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## Challenges Reported by Patients Hospitalized with COVID-19 who Participated in a Randomized Controlled Trial: A Qualitative Study

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# Challenges Reported by Patients Hospitalized with COVID-19 who Participated in a Randomized Controlled Trial: A Qualitative Study

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## Abstract

**Objective:** Patient perspectives are important resources for improvement of care. Here we conducted a qualitative study with hospitalized COVID-19 patients in the randomized Austrian-Corona-Virus-Adaptive-Clinical-Trial. We aimed to identify modifiable and non-modifiable experiences, including challenges, during illness and treatment.

**Methods:** Semi-structured interviews with study participants were conducted via virtual calls. The interviews followed a pre-specified interview guide, and were transcribed verbatim and analyzed in accordance with grounded theory.

**Results:** We conducted 21 interviews with COVID-19 patients (60±15 years). Qualitative data analysis revealed four central themes and several related sub-themes. Theme 1, “A Severe Disease”, is characterized by the sub-themes “symptom burden”, “unpredictability of the disease’s course”, “fear of death”, and “long-term aftermaths with lifestyle consequences”. Theme 2, “Saved and Burdened by Hospitalization”, comprised patients describing their in-hospital experience as a “safe haven” versus a “place of fear”, and highlighted the influence of “isolation”, the “wearisome accommodation (in a non-single room, potentially with severely ill roommates)” and “relationship between patients and medical staff”. Theme 3, “Managing One’s Own Health”, shows how patients relied on “self-management”, “coping” strategies, and “seeking help” as being crucial for their physical and mental well-being. Theme 4, “Belief in Medical Research”, captured patients’ “motivation for study participation”, with the majority expressing “information gaps” and “situational helplessness” in response to study inclusion, while fewer mentioned “therapy side-effects” and provided “study reflection” on the clinical trial. We consider Themes 2, 3, 4 as modifiable and open for interventions to improve COVID-19 patients’ care.

**Conclusions:** Patients with COVID-19 prioritize survival, and appreciate the sense of safety that a clinic provides; however, care and concern for their mental well-being during isolation should be taken seriously. Patient-specific communication and information is of utmost importance during clinical trial participation, and was criticized by participants of the present study. Disease self-management should be actively encouraged.

## INTRODUCTION

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2),<sup>1</sup> the virus that causes coronavirus disease 19 (COVID-19), was first detected in December 2019 in the city of Wuhan (Hubei province, People's Republic of China).<sup>2</sup> As of November 2021, a total of 1907 COVID-19 drug studies have been registered at [clinicaltrials.gov](https://clinicaltrials.gov),<sup>3</sup> alongside an additional 542 registered vaccine studies. The registered drug studies are being conducted with the ultimate aim of identifying COVID-19 treatment options. However, as of January 2022, for patients hospitalized with COVID-19 and requiring only supplemental oxygen, the recommended pharmacological treatment still includes only remdesivir or dexamethasone or dexamethasone plus remdesivir.<sup>4</sup> (Baricitinib or tocilizumab is recommended for those with rapidly increasing oxygen needs.<sup>4</sup>) One interesting randomized trial found that awake prone positioning for acute hypoxemic respiratory failure significantly reduced the incidence of treatment failure (intubation or death) and the need for intubation, without any signal of harm.<sup>5</sup> That study and others<sup>6</sup> provide information of interest to laypeople and can improve self-management of disease.

Since the start of the pandemic, many reports have described results regarding COVID-19 pharmacological treatment (most often ineffective), while analyses of patient experiences are scarce. This discrepancy is unfortunate, as information from patients is valuable for understanding the challenges and opportunities of disease management, and can lead to improved care for others.<sup>7</sup> A previous review by experts in community medicine, disaster medicine, and psychiatry emphasized major emotional distress related to the lack of effective treatments<sup>8</sup> but did not include direct patient evidence. One of the few qualitative interview studies was conducted early in the pandemic with hospitalized SARS-CoV-2 patients from China, and described different stages of attitude towards the disease—ranging from early fear, to denial, and finally to acceptance.<sup>9</sup> That study identified major sources of stress, including quarantine measures and concerns regarding the health of family members.<sup>9</sup> A more recent interview study from the UK was conducted among patients suffering from Long-COVID (here termed Post-COVID, according to the WHO). The authors reported that patients had difficulty being taken seriously, and suggested that quality principles for Post-COVID service should include providing continuity of care.<sup>10</sup>

Randomized controlled trials are the gold standard for examining the effectiveness of new drugs,<sup>11 12</sup> but their success depends on patients' willingness to participate. Recruitment

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3 problems are a common obstacle, which may affect statistical power<sup>13</sup> as well as internal and  
4 external validity<sup>14-16</sup> due to the possibility of selection bias.<sup>17-20</sup> As in other areas of medicine,  
5 advances in COVID-19 treatment cannot be achieved without human participants, who,  
6 importantly, must not be harmed for the sake of research.<sup>21</sup> To ensure maintenance of  
7 participants' right to self-determination, the investigators must obtain informed consent for their  
8 research, by presenting information about a new therapeutic measure and asking the potential  
9 participants to read and sign a detailed written consent document.<sup>22</sup>  
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15 Here we aimed to explore the experiences and perspectives of patients who were  
16 hospitalized with SARS-CoV-2 and participated in a randomized controlled trial. As part of the  
17 present study, the results were also discussed with a focus group comprising three doctors who  
18 took care of the interviewed COVID-19 patients during their hospitalization.  
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## 24 **METHODS**

### 25 **Participants, Clinical Trial Structure and Interview Settings**

26 All participants in the present qualitative interview study were recruited from the Austrian  
27 Corona Virus Adaptive Clinical Trial (ACOVACT). Per the ACOVACT inclusion criteria,  
28 patients had to be hospitalized due to SARS-CoV-2 infection; require oxygen support; have  
29 given informed consent indicating their understanding and agreement to comply with the study;  
30 be  $\geq 18$  years of age; and, for female patients of childbearing potential, be willing to take  
31 effective contraception measures during the study. Patients were excluded if they were moribund  
32 or had an estimated life expectancy  $< 1$  month; were pregnant or breastfeeding; had severe liver  
33 dysfunction; were allergic or intolerant to any of the experimental substances; and/or anticipated  
34 discharge from the hospital within 48 hours after inclusion.  
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44 As of November 2021, ACOVACT is still an ongoing multicenter, randomized, active  
45 controlled, open-label, platform trial on the efficacy and safety of experimental therapeutics for  
46 patients with COVID-19. At the study start in 2020, the different treatment arms of ACOVACT  
47 comprised hydroxychloroquine (subsequently deactivated due to safety concerns),  
48 lopinavir/ritonavir, and camostat. Several substudies for adjunctive treatments were designed  
49 simultaneously, and foresaw additional treatment with rivaroxaban for thromboprophylaxis,  
50 candesartan (for renin-angiotensin system blockade) versus nitrendipin/doxazosin, asunercept,  
51 and Pentaglobin.  
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To qualify for inclusion in the present qualitative interview study, ACOVACT participants had to feel physically and emotionally able to be interviewed, be willing to be interviewed at the time of study inclusion or to schedule interview appointments, and be fluent in German or English. Practically speaking, patients who became hospitalized for COVID-19 in Vienna were asked if they were willing to participate in an interview at the time of their study inclusion into ACOVACT. If they agreed, they were contacted during the following days via telephone or video call. The interviews were conducted with participants treated at two of the ACOVACT study centers: the “Klinik Favoriten” and the “AKH Wien”. Although the initial plan was to conduct an interview at inclusion and another interview after discharge, with corresponding questions, most patients were ultimately interviewed after discharge, as they were often weakened by the disease or not contactable by phone (further details presented in the Results).

### **Ethics Approval**

ACOVACT was approved on April 15, 2020 by the Ethics Committee of the Medical University of Vienna (EK# 1315/2020; EudraCT# 2020-001302-30), is registered with clinicaltrials.gov (NCT04351724), and was conducted and monitored following the standards of good clinical practice.

