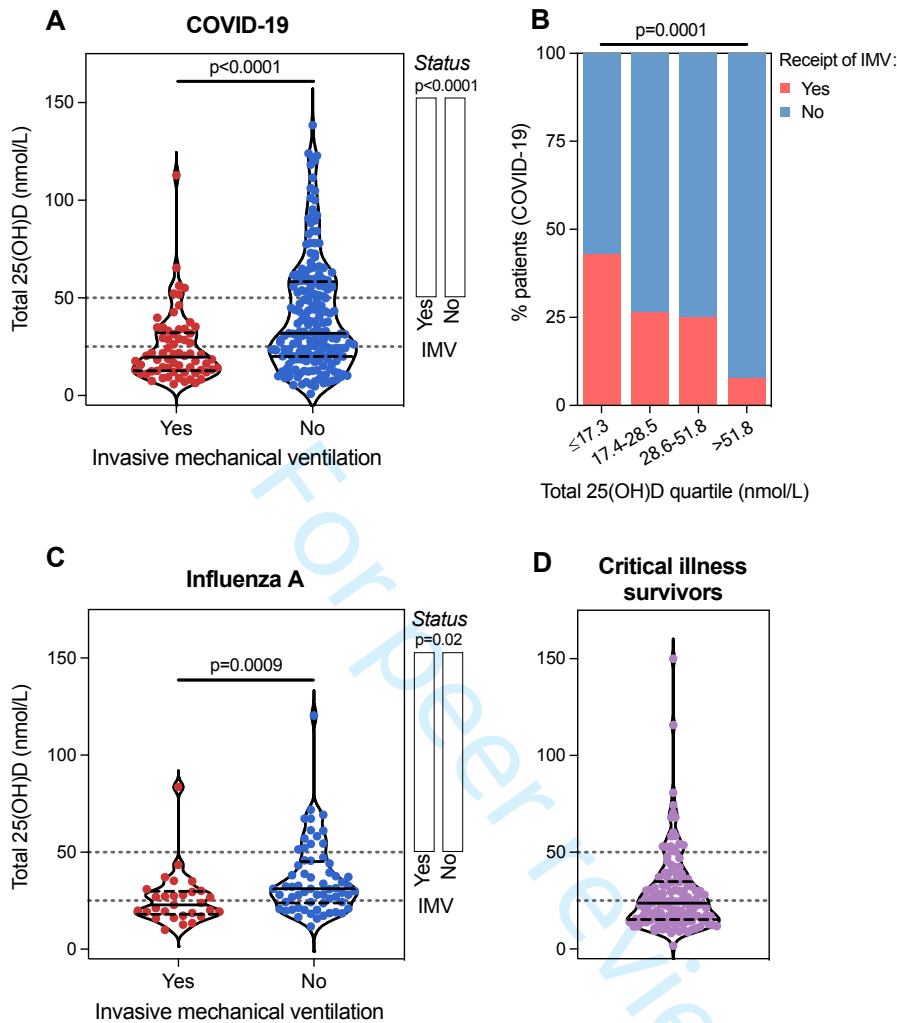
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**Figure 1: Total 25(OH)D in COVID-19, influenza A and survivors of critical illness**

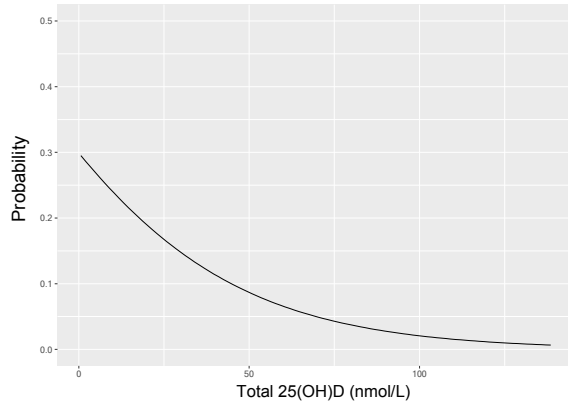
**(A)** Total 25(OH)D concentrations in patients with COVID-19 (n=295) stratified by receipt of invasive mechanical ventilation. **(B)** Total 25(OH)D concentrations from COVID-19 patients were divided into quartiles and the proportion of patients who received IMV in each quartile was compared by Chi-squared test. **(C)** Total 25(OH)D concentrations in patients with influenza A (from 2009 H1N1 pandemic, n=93) stratified by receipt of invasive mechanical ventilation. For (A) and (C), groups are compared by Mann-Whitney test. The stacked bar charts represent the proportion of patients in each sub-group with sufficient (green), insufficient (orange), or deficient (red) total vitamin D status, compared by Chi-squared test. **(D)** Total 25(OH)D concentrations in non-selected critical illness survivors (n=139, recruited prior to the COVID-19 pandemic) at the time of ICU discharge. On violin

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3 plots of total 25(OH)D concentrations (nmol/L) the solid line within the plot represents the median  
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5 and the dashed lines represent the interquartile range. The dotted lines on the y-axis represent the  
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7 thresholds for total vitamin D insufficiency (25-50nmol/L) and deficiency (<25nmol/L).  
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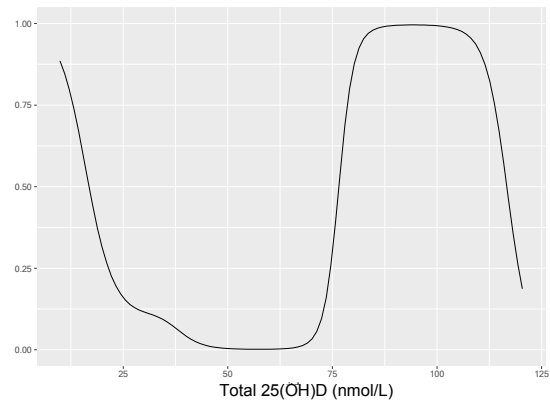
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**Total 25(OH)D**

**A** COVID-19: invasive mechanical ventilation

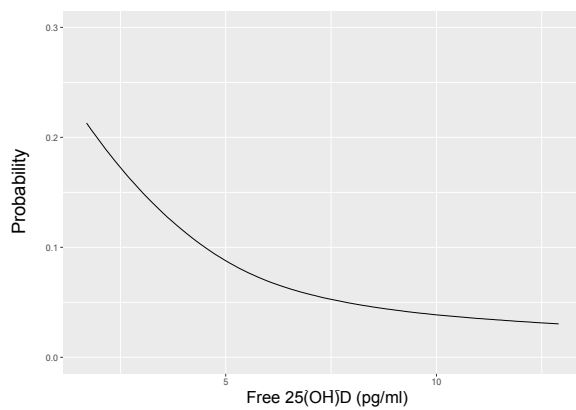


**B** Influenza A: invasive mechanical ventilation

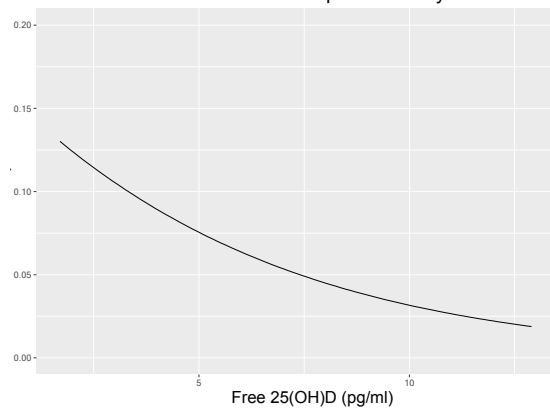


**Free 25(OH)D**

**C** COVID-19: invasive mechanical ventilation

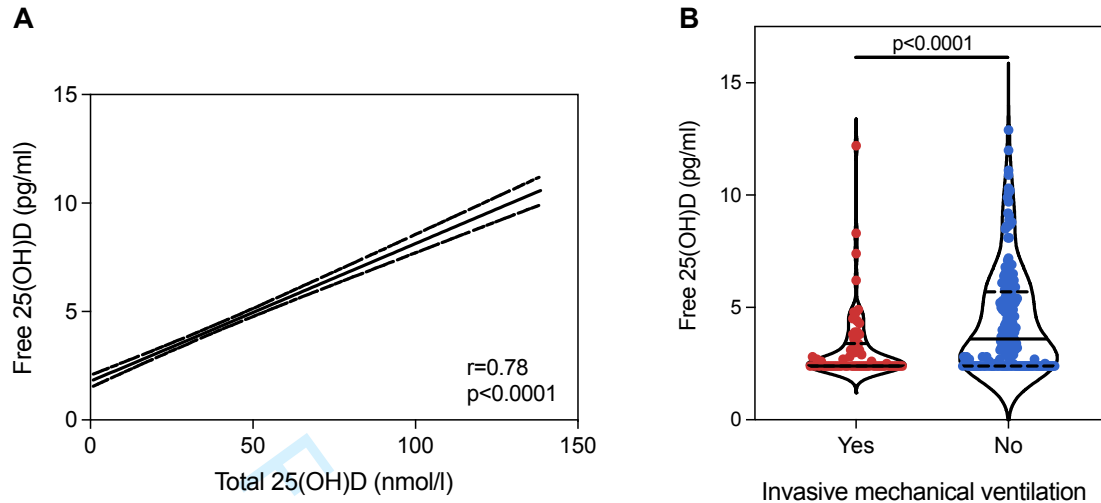


**D** COVID-19: in-hospital mortality



**Figure 2: Total and free 25(OH)D and outcomes in COVID-19 and influenza A**

Smoothed predicted probability of outcomes (invasive mechanical ventilation or in-hospital mortality) vs. total or free 25(OH)D concentration (with other co-variates at mean values) from the binary logistic regression multivariable models. Grey ribbon represents estimated 95% confidence interval and the x-axis ticks show observations.



**Figure 3: Free 25(OH)D in COVID-19**

**(A)** Simple linear regression line and 95% confidence interval (dashed lines) representing the correlation between total and free 25(OH)D concentrations in COVID-19. **(B)** Violin plot of free 25(OH)D concentrations (pg/ml) in patients with COVID-19 stratified by receipt of invasive mechanical ventilation. The solid line within the plot represents the median and the dashed lines represent the interquartile range. Groups are compared by Mann-Whitney test.