### **Data Collection and Focus Group**

Authors LH, VT, HM, and LS conducted interviews in German and, in one case, in the English language. Before the interview, patients were informed about the aim of this qualitative study, the audio recording, and the data processing, and were again asked to give their consent. Additionally, the ACOVACT written informed consent form had already included a paragraph about the interview study being part of ACOVACT. A targeted sampling strategy was planned, with the aim of ensuring that the sample of interview participants would be diversified according to demographic and clinical characteristics. It was planned that recruitment would be stopped after saturation was reached, i.e., at the point where no further concepts could be expected from additional interviews.<sup>23 24</sup>

**Table 1** shows the interview guide, which was based on the available literature—albeit scarce, as only one paper was published at the time of the study planning<sup>9</sup>—and discussions among the team. Author AT designed the first version of the interview guide.



**Table 1 | Interviewguide**

<b>Interview 1</b>	
<b>1.1: Experiences with COVID 19</b>	Introduction: If it is alright for you, I would like to talk about the experiences you made, during your COVID-19 disease.
1.1.1	How did it occur, that you got positively tested? What went through your head, as you waited for the test result?
1.1.2	What happened after you received your positive test result? How did your life go on?
1.1.3	How do you manage with the disease in general? Are there any problems, which concern only you?
1.1.4	As you were admitted to hospital, what experiences did you make a) at admission b) with the hospital itself c) the hospital staff?
<b>1.2: Study recruitment and expectations on interventions</b>	
1.2.1	How did you learn about the study?
1.2.2	Can you say in your own words, what the aim of the study is? How easy or difficult was the decision to participate?
1.2.3	How did you decide to participate? Did you ask for the opinion of other people – was it something else?
1.2.4	What did you consider? Were there any fears or concerns?
1.2.5	What are your personal expectations towards the study?
1.2.6	How were you informed about the (antiviral-) therapy? Do you feel well educated about it?
1.2.7	Do you have any concerns regarding therapy risks?
1.2.8	Which potential benefits do you see for yourself?
<b>1.3: Recovery</b>	
1.3.1	If you look into the future – for your remaining time in the hospital – are there any concerns?
1.3.2	And what about the subsequent time at home?
1.3.3	What are your hopes towards the disease course and the remaining time in the hospital?
1.3.4	At which point of condition, do you see yourself as recovered/healthy again? What does it take to get there?
<b>Interview 2</b>	
<b>2.1: Experiences with COVID-19</b>	Introduction: A lot has happened since our last conversation. How have you been?
(2.1.0 if no first interview was taken)	How did it occur, that you got positively tested? What went through your head, as you waited for the test result? What happened after you received your positive test result? How did your life go on?
2.1.1	Can you tell me about your experiences since our last talk? How was the hospital care? – please also elaborate on any negative experiences
2.1.2	What impact did COVID-19 have on you personally? What was very bad, what was kind of harmless?
2.1.3	How did you cope with spending a long time in the hospital?

2.1.4	What was the most difficult time for you, since your infection?
2.1.5	How did you manage? Did you have any help/support? Who helped you during that difficult time?
<b>2.2: Study participation and experiences with intervention</b>	
2.2.1	What was the best/worst about your study participation? – please explain why and how this came about
2.2.2	Were your experiences with the study, as expected? – were there any surprises/something special? Did you miss anything? Based on your experiences, do you have any advice?
2.2.3	Were there any therapy side-effects? If so, can you explain them in more detail?
2.2.4	How satisfied were you with the hospital care? Did you experience a difference in care, since you were included in the study? Do you think that it was an advantage/ a disadvantage for you?
2.2.5	Were there any doubts over the course of the study? Did you regret having participated? If so, why?
2.2.6	Is it important for you to get informed about the study results and to receive them? Are there any open questions concerning the study? Did you have contact to other study participants?
<b>2.3: Recovery</b>	
2.3.1	Whenever you think about recovery – at which point do you see yourself healthy again? Do you need some kind of confirmation for that?
2.3.2	After hospital release – what were your biggest challenges to overcome and your most important sorrows/concerns?
<b>2.4 Final questions</b>	Is there something you would like to add? Did I forget anything to ask?

The interviews were conducted by telephone or video call, digitally recorded, and typed up verbatim. Any names mentioned during the interviews were removed. Interviews were terminated early when necessary due to health complications.

### Data Analysis

Transcripts from the interviews were thoroughly read by the authors (LH, VT, HM, AK, UK, and MH) and were subsequently coded and summarized into categories following the principles of grounded theory.<sup>25 26</sup> For this purpose, the transcripts were entered into one Microsoft Word document by LH, VT, and HM, and then sorted using a previously described text sorting technique,<sup>27</sup> with author UK leading the latter process. Authors LH, VT, HM, AK, and MH held several conferences to identify meaningful segments of text, which were grouped into termed concepts, and then these coding results were presented to author UK for cross-checking. Similar codes were merged into categories to identify patterns and relationships in the data set. Linked

categories were further grouped into main categories and sub-categories and mapped into an illustrative scheme (**Figure 1**). The reporting of the present study complies with the Consolidated Criteria for Reporting Qualitative Health Research.<sup>28</sup>

To ensure rigor of the analysis, we assembled a focus group with three doctors from the Department of Infectiology at the Klinik Favoriten, who had taken care of patients during the time that interview study was conducted. With the focus group, we presented the main categories and held a sincere discussion about the accuracy of the results and possibilities to improve care.

### **Patient and Public Involvement**

It was the nature of this qualitative study to involve patients directly about their experiences with COVID-19 and the ongoing ACOVACT trial, but the development of the research questions was done without direct patient involvement. The manuscript was sent to all participating patients who provided their e-mail addresses when the interviews were conducted.

## **RESULTS**

### **Patient Characteristics and Details of the Recruitment Process**

Among 314 patients who were hospitalized with COVID-19 and included into ACOVACT (218 from “Klinik Favoriten”, and 96 from Vienna General Hospital), 50 patients were asked to participate in the interview study, of whom 20 patients agreed. One patient was interviewed twice, once in hospital and once after discharge. Six interviews were conducted while the interviewees were still in hospital (at least half-way through the individual hospitalization period) and 15 interviews were conducted after their discharge. The interviewing authors LH, VT, HM, and LS reported that recruitment was particularly difficult for the first interview while ACOVACT participants were hospitalized. The most common reasons for ACOVACT participants to not agree to being interviewed during their hospitalization included experiencing physical difficulty, feeling too stressed out, and being unfamiliar with the hospital environment.

Among the 20 interviewed participants, the average  $\pm$  standard deviation age was  $60 \pm 15$  years, 10 were women (**Table 2**), and 1 participant was interviewed twice.

**Table 2** | Characteristics of hospitalized participants in a COVID-19 clinical trial.

Characteristic	Total (n = 20)
<b>Demographic</b>	

Age in years	
30–39, N (%)	3 (15)
40–49, N (%)	1 (5)
50–59, N (%)	5 (25)
60–69, N (%)	6 (30)
70–79, N (%)	4 (20)
80+, N (%)	1 (5)
Mean age in years (SD)	60 (15)
Female, N (%)	10 (50)
Location	
Klinik Favoriten, N (%)	19 (95)
Vienna General Hospital, N (%)	1 (5)
Preferred interview in English, N (%)	1 (5)
<b>Socioeconomic</b>	
Type of education	
Secondary level 1, N (%)	4 (20)
Secondary level 2, N (%)	8 (40)
Post-secondary college/short tertiary, N (%)	2 (10)
University, N (%)	4 (20)
Preferred not to say, N (%)	2 (10)
Marital Status	
Married/Partnered, N (%)	15 (75)
Single, N (%)	1 (5)
Divorced/Separated, N (%)	3 (15)
Widowed, N (%)	1 (5)
Number of Children	
0, N (%)	2 (10)
1, N (%)	2 (10)
2, N (%)	10 (50)
3+, N (%)	6 (30)
People in household, N (%)	
1, N (%)	4 (20)
2, N (%)	9 (45)
3–4, N (%)	5 (25)
5+, N (%)	2 (10)
<b>Clinical</b>	
Symptoms upon admission	
Cough, N (%)	11 (55)
Sore throat, N (%)	5 (25)
Fever, N (%)	11 (55)
Chills, N (%)	6 (30)
Shortness of breath/difficulties breathing, N (%)	9 (45)
Pain/pressure in the chest, N (%)	2 (10)
Fatigue, N (%)	11 (55)
Nausea, Loss of appetite, Stomachache, N (%)	7 (35)
Diarrhea, N (%)	4 (20)