## SUPPLEMENTARY DATA

### Supplementary Methods

#### *Definition of vitamin D status*

A total 25(OH)D concentration of 50nmol/L is the value widely used to define vitamin D sufficiency since experimental studies have shown that this is the concentration at which parathyroid hormone concentrations plateau [1,2]. Furthermore, based on evidence from the Institute of Medicine (IOM) and the Scientific Advisory Committee on Nutrition (SACN) which demonstrate an increased risk of poor musculoskeletal health with 25(OH)D levels between 20-30 nmol/L, the Royal Osteoporosis Society guidelines (which advice on testing and treatment of vitamin D in primary care in for the NHS), suggest that plasma 25(OH)D of 25-50 nmol/L may be inadequate in some people [3].

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Supplementary Table 1: LC-MS/MS method parameters

Parameter	COVID-19 (n=259) / ICU (n=139) sample method	Influenza A (n=93) / healthy controls (n=36) sample method
<b>Sample preparation</b>		
Isotopically labelled internal standards	d <sub>3</sub> -25(OH)D <sub>2</sub> <sup>13</sup> C <sub>5</sub> -25(OH)D <sub>3</sub>	- d <sub>6</sub> -25(OH)D <sub>3</sub>
Extraction method	Automated SLE	PPT + LLE
Derivatization	DMEQ-TAD	-
<b>LC-MS instrumentation</b>		
LC-MS system	Shimadzu Nexera UPLC – Sciex QTrap 6500+	Waters ACQUITY TQD UPLC/MS/MS
LC column	Raptor Fluorophenyl column (2.7µm 100 Å, 100 x 2.1 mm)	Phenyl reversed phase LC column
Ionization mode	ESI, positive	Turbulon Spray, positive
Detection mode	MRM	MRM
<b>Method specifications</b>		
LLOD	0.5 nmol/L 25(OH)D <sub>2</sub> 4 nmol/L 25(OH)D <sub>3</sub>	10 nmol/L 25(OH)D <sub>2</sub> 10 nmol/L 25(OH)D <sub>3</sub>
Inter-assay precision (CV)	<11.5% 25(OH)D <sub>2</sub> <11.5% 25(OH)D <sub>3</sub>	<11% 25(OH)D <sub>2</sub> <10% 25(OH)D <sub>3</sub>