Myalgia, N (%)	4 (20)
Dysgeusia, N (%)	6 (30)
Anosmia, N (%)	5 (25)
<b>Pre-existing conditions</b>	
Diabetes, N (%)	3 (15)
Hypertension, N (%)	12 (60)
Cardiovascular disease, N (%)	7 (35)
Chronic lung disease, N (%)	5 (25)
Obesity (BMI > 30), N (%)	8 (40)
Other, N (%)	10 (55)
<b>Treatment for COVID-19</b>	
Camostat (SOC), N (%)	12 (60)
Hydroxychloroquine/Chloroquine, N (%)	1 (5)
Lopinavir/Ritonavir, N (%)	6 (30)
Asunercept, N (%)	4 (20)
Remdesivir, N (%)	6 (30)
Glucocorticoids, N (%)	14 (70)
No antiviral treatment, N (%)	1 (5)
<b>Oxygen</b>	
Oxygen, N (%)	12 (60)
Non-invasive ventilation or high-flow devices, N (%)	7 (35)
No oxygen, N (%)	1 (5)

Eighteen interviews were conducted by telephone, two by videoconference, and one in person at the hospital. The interviewed participants were hospitalized for a median time of 13 days in total (interquartile range: 10, 17). Most participants (N = 19) had required oxygen supplementation for a minimum of 3 days during their in-hospital stay, of whom 7 participants had received high-flow nasal oxygen or continuous positive airway pressure. One participant was admitted to the ICU but not intubated. The average duration of the interviews  $\pm$  standard deviation was  $25 \pm 13$  minutes. **Table 2** lists details of the ACOVACT-specific treatment of the interviewed participants. Besides their study medication, 14 patients received glucocorticosteroids for a median duration of 9 days, while in-hospital.

### Themes and Subthemes

We identified 4 main themes with a range of 3–6 subthemes for each theme, which are presented in **Table 3** with representative quotations (in addition to the text that follows here below).

of the focus group and the study team.

**Table 3** | Themes, subthemes, and illustrative quotes from patients hospitalized due to COVID-19.

<b>Theme 1: A Severe Disease</b>	
Symptom burden	<p><i>"I got a fever during the night. It was very unpleasant. I was alone in bed, I felt incredibly sick; I couldn't sleep. I almost hallucinated. I couldn't lie still and I had to move all the time. It was a terrible night."</i></p> <p><i>"It got worse in these 6 days and the fever was high—38.5 to, once even, 40—and paracetamol tablets did not help anymore. The fever did not go down. Ibuprofen did not help either."</i></p> <p><i>"The first night was terrible, because I also had this depressive attack somehow and also . . . , that was very strange, almost like hallucinations. And after the first night, I asked for a sleeping pill."</i></p> <p><i>"I only sleep 4 . . . 5 hours and then it's over, despite the sleeping pills."</i></p>
Psychological impact	<p><i>"That scared me, on the psyche, it scared me."</i></p> <p><i>"The worst thing about COVID was that you had no contact with your people."</i></p> <p><i>"In 1996, I had depression. I was afraid that I would fall into it again."</i></p> <p><i>"Yes, at the beginning, after the diagnosis, I have to admit that it really hit me. I sat there for a while and said nothing."</i></p>
Long-term after-maths, lifestyle consequences	<p><i>"I don't have much trouble with walking uphill, but the lungs aren't what they used to be."</i></p> <p><i>"One is so weak. The interest and the ability to concentrate are as well."</i></p> <p><i>"Slow and exhausting. But knowing that you can do it on your own, and that it will probably get better with time, is a good feeling."</i></p>
<b>Theme 2: Saved and Burdened by Hospitalization</b>	
The hospital as a safe haven	<p><i>"It was all very great. I am so satisfied, really, amazing. They [the hospital staff] do it so well, so efficiently, so super!"</i></p> <p><i>"When I was pushed into my room in the hospital, I somehow had the feeling that I was now more or less saved. That was a very strong feeling and that's how it was. That also proved to be true."</i></p> <p><i>"The hospital is not really my business, but in that case I was glad to be admitted."</i></p> <p><i>"It would not be possible without the hospital."</i></p> <p><i>"The most important thing, of course, was the time in the intensive care unit. That was very exhausting. But I felt very well taken care of; everyone took great care of me, the nurses, the doctors, it was really fantastic."</i></p>
In isolation	<p><i>"The smallest is a daddy's child and when daddy is not at home, it is always a bit difficult."</i></p> <p><i>"You know what else was bad? I was not allowed to have any visitors at all."</i></p> <p><i>"It was a psychological burden because I didn't see the family for so long, for months."</i></p> <p><i>"I missed the personal contact. Telephone is not the same."</i></p>
Bound to a place of fear	<p><i>"I was in a panic. There was nothing I could do but push the button to get someone to come. I said, 'That woman is suffocating next to me.'"</i></p> <p><i>"So, we had two half-dead women in the room."</i></p> <p><i>"Yes terrible. Imagine such a night! A woman of 75 who cries for her mother half the night because she doesn't know where she is. You have to process all that first."</i></p>
Relationship between patients & medical staff	<p><i>"The doctors were very nice. But every day, someone else came. Maybe that's normal. But that was quite a burden for me."</i></p> <p><i>"I explained that as a COPD patient, I would not get more than 93 [oxygen saturation], which was ignored. I was always told, 'No, no, 96 or 97.' It was a relatively tedious thing in the hospital."</i></p>
<b>Theme 3: Managing One's Own Health</b>	
Self-management of the disease	<p><i>"Yes, positive and yes, then I called again 1450 and asked for a doctor and they then sent the ambulance."</i></p> <p><i>"[And where did you get the information about the oxygen measurement?] - I have acquaintances who are doctors and I have also informed myself."</i></p> <p><i>"The first thing I did, when I knew that I was positive, I bought an oxygen oximeter and checked it and took my temperature and as soon as I got below</i></p>

	<p>90 with the oxygen saturation, I called the ambulance and we went to the hospital.”</p> <p>“And I always saw that when I turned to the side, the lung function was immediately much worse, the lung performance, the oxygen saturation went down, 10 or 20%. That's why I quickly switched to the prone position and took the oxygen mask. And I saw on the devices that something was happening, in the important parameters.”</p> <p>“And I have also demanded the [oxygen] mask during the day, even though everyone said I didn't need it.”</p> <p>“I checked my breath, of course, because I had been told, “Watch out, if you find it hard to breathe, you'll have to see that you get to the hospital.” I then naturally huffed my breath, as humans are - that's not so conducive either. My daughter is a doctor and she brought me a device for oxygen saturation. We measured again and again and there was an increasing deterioration and then she admitted me.”</p>
Seeking help from others	<p>“My son and husband then decided that I had better come to the hospital so I would be safe.”</p> <p>“Because I knew that I belonged to the vulnerable group, I was voluntarily quarantined. The children and grandchildren brought me food.”</p> <p>“I was already so psychologically battered that my daughter got special permission [to visit] on a Sunday, a week before my release. We were then able to meet in this room where people get together. At a distance, we were able to see each other in person.”</p> <p>“My doctor also told me that I could approach my wife without worrying, that I was not contagious. So we spent a really nice time. She took care of me exclusively.”</p> <p>“I had the telephone with me in the intensive care unit. It gave me a lot of strength when I spoke to or texted my wife.”</p> <p>“My son. He saved the whole family. When he was no longer positive [for COVID-19], he took care of everyone else.”</p>
Coping	<p>“[Is there anything that helps you getting better?] - Yes, I will talk to my kids, I wrote it down and maybe I will do therapy, I don't know yet.”</p> <p>“You live along and you get used to the situation with time.”</p> <p>“In the end, I went in very optimistically and noticed that the course could only be moderately severe, according to the symptoms—no shortness of breath, no pain in the limbs, etc. Then I thought to myself: You're lucky and you'll pull through.”</p> <p>“But I didn't worry about it. I accepted it as it is and made the best of it.”</p>
<b>Theme 4: Unquestioned Belief in Medical Research, Despite Lack of Information</b>	
Motivation for study participation	<p>“I said “Why not?”. If I already have it [COVID-19], then let them try it [the study medication], it's only good to get new drugs on the market.”</p> <p>“Others should be able to learn [through the study]. Maybe others can be helped. I stand behind it. I would do anything.”</p> <p>“Concerning the study, I signed because Mr. X is an incredibly sympathetic person whom I know from television. How or what I got there—no one told me anything about it.”</p> <p>“I didn't think about it for long. I'm fine and I participated out of principle. I didn't think about whether it would be better for me to survive or not. Of course, I thought to myself: they won't kill me.”</p> <p>“I had the feeling that it would be ungrateful of me if I said “No!”.”</p> <p>“I just, out of affect, said yes, because it's ok. But I didn't know what kind of study that was or what they do to you.”</p> <p>“I agreed because it's important to the whole picture, but I can't tell you what they actually did.”</p> <p>“This was an easy decision because I didn't feel there were any alternatives at all.”</p>
Lack of information and helplessness at inclusion	<p>“They told me it's a drug that I'm getting that's been used for other diseases for a longer time and where they hope it will work well for COVID. I don't even know what it's called.”</p> <p>“I got some piece of paper where they told me “Sign it!” and then I signed it.”</p> <p>“The only thing I did not like was, that I was kind of overrun. You sign some papers, but you don't really have the time to read it through, and you are not able to read in that situation.”</p>
Side effects of study treatment	<p>“I was then given tablets that are over 1 cm in size, 8 a day, and just as I popped this tablet on top, I had to go to the loo and I was, really true, suffering from it until yesterday!”</p>
Study reflection	<p>“I would actually be vividly interested in what was studied and attempted in that study.”</p> <p>“I would like to know if it [the treatment] made a difference.”</p>

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3 **Figure 1** presents conceptual links. The 4 themes directly relate to the patients, and were termed  
4 **A Severe Disease**, with subthemes of Symptom Burden, Unpredictability of the Disease's  
5 Course (fear of death), and Lifestyle Consequences with Long-Term Aftermath; **Saved and**  
6 **Burdened by Hospitalization**, with subthemes of Safe Haven vs. Bound to a Place of Fear,  
7 Isolation and Wearsome Accommodation (exposure to dying roommates in a non-single room),  
8 and Relationship between Patients and Medical Staff; **Managing One's Own Health**, with  
9 subthemes of Self-Management of Disease, Coping, and Seeking Help from Others; and  
10 **Unquestioned Belief in Medical Research Despite Lack of Information**, with subthemes of  
11 Motivation for Trial Participation, Information Gap and Helplessness During Inclusion, Side  
12 Effects, and Study Reflection.

## 21 **THEME 1: A SEVERE DISEASE**

### 22 *Symptom Burden*

23  
24 The majority of patients reported symptoms including high fever, often accompanied by  
25 hallucinations and sleeping problems, cough, shortness of breath, dizziness, nausea, fatigue,  
26 weakness, exhaustion, and gustatory dysfunction. In some cases, loss of taste and smell had  
27 made participants aware of a possible SARS-CoV-2 infection early in the course of their disease.  
28 Some participants also reported having lost their appetite and, in combination with the gustatory  
29 dysfunction, having lost a great deal of weight over the disease course. In some cases, the  
30 infected were not prepared; they did not expect the intensity and severity of the virus, and were  
31 caught off guard:

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38 *"That was at the limit. I have never experienced something comparable. I was so weak; I felt*  
39 *very bad."*

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41  
42 For those patients who spent time at home with the disease prior to hospitalization, the  
43 symptoms were a great burden. It was a challenge to remain self-reliant. Everyday life, like  
44 climbing the stairs or even cooking, turned out to be rather difficult. Self-treatment at home was  
45 also difficult. The use of household remedies against infections and over-the-counter antipyretics  
46 often did not have a sufficient therapeutic effect.

### 50 *Unpredictability of the Disease's Course [Fear of Death]*

51  
52 In addition to the heavy physical symptoms, many participants felt mental strain. The positive  
53 test result left some of the affected feeling baffled and surprised, and it took some time for them  
54  
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3 to realize what an infection with COVID-19 actually meant and what consequences might  
4 follow. Along with the new disease came uncertainty and concerns, especially over how the  
5 course of the disease might develop. Some patients feared the possibility of death, and were  
6 concerned about how to survive and how to get better as fast as possible. Patients feared not only  
7 death, but also the possibility of having to be transferred to the intensive care unit for intubation,  
8 which gave them the motivation to avoid this possible scenario at all cost:  
9

10  
11  
12  
13 *“[As you received your positive test result, about what did your worry most?] – surviving, that*  
14 *was my only thought.”*  
15

16  
17 The interviewees often worried more about their family, friends, and close ones than  
18 about themselves. The possibility that they might have infected others was another reason to  
19 worry, and distressed many participants. Before their hospitalization, they had already isolated  
20 themselves from the rest of their family, in order to avoid spreading the disease. Consequently,  
21 their hospitalization represented some relief, taking away the risk of infecting their household  
22 members. In some cases, the spreading of COVID-19 could not be avoided, due to the late onset  
23 of symptoms, which left those patients feeling guilty:  
24  
25  
26  
27  
28

29 *“I was worried for my cousin and his wife; they are both over 60 and it was obvious that I*  
30 *infected them.”*  
31

### 32 33 ***Long-Term Aftermaths with Lifestyle Consequences*** 34

35 For many patients, the impact of COVID-19 did not end with their hospital release. Many  
36 participants remained tired and exhausted, with some reporting that they could not do anything  
37 but sleep for days. The relief of returning to their families, and the happiness of having overcome  
38 the infection, often outweighed the burden of tiredness. However, having spent a long time in  
39 bed with little to no physical activity resulted in loss of strength and muscle mass. Dealing with  
40 everyday tasks, such as shopping for groceries or even climbing the stairs, was a challenge and  
41 quickly led to exhaustion and shortness of breath.  
42  
43  
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46

47 Participants also reported receiving support from their family and friends. Participants’  
48 biggest wish was to return to their initial physical level and to regain their self-reliance. To  
49 regain their strength, some went for long walks, did minor physical workouts, or just tried to  
50 climb the stairs higher and higher, slowly increasing the intensity day by day:  
51  
52  
53

54 *“I have lost a lot of muscles. But I saw the progress; I could go to the toilette by myself, down to*  
55 *the yard, and up the stairs. It was so exhausting; I have felt 15, 20 years older. Everything was*  
56  
57

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3 *so slow and exhausting. But to know, that I can do it alone and that it might get better with time,*  
4 *was a good feeling.”*  
5

6 Some participants still experienced uncertainty and did not know how to proceed to  
7 improve and accelerate their recovery. They worried about disease recurrence and an increased  
8 vulnerability to other infectious diseases. They expressed their wish for better instructions on  
9 how to manage their recovery—specifically, whether any physical examination or future x-ray  
10 follow-ups were recommended, and who could be contacted in case of worsening or unanswered  
11 questions.  
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## 16 17 **THEME 2: SAVED AND BURDENED BY HOSPITALIZATION**

### 18 *The Hospital as a Safe Haven*

19 Before hospital admission, many patients were in despair and stressed out. They realized their  
20 critical medical condition, and reported being aware that they were suffering from a new and  
21 deadly disease. Some of the participants were afraid to stay alone at home, dealing with that  
22 serious disease, and said that as soon as they entered the hospital and were transferred into their  
23 rooms, they felt like they were saved. They saw the hospital as their safe haven and gladly took  
24 that chance. The patients felt rescued and were relieved to be able to place their fate into the  
25 hands of professionals:  
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33 *“As I was brought to my hospital room, I had the feeling that I am saved now. It was a strong*  
34 *feeling and it turned out to be true.”*  
35