n: number of patient samples analysed; d: deuterium labelled; <sup>13</sup>C: carbon 13 labelled; SLE: supported liquid extraction performed on the Biotage® Extrahera™; PPT: protein precipitation; LLE: liquid liquid extraction using n-hexane; ESI: electrospray ionization; MRM: multiple reaction monitoring; LLOD: lower limit of detection; CV: coefficient of variation.



**Supplementary Table 2: Multivariable analyses of total 25(OH)D and vitamin D status and outcomes in COVID-19**

Variable	Odds ratio	p-value
<b>Vitamin D status</b>		
<i>Receipt of IMV</i>		
Sufficient <sup>a</sup>	0.26 (0.1-0.62)	<b>0.004</b>
Male sex	2.41 (1.18-4.91)	<b>0.015</b>
Comorbidity count <sup>b</sup>	-	0.805
Day of illness <sup>b</sup>	-	0.462
Age <sup>b</sup>	-	<b>0.018</b>
<i>In-hospital mortality</i>		
Sufficient <sup>a</sup>	0.27 (0.11-0.68)	<b>0.005</b>
Male sex	2.52 (1.13-5.63)	<b>0.024</b>
Comorbidity count <sup>b</sup>	-	<b>0.016</b>
Day of illness <sup>b</sup>	-	0.576
Age <sup>b</sup>	-	0.059
<b>Total 25(OH)D concentration</b>		
<i>In-hospital mortality</i>		
Male sex	2.49 (1.12-5.57)	<b>0.026</b>
Comorbidity count <sup>b</sup>	-	<b>0.030</b>
<b>Total 25(OH)D<sup>b</sup></b>	-	0.068
Day of illness <sup>b</sup>	-	0.495
Age <sup>b</sup>	-	<b>0.046</b>

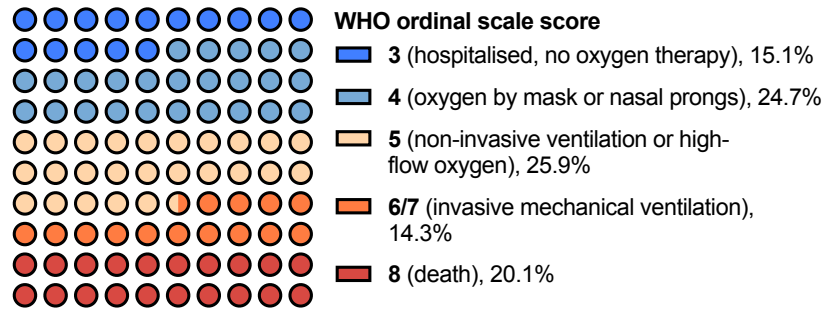
<sup>a</sup>total 25(OH)D >50 nmol/l

<sup>b</sup>smoothed

**Supplementary Table 3: Multivariable analysis of total 25(OH)D concentration and in-hospital mortality in influenza A**