36 Most patients felt well cared for and well treated at the hospital. According to them, the  
37 hospital staff handled the exceptional COVID-19 situation professionally. The majority of the  
38 subjects had confidence in the competence of the physicians and nurses, and in the decisions they  
39 made for them (e.g., concerning treatment options):  
40  
41  
42

43 *“I told them: ‘Without you, I would be dead!’ I told it everyone, the cleaning staff and the*  
44 *doctors, amazing work, and all the time with these plastic suits on, that is insane!”*  
45

46 *“I felt that I was in good hands, that they all knew what they were doing.”*  
47

### 48 *In Isolation*

49 On the other hand, the hospitalization also brought isolation and loneliness. Due to COVID-19  
50 restrictions, no visitors were allowed. The contact with medical staff was kept short. The  
51 necessary safety wardrobe of the care takers (suits and face masks) rendered the situation  
52 impersonal, making it difficult to build a personal relationship:  
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3 *“The people come in like Martians. That is a very strange situation.”*  
4  
5

6 The patients usually communicated with their families by telephone or video calls, and  
7 some described that it did not have the same positive social effect as meeting someone in person.  
8 In particular, some of the elderly patients felt very lonely and sad. For most patients, social  
9 support from the outside was very important, because it gave them a perspective and motivation  
10 to overcome this difficult situation. One patient was heavily affected by the isolation and showed  
11 depressive symptoms; therefore, an exception was made and her daughter was allowed to meet  
12 her in an isolated room:  
13  
14  
15  
16

17 *“I was so mentally strained, that my daughter got on Sunday, one week before my release, a*  
18 *special visitor permit. We were allowed to meet in a room. With some distance, we could see us*  
19 *in person. That was the worst about COVID, that you cannot have contact to other people.”*  
20  
21

### 22 ***Bound to a Place of Fear***

23  
24 Another stressful factor for patients was the wearisome accommodation if they were not placed  
25 in a single room. In one particular case, a patient who was placed into the same room with  
26 another COVID-19 patient, witnessed this stranger struggling for life. She saw her roommate  
27 suffering, crying, screaming and fighting for breath, which disturbed her deeply:  
28  
29  
30

31 *“I was in panic. I could not do anything, but press the button for someone to come. I said: ‘The*  
32 *woman next to me is suffocating.’ I asked, if I can get another room, so that I would not notice it.*  
33 *It was horrible. She was retching. I must not think about. That was really wearing.”*  
34  
35

36 Many participants reported that sleeping and resting were difficult in the hospital, and  
37 nearly all said they had received sleeping pills. This was not only because of the noise caused by  
38 other people in the room and ventilators, but also due to the psychological stress.  
39  
40

41 The patients were confined to their beds. They reported that the many hours of just laying  
42 around doing nothing felt grueling and wearisome. Participants suffered from being restricted in  
43 their movements due to medical equipment on the one hand, and by distance and isolation  
44 measures on the other:  
45  
46

47 *“The bed is very tight—you are wired with ECG cables, infusions everywhere. But tied to the bed*  
48 *all the time, that is grueling.”*  
49  
50

51 A few of the interviewees were also discontent with the care they received and, to a  
52 certain degree, regretted their hospital stay:  
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3 *“My luck was that the last virologist I talked to was very nice and competent. He explained*  
4 *everything to me and read my medical history and said that I can go home tomorrow. That was*  
5 *my stroke of luck. But the rest was just terrible!”*  
6

### 7 ***Relationship Between Patients and Medical Staff***

8  
9  
10 The majority of patients felt well cared for and treated in the hospital, but some participant  
11 perceived the relationship between the doctors and the patients as difficult. This predominantly  
12 resulted from the fact that hygiene measures and safety precautions allowed only very short and  
13 impersonal ward rounds. Many patients also reported that they were treated by many different  
14 doctors, but would have preferred a unified team. Representing a special case, some patients  
15 suffered from certain pre-existing conditions, such as COPD or diabetes, and felt incapacitated  
16 with regard to their treatment.  
17

18  
19  
20 *“I felt like I was incapacitated when it came to diabetes. I've had it since 1984, and there's*  
21 *almost nothing I don't know. I know my body best. It also messed me up that I wasn't allowed to*  
22 *inject, even though I had almost 300 [mmHg] of sugar. It was like a horror movie.”*  
23

24  
25  
26 *“A different virologist came every day - I have never seen so many in my life. The virologists in*  
27 *the hospital grow like mushrooms. Every day there was a so-called visit of one minute or one*  
28 *and a half minutes—that was it.”*  
29

## 30 **THEME 3: MANAGING ONE'S OWN HEALTH**

### 31 ***Self-Management of the Disease***

32  
33  
34 Participants reported knowing the common COVID-19 symptoms, and most of them had closely  
35 watched their body signals and well-being before, during, and after their hospitalization. The  
36 participants observed parameters, including body temperature (fever), breathing, and oxygen  
37 saturation. Some had privately purchased a pulse oximeter. Observing their oxygen saturation  
38 gave them a feeling of safety, and enabled them to realize when hospitalization was needed.  
39 After noticing symptoms, many of them had called the Austrian health consultation helpline to  
40 find out if they might have COVID-19. At home, many patients had tried home remedies,  
41 including tea, herbs, homeopathy, and antipyretics. Many of the participants reported that they  
42 had been in contact with their primary care physician, or doctors within their circle of family and  
43 friends, to get more information and recommendations on how to deal with the infection:  
44

45  
46  
47 *“The first thing I did, as I knew that I am positive, was buy a pulse oximeter to monitor myself*  
48 *and measuring my temperature. As soon as my saturation dropped under 90%, I called an*  
49 *ambulance.”*  
50

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3 While in the hospital, the patients followed recommendations of the medical staff to  
4 improve their breathing, by staying in the prone position. Beyond their compliant behavior, they  
5 closely monitored their own health parameters and in the event of worsening oxygen saturation,  
6 reverted themselves to the prone position and reached for their oxygen masks to improve their  
7 condition. Following these instructions, and witnessing that their oxygen saturation improved  
8 with these measures, gave them a feeling of safety and the motivation to struggle on. The  
9 possibility of making a small difference for and by themselves, towards a faster positive  
10 outcome, boosted their moral.  
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### 17 ***Receiving Help from Others***

18 The majority of interviewees reported that they had been dependent on the help and support of  
19 others ever since the onset of the disease. Quite often, their family members had been the ones to  
20 decide that the participants should get to a hospital to receive proper care, rather than staying  
21 home in bad health any longer:  
22  
23  
24  
25

26 *“My husband and my son decided for me to get to the hospital—to be in safety.”*  
27  
28

### 29 ***Coping***

30 Although most of the participants knew the severity and danger of SARS-CoV-2 infection, many  
31 of them stayed calm and tried to think positive. After getting the positive test result, they  
32 reported preparing themselves to endure the next days to weeks, and knowing that it might  
33 become a tough time. One person also reported that he did not mind the isolation at all, because  
34 he liked being alone:  
35  
36  
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38

39 *“I was not like ‘Oh my god! What am I going to do?’ I am a positive thinking person, I was more*  
40 *like ‘How stupid, I was always cautious and now I am innocently infected. Well let’s see, it will*  
41 *be alright.’”*  
42  
43

## 44 **THEME 4: UNQUESTIONED BELIEF IN MEDICAL RESEARCH DESPITE LACK OF**

### 45 **INFORMATION**

#### 46 ***Motivation for Study Participation***

47 The patients had different motives for participating in ACOVACT. Many participated in order to  
48 contribute to science and thus advance research. The majority also reported that they were  
49 motivated by wanting to do something beneficial for society:  
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3 *“I think I do it for the [progress of] medicine, to find a drug against it soon. If there are some*  
4 *persons, who do the study, so you can gather experience and information and can progress*  
5 *better. It is also good for science to research further.”*  
6  
7

8 Apart from altruistic reasons, a few patients also recognized a personal benefit from  
9 participating in the study. These reasons included expecting better treatment and monitoring, as  
10 well as access to new treatment options:  
11

12  
13 *“My god, I simply tried to get better as soon as possible. I didn't realized if it was any new*  
14 *medication. I automatically said yes, because I had the hope that it might help me. I was very*  
15 *concerned to get back to health.”*  
16  
17

18  
19 Many patients also reported that one reason they participated in the study was because  
20 they had confidence in the physicians, and that those physicians would provide them with the  
21 best possible healthcare. Thus, when asked by the physicians to participate in the study, the  
22 patients trusted them so much that they agreed without further consideration:  
23  
24

25  
26 *“You automatically say yes to it because you have the hope that it will make you healthy again.”*  
27

28 A small proportion of interviewees could not report a clear motivating factor. They  
29 participated in the study for no specific reasons:  
30

31  
32 *“I had no motive at all. I mean, put yourself in the position—you have a fever of 38/39, you're*  
33 *glad that you're in the hospital now, that they're going to give you the right medication or*  
34 *something—and then you just say "yes", although you don't know what kind of study it is.”*  
35  
36

### 37 ***Information Gap and Helplessness at Study Inclusion***

38  
39 The probands also voiced very mixed messages regarding how well they were informed about  
40 the clinical trial upon study inclusion. Most patients said that they were inadequately educated at  
41 the beginning of the study. They reported a general lack of information, and could only recall  
42 that they signed “some kind of papers”. Additionally, most patients had little knowledge of how  
43 exactly the drug trial was conducted or what the goal was:  
44  
45

46  
47  
48 *“Somebody asked me, if I would like to participate in a study. I said 'Yes!', but that was it! No*  
49 *Information! Nothing!”*  
50

51 The majority of patients were in a critical health situation when they were admitted to the  
52 hospital and enrolled in the study, and were often confused or found it difficult to respond due to  
53 fever and weakness. In this condition, patients found it difficult to understand and recall the  
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3 information they received at study inclusion. Many patients were overwhelmed by the wealth of  
4 information they were given, especially given their many other concerns and fears at the moment  
5 of hospitalization:  
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7

8 *“I was not even aware that I was taking part in a study. They just kept taking blood from me. I*  
9 *was told: “For antibodies.” But what exactly was meant by that or was done, I didn't know at all.*  
10 *Or I didn't realize it.. could be. I don't know. I miss a few days in my head.”*  
11  
12

13 *“It was on the first day that they talked to me about [the study]. At that time, I was not really*  
14 *receptive.”*  
15  
16

17 Only a few participants were satisfied with the amount of information they had received,  
18 and felt well educated and instructed by the doctors. They reported that the doctors had taken  
19 their time to explain everything, and had repeated incomprehensible information upon request:  
20  
21

22 *“I feel like I was really educated—especially by the attendings—about the dangers and what was*  
23 *being done.”*  
24  
25

### 26 **Side Effects of the Study Treatment**

27 The different experimental therapies in ACOVACT were perceived as having little to no side-  
28 effects. The worst reported side-effect was strong diarrhea caused by the pharmaceutical  
29 “Kaletra” (Lopinavir/Ritonavir). However, many subjects reported problems with the large  
30 amount of medication they were given, or rather the size of the tablets they had to swallow. As a  
31 result, some patients struggled to take their medication on a daily basis:  
32  
33

34 *“The medication box that everyone gets in the hospital—in the morning, at noon, and at night—*  
35 *was suddenly pumped full of drugs.”*  
36  
37

### 38 **Study Reflection**

39  
40 With few exceptions, the patients did not regret having participated in ACOVACT. Only one  
41 patient reported that she had discontinued the study early because she suffered from severe side-  
42 effects of “Kaletra” (Lopinavir/Ritonavir), and felt that she was not sufficiently cared for. The  
43 majority of subjects pursued the strong interest in contributing to society and science through  
44 their participation, and also hoped for the best possible treatment and chance of cure for  
45 themselves:  
46  
47

48 *[So, you don't regret your study participation?] – “No, not in any way. If it has helped me, then I*  
49 *am very grateful.”*  
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3 However, in retrospect, many participants reported that they had been insufficiently  
4 informed about the design and purpose of the study when they had entered it, and some were not  
5 even aware that they were participating in a study. We also asked patients for suggestions for  
6 future improvement. Since many patients were not very receptive at the time of study inclusion,  
7 due to symptoms of illness and general excessive demands, they would have liked to be informed  
8 about the study in detail a second time, at a later point during their hospital stay.  
9

10  
11 For all patients, it was important to identify and understand the purpose and goal of the  
12 study. They unanimously agreed that they had strong interest in the results of the study, because  
13 the study outcome would give meaning to their participation:  
14

15  
16 *“I would really like to know what the purpose of this study was. Are they using people as guinea  
17 pigs or does this have a therapeutic purpose? Or anything else? How is this being evaluated?  
18 That's important to me, really.”*  
19  
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## 26 **DISCUSSION**

27  
28 In the present qualitative interview study with patients who were hospitalized for COVID-19 and  
29 participated in the ACOVACT randomized trial, we identified meaningful themes with  
30 implications for care. Among the nonmodifiable or only partially modifiable themes, patients  
31 reported suffering from the uncertainty and severity of COVID-19, and the burdensome hospital  
32 situation due to isolation, although hospitalization was initially considered a salvation. Notably, a  
33 key finding was that many participants expressed appreciation for being able to self-manage their  
34 disease course. Specifically, they reported that they had treated their disease symptoms  
35 independently at home, and later proactively participated in their treatment at the hospital,  
36 benefitting from additional support by healthcare professionals. The participants greatly  
37 appreciated information regarding breathing positions in relationship to oxygen saturation  
38 values, as well as emotional support from family. Although this finding is seemingly self-  
39 evident, we believe that such care was of high value, especially since a patient's breathing  
40 position has proven benefit in terms of outcomes.<sup>5 6</sup>  
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51 We also gained knowledge about how the study subjects perceived their participation in a  
52 randomized trial on pharmacological COVID-19 treatment options. For most patients, agreeing  
53 to participate was a matter of principle, with primary motivations including altruism, and belief  
54 and trust in science. Many participants also hoped that access to the trial medication would bring  
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56  
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1  
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3 them back to health more quickly. However, the majority complained about inadequate  
4 education regarding the study itself. This finding is crucially important. The process of obtaining  
5 informed consent is based on disclosure of adequate information, the patient's intellectual ability,  
6 and voluntariness, and is not just a matter of documentation.<sup>29</sup> Additionally, patients should be so  
7 actively involved into the study discussion that they can make an autonomous decision regarding  
8 the proposed study treatment.<sup>30 31</sup> However, even outside of COVID-19 research and its unusual  
9 circumstances, there is growing evidence that the informed consent process does not fully meet  
10 the needs of clinical research participants.<sup>32-35</sup> Although process of informed consent has become  
11 increasingly regulated and standardized, its challenges are difficult to tackle.<sup>36</sup> The consent form  
12 itself has been criticized for becoming longer and more complicated, obscuring important details,  
13 and being geared towards the interests of institutions and sponsors. Data show that even after  
14 signing an informed consent form, participants have limited understanding of the information  
15 about the study.<sup>22 36</sup>

16  
17 As an important validation requirement of qualitative studies, we discussed our results  
18 with a focus group of three doctors who worked at the study center, and asked them for their  
19 interpretation of the themes and ways to improve care. The focus group concluded that the  
20 patients' poor state of health was partially responsible for their reported uninformedness about  
21 their trial participation. It is entirely possible that COVID-19 patients who were thus sickened by  
22 a systematic febrile disease potentially causing hypoxia and dehydration had a poor  
23 comprehension of the purpose and goal of the study, or had impaired powers of recall of the  
24 consent process which was provided by GCP trained investigators and found to be adequate at  
25 that particular time. Communication barriers by masked caregivers and the fact that many  
26 patients did not speak German as their first language could have adversely contributed. Stressful  
27 and insecure hospital life during the pandemic, isolation measures, and the doctors' and nurses'  
28 fears of becoming infected might also have contributed to the reported lack of information and  
29 poor doctor-patient relationships. The short daily visits with limited patient contact hindered  
30 communication, which was also described as regrettable from the doctors' side. Focus group  
31 members suggested repeating trial education after inclusion, to increase the knowledge of the  
32 trial participants and avoid misunderstandings. From the knowledge gained, a list of suggestions  
33 (**Table 4**) was created to improve the future treatment of COVID-19, and the implementation of  
34 clinical trials in times of crisis.