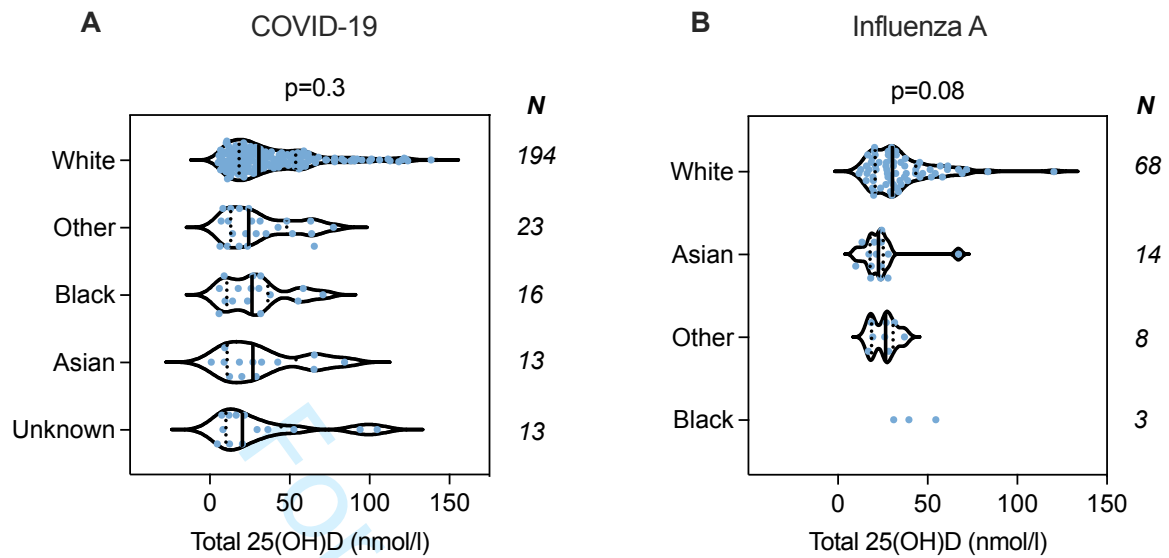
Variable	Odds ratio	p-value
<i>In-hospital mortality</i>		
Male sex	0.84	0.798
Comorbidity count <sup>a</sup>	-	0.539
<b>Total 25(OH)D<sup>a</sup></b>	-	0.421
Day of illness <sup>a</sup>	-	0.244
Age <sup>a</sup>	-	0.200

<sup>a</sup>smoothed



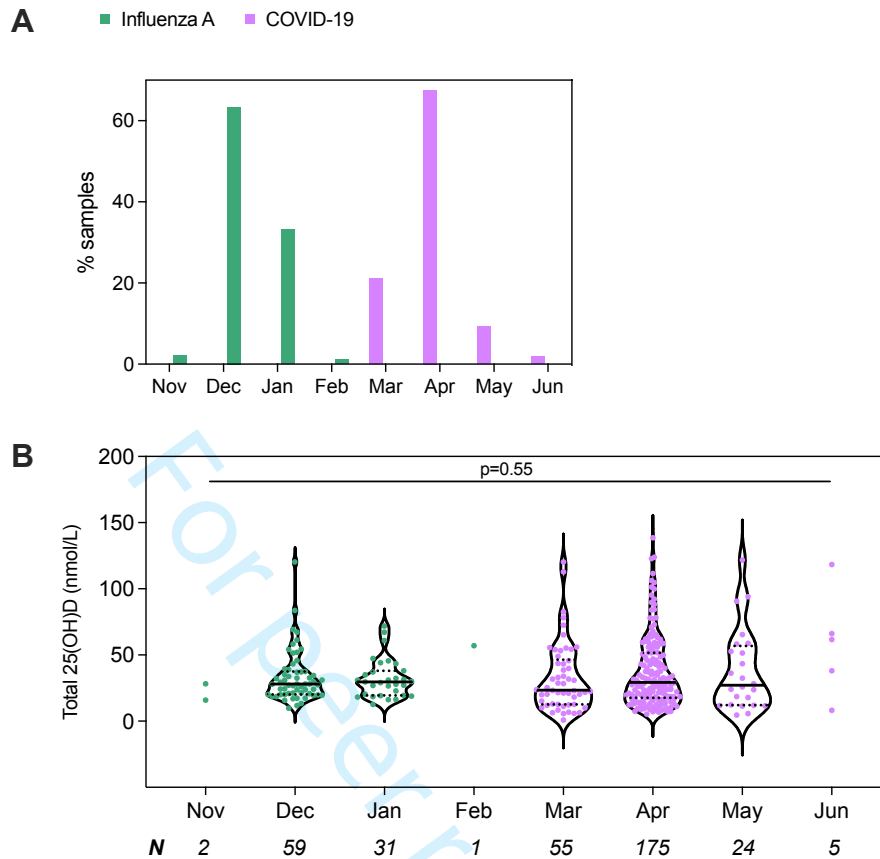
**Supplementary Figure 1: WHO COVID-19 ordinal severity scale scores**

The % refers to the % of patients in the cohort (n=259) with the score. Scores represent maximum illness severity during the hospital admission.