**Table 4** | Suggestions for improvement.

<b>Clinicians' perspective:</b>
<b>Trial information:</b> Re-educate patients after a few days, ask several times if everything was understood, ideally in a calm setting with less stress and more receptivity than on the day of admission. If possible, hand out an extra information sheet with briefly summarized study issues in simple language for the layperson to understand.
<b>Burden of isolation:</b> Ease the isolation by increasing contact with family and friends through daily phone or video calls. Also support elderly people, who might have problems applying video chat programs, to enable face-to-face chatting. Educate patients about the possibility of professional psychological support if needed, and establish an available team of psychotherapists for the given task.
<b>Recovery-management:</b> Instruct patients on the recommended next steps and, particularly, where to turn for further information and support in the hospital's discharge letter or in a discharge conversation. The aim should be a multidisciplinary rehabilitation plan, with GP check-up, respiratory and cardiac consultants, physiotherapists, and psychologists, which can be even more important for Post-COVID.
<b>Patients' perspective:</b>
<b>Educate in self-monitoring:</b> Educate all patients about the simplest health parameters, especially the understanding of oxygen saturation and its importance in the disease course of COVID-19. Show patients how saturation levels change depending on the position in which they lay in bed, and the positive effect of laying in the prone position on physiological ventilation. After hospital release, advise patients, who are unsure about possible relapse or recovery progress, to get a pulse oximeter for monitoring and reassurance.
<b>Be inclusive in treatment choices:</b> Be aware of not only the special needs of patients with diabetes or COPD, but also of their higher level of medical knowledge. Provide enhanced patient-doctor communication to elaborate patients' previous knowledge about self-therapy.
<b>Accommodation:</b> More quickly isolate healthier patients from patients with critical medical conditions, to avoid them witnessing disturbing incidents. Pay close attention and communicate openly with patients to avoid wearisome and unpleasant accommodation and interference with roommates, especially because patients are tied to their beds and isolated with strangers in the same room for a long time, which already leads to lower resilience concerning stressful events. Perfect accommodation for everyone can hardly be accomplished, but should be pursued as far as possible.

To our knowledge, only few qualitative studies with COVID-19 patients have previously been published, of which two were conducted in the UK,<sup>10 37</sup> another one in China<sup>9</sup> and also Denmark.<sup>38</sup> Patients from the Danish study described COVID-19 as a threat to existence, and expressed disbelief and surprise of being affected by the unthinkable.<sup>38</sup> An interview study from the UK investigated the experiences of older people with household isolation and social distancing during COVID-19.<sup>37</sup> Finally, a Latinx study,<sup>39</sup> conducted at two public hospitals in

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3 Colorado and San Francisco, identified people's disbelief and misinformedness regarding the  
4 virus, as COVID-19 was described as not real or as an invention of the government.

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6 A strength of the present study is that qualitative studies with COVID-19 patients are  
7 scarce compared to clinical research, and patient-reported experiences may define important  
8 areas for improved care and potentially better outcomes. The recruited patients exhibited a wide  
9 range of ages, had different social backgrounds, and experienced individual disease courses with  
10 differing disease severity. Additionally, our research team included both clinicians and social  
11 scientists. Moreover, the study is unique, as there is currently no comparable literature describing  
12 the experiences of hospitalized COVID-19 patients in a clinical trial. However, the sample was  
13 limited, and the results may not have fully captured the perspectives of some minority ethnic  
14 groups. Furthermore, in the planning phase of the study, the difficulty of conducting interviews  
15 during the patients' hospitalization was not expected. Therefore, the interview guides for time-  
16 point 1 (hospital) and time-point 2 (after hospital release) were merged, to avoid missing  
17 information, as the interview often could not be conducted early enough during hospitalization.  
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21 In summary, this study shows what patients went through after having been infected and  
22 hospitalized with SARS-CoV-2, and how they experienced their participation in a clinical trial  
23 during the COVID-19 pandemic. Patients were altogether grateful for the medical support and  
24 felt safe during their in-hospital stay, but substantial efforts should be made to care for their  
25 mental well-being during isolation, as the hospital was also seen as a "place of fear".  
26 Importantly, our analysis suggests that communication about trial participation was insufficient.  
27 Specifically, our interviewees expressed their appreciation of research, but criticized being not  
28 adequately informed about the trial's design and objectives. This finding needs to be confirmed  
29 by other groups and in additional study settings, in the unfortunate, virtual absence of qualitative  
30 research on COVID-19. In contrast to qualitative studies, quantitative clinical research (including  
31 clinical trials) is massive. The vast majority of clinical trials have so far been negative regarding  
32 their respective primary endpoints. If other groups can confirm that many of these trial  
33 participants have felt underinformed, then an ethical discussion on the future of COVID-19  
34 research is needed. Besides better communication with patients, the results of our study also point  
35 to the importance of self-management of disease, which should be much more actively  
36 encouraged, as long as an immediate cure for COVID-19 is not within reach.  
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## CONTRIBUTORSHIP STATEMENT

M. Hecking, A. Tong, A. Kurnikowski and R. Reindl-Schwaighofer conceptualized the study. M. Traugott, S. Omid and A. Zoufaly treated and patients at the study site. The detailed interview design, conductance and analysis is described above. M. Hecking handled ethics submission with the ACOVACT study team. L. Hofstetter, V. Tinhof, H. Mayfurth, A. Kurnikowski and M. Hecking wrote the manuscript. V. Rathkolb, R. Reindl-Schwaighofer, M. Traugott, S. Omid, A. Zoufaly, A. Tong and U. Kropiunigg reviewed and corrected the manuscript. All authors approved the final version of the manuscript before submission.

## COMPETING INTERESTS

None of the authors declared any competing interests with respect to the present manuscript.

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## DATA SHARING STATEMENT

All original data will be provided upon request.

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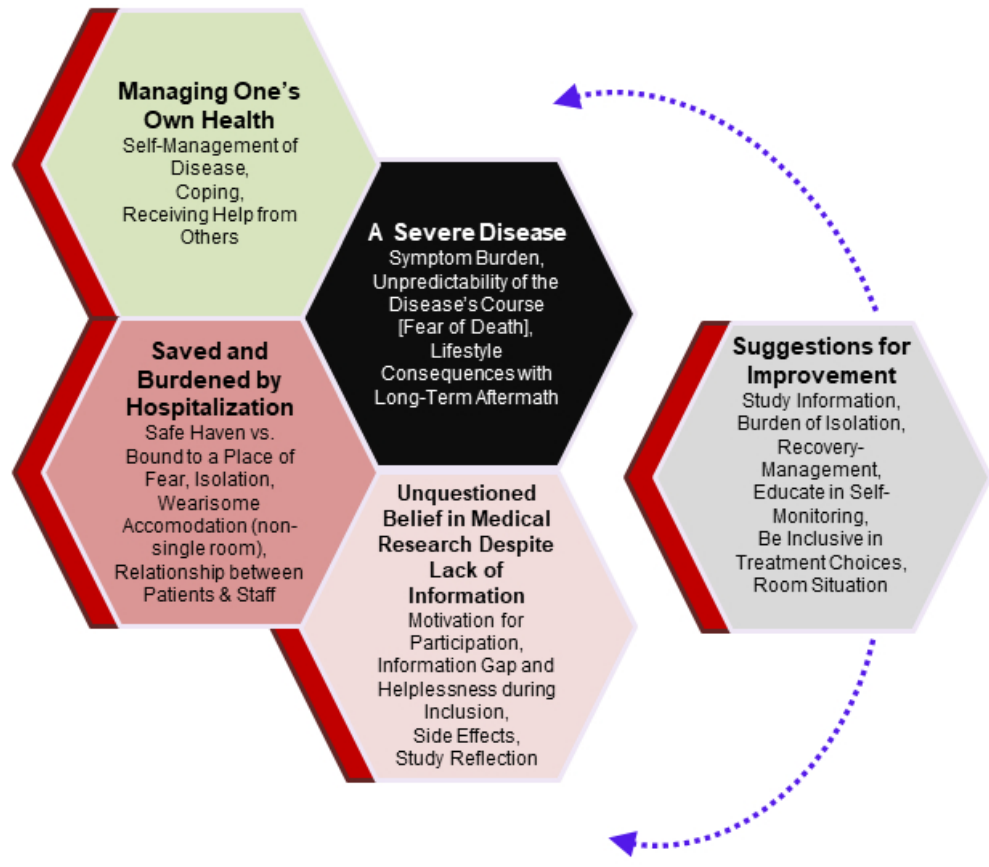
## FIGURE LEGEND