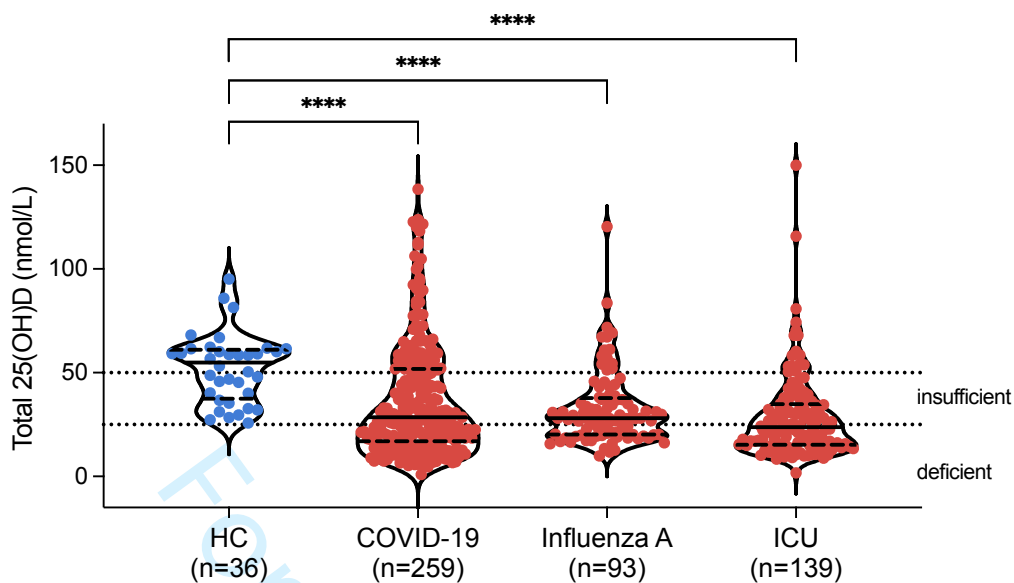
**Supplementary Figure 2: Total 25(OH)D concentration stratified by ethnicity**

(A) COVID-19 and (B) influenza A. The solid line within the violin plot represents the median and the dotted lines represent the interquartile range. Groups  $\leq 5$  are shown as individual data points. Groups were compared by ANOVA. N refers to the number of patients in each group.



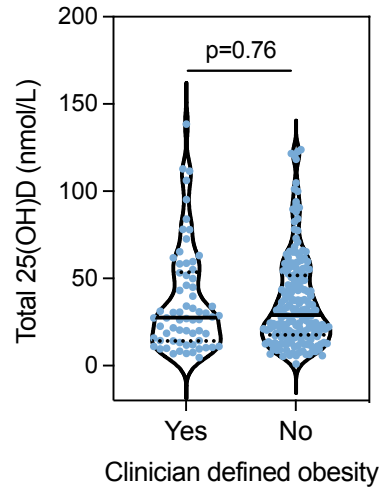
**Supplementary Figure 3: Total 25(OH)D stratified by months of the year**

(A) Month of the year during which samples were obtained from people with influenza A (2009-2011) and COVID-19 (2020). (B) Total 25(OH)D concentrations stratified by month of the year the sample was obtained. Groups compared by Kruskal-Wallis test. The solid line within the violin plot represents the median and the dotted lines represent the interquartile range. N refers to the number of samples for each month. Groups  $\leq 5$  are shown as individual data points.



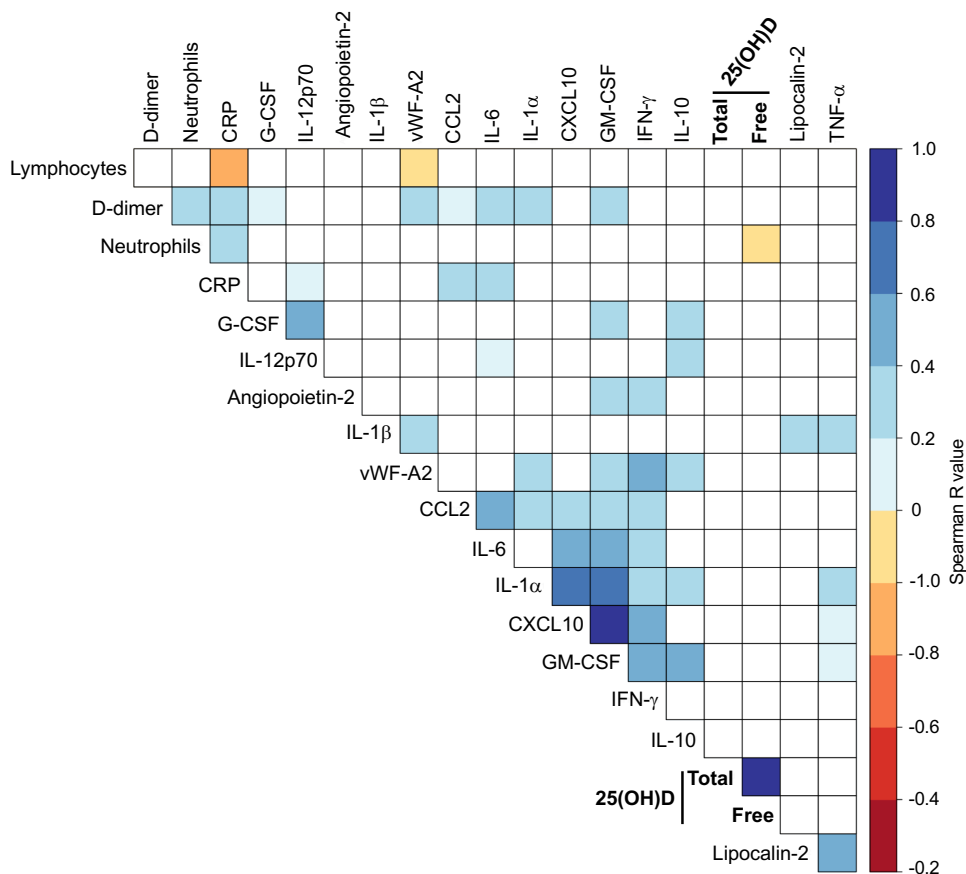
#### Supplementary Figure 4: Total 25(OH)D in patient cohorts compared to healthy controls

Total 25(OH)D measured in healthy controls ("HC", from the MOSAIC study recruited between June-September 2011), hospitalised patients with COVID-19 and influenza A, and non-selected critical illness survivors ("ICU"). The solid line within the violin plot represents the median and the dashed lines represent the interquartile range. Patient cohorts compared to healthy controls by Kruskal-Wallis test and Dunn's multiple comparisons test. \*\*\*\* p<0.0001



**Supplementary Figure 5: Total 25(OH)D in patients with COVID-19 with/without obesity**

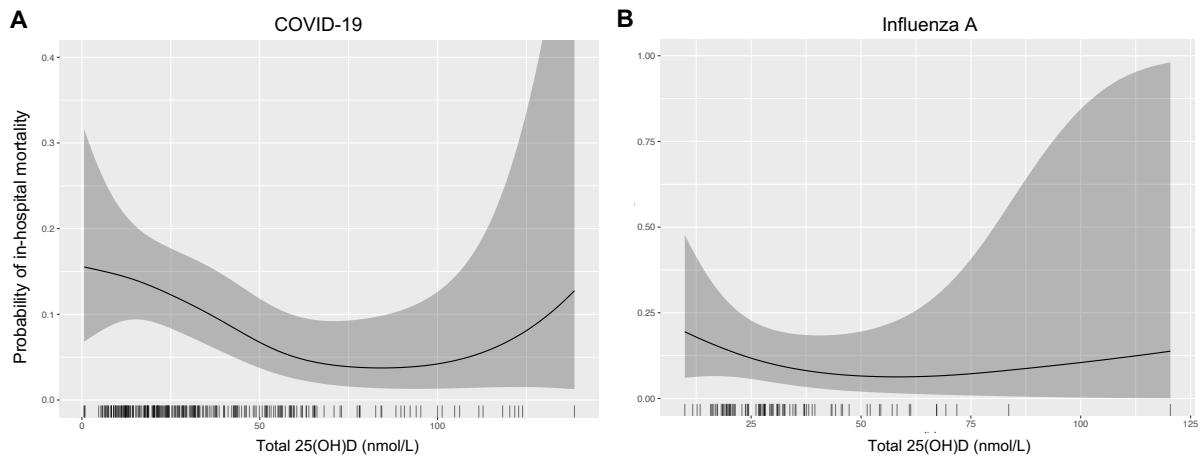
Total 25(OH)D levels in hospitalised patients with COVID-19 with/without clinician defined obesity. Groups compared by Mann-Whitney test. The solid line within the violin plot represents the median and the dotted lines represent the interquartile range.



**Supplementary Figure 6: Correlation analysis of 25(OH)D and inflammatory mediators**

Correlogram of concentrations of plasma inflammatory mediators associated with COVID-19 severity and 25(OH)D (free and total). Cells with a correlation with  $p < 0.05$  (after correction for multiple comparisons) are shaded according to the Spearman R value. Inflammatory mediator measurements were available for 66 patients. Analysis was performed using the *corrplot* package in R.

CRP: C-reactive protein; G-CSF: granulocyte colony-stimulating factor; IL: interleukin; vWF: von Willebrand Factor; CCL2: C-C Motif Chemokine Ligand 2; CXCL10: C-X-C Motif Chemokine Ligand 10; GM-CSF: granulocyte-macrophage colony-stimulating factor; IFN-γ: interferon gamma; TNF-α: tumour necrosis factor alpha.



**Supplementary Figure 7: Total 25(OH)D concentration and in-hospital mortality in COVID-19 and influenza A.**

Smoothed predicted probability of in-hospital mortality vs. total 25(OH)D concentration (with other co-variables at mean values) from the binary logistic regression multivariable models for hospitalised people with (A) COVID-19 and (B) influenza A. Grey ribbon represents estimated 95% confidence interval and the x-axis ticks show observations.



**STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *cross-sectional studies***

Section/Topic	Item #	Recommendation	Reported on page #
<b>Title and abstract</b>	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	3
<b>Introduction</b>			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	5
Objectives	3	State specific objectives, including any prespecified hypotheses	5-6
<b>Methods</b>			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	7-8
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	7-8
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	9
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8-9
Bias	9	Describe any efforts to address potential sources of bias	NA
Study size	10	Explain how the study size was arrived at	NA
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	9
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	9
		(b) Describe any methods used to examine subgroups and interactions	NA
		(c) Explain how missing data were addressed	No missing data
		(d) If applicable, describe analytical methods taking account of sampling strategy	9-10
		(e) Describe any sensitivity analyses	NA
<b>Results</b>			

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	11
		(b) Give reasons for non-participation at each stage	NA
		(c) Consider use of a flow diagram	NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	11, Table 1
		(b) Indicate number of participants with missing data for each variable of interest	None
Outcome data	15*	Report numbers of outcome events or summary measures	11, Table 1
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Yes, throughout
		(b) Report category boundaries when continuous variables were categorized	8-9
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	NA
<b>Discussion</b>			
Key results	18	Summarise key results with reference to study objectives	18
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	20
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	20
Generalisability	21	Discuss the generalisability (external validity) of the study results	20
<b>Other information</b>			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	21-22

\*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at [www.strobe-statement.org](http://www.strobe-statement.org).