**Figure 1:** Thematic schema showing themes and subthemes arising from qualitative analysis. “Suggestions for improvement” were developed by reflecting on the content of the qualitative interviews, and through discussions among the clinicians

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# BMJ Open

## Experiences and challenges faced by patients with COVID-19 who were hospitalized and participated in a randomized controlled trial: A qualitative study

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## Experiences and challenges faced by patients with COVID-19 who were hospitalized and participated in a randomized controlled trial: A qualitative study

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## Abstract

**Objectives:** As part of a randomized controlled trial, this qualitative study aimed to identify experiences and challenges of hospitalized patients with COVID-19 during illness and treatment (objective 1: COVID-19-related perspectives; objective 2: trial participation-related perspectives).

**Design:** Semi-structured interviews following a pre-specified interview guide, transcribed verbatim and analyzed in accordance with the grounded theory process. Investigator triangulation served to ensure rigor of the analysis.

**Setting:** Interviews were embedded in a multicenter, randomized, active controlled, open-label, platform trial testing efficacy and safety of experimental therapeutics for patients with COVID-19 (Austrian Corona Virus Adaptive Clinical Trial [ACOVACT]).

**Participants:** 20 patients (60±15 years) providing 21 interviews from 8-june-2020 through 25-april-2021.

**Results:** Qualitative data analysis revealed four central themes with sub-themes. Theme 1, “A Severe Disease”, related to objective 1, was characterized by sub-themes “symptom burden”, “unpredictability of the disease’s course”, “fear of death”, and “long-term aftermaths with lifestyle consequences”. Theme 2, “Saved and Burdened by Hospitalization”, related to objective 1, comprised patients describing their in-hospital experience as “safe haven” versus “place of fear”, highlighting the influence of “isolation”. Theme 3, “Managing One’s Own Health”, related to objective 1, showed how patients relied on “self-management” and “coping” strategies. Theme 4, “Belief in Medical Research”, related to objective 2, captured patients’ “motivation for study participation”, many expressing “information gaps” and “situational helplessness” in response to study inclusion, while fewer mentioned “therapy side-effects” and provided “study reflection”. Investigator triangulation with an expert focus group of three doctors who worked at the study center confirmed the plausibility of these results.

**Conclusions:** Several of the identified themes (2, 3, 4) are modifiable and open for interventions to improve care of patients with COVID-19. Patient-specific communication and information is of utmost importance during clinical trial participation, and was criticized by participants of the present study. Disease self-management should be actively encouraged.

### Strengths and Limitations:

- The study's methodology, of gathering patient perspectives, is well suited to identify issues that matter to individuals who, in future, require hospitalization for COVID-19.
- Patient perspectives regarding trial participation are of general interest to other researchers who conduct clinical trials, potentially also non-COVID-19 related.
- Coding was done by several team members, which renders the findings plausible, and our qualitative data analysis applied two types of triangulation, increasing the study's trustworthiness.
- The sample size is limited, as it was difficult to gain access to patients hospitalized with COVID-19.
- Our analysis aimed less at developing a theory than at identifying categories and themes relevant to improving patient care in a pandemic with many unknowns.

## INTRODUCTION

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2),<sup>1</sup> the virus that causes coronavirus disease 19 (COVID-19), was first detected in December 2019 in the city of Wuhan (Hubei province, People's Republic of China).<sup>2</sup> As of November 2021, a total of 1907 COVID-19 drug studies were registered at clinicaltrials.gov, alongside an additional 542 registered vaccine studies.<sup>3</sup> Drug studies are being conducted with the ultimate aim of identifying COVID-19 treatment options. However, as of January 2022, the recommended pharmacological treatment for patients hospitalized with COVID-19 and requiring only supplemental oxygen included only remdesivir or dexamethasone or dexamethasone plus remdesivir.<sup>4</sup> (Baricitinib or tocilizumab was at that time recommended for those with rapidly increasing oxygen needs.<sup>4</sup>) One interesting randomized trial found that awake prone positioning for acute hypoxemic respiratory failure significantly reduced the incidence of treatment failure (intubation or death) and the need for intubation, without any signal of harm.<sup>5</sup> That study and others<sup>6</sup> provide information of interest to laypeople and can improve self-management of disease.

Since the start of the pandemic, many reports have described results regarding COVID-19 pharmacological treatment, while analyses of patient experiences are scarce. This discrepancy is unfortunate, as information from patients is valuable for understanding the challenges and opportunities of disease management, and can lead to improved care for others.<sup>7</sup> A previous review by experts in community medicine, disaster medicine, and psychiatry emphasized major emotional distress related to the lack of effective treatments<sup>8</sup> but did not include direct patient evidence. One of the few qualitative interview studies was conducted early in the pandemic with hospitalized SARS-CoV-2 patients from China, and described different stages of attitude towards the disease—ranging from early fear, to denial, and finally to acceptance.<sup>9</sup> That study identified major sources of stress, including quarantine measures and concerns regarding the health of family members.<sup>9</sup> A more recent interview study from the UK was conducted among patients suffering from Long-COVID<sup>10</sup> (here termed Post-COVID, according to the WHO). The authors reported that patients had difficulty being taken seriously, and suggested that quality principles for Post-COVID service should include providing continuity of care.<sup>10</sup> To our best knowledge, there are no other qualitative studies that might have explored overall experiences of individuals with COVID-19, and especially those related to hospitalization.

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3 Randomized controlled trials are the gold standard for examining the effectiveness of  
4 new drugs,<sup>11 12</sup> but their success depends on patients' willingness to participate. Recruitment  
5 problems are a common obstacle, which may affect statistical power<sup>13</sup> as well as internal and  
6 external validity<sup>14-16</sup> due to the possibility of selection bias.<sup>17-20</sup> As in other areas of medicine,  
7 advances in COVID-19 treatment cannot be achieved without human participants, who,  
8 importantly, must not be harmed for the sake of research.<sup>21</sup> To ensure maintenance of  
9 participants' right to self-determination, the investigators must obtain informed consent for their  
10 research, by presenting information about a new therapeutic measure and asking the potential  
11 participants to read and sign a detailed written consent document.<sup>22</sup>

12 Here we aimed to explore the experiences and perspectives of patients who were  
13 hospitalized with SARS-CoV-2 and simultaneously participated in a randomized controlled trial.  
14 Our first objective was to capture COVID-19-related perspectives, while our second objective  
15 was to capture trial participation-related perspectives. As part of the present study, the results  
16 were also discussed with an expert focus group comprising three doctors who had been taking  
17 care of the interviewed patients with COVID-19 during their hospitalization.

## 31 **METHODS**

### 32 **Study Design, Setting and Participants**

33 All participants in the present qualitative interview study were recruited from the Austrian  
34 Corona Virus Adaptive Clinical Trial (ACOVACT). The design of the qualitative study that is  
35 presented here, and which was embedded in ACOVACT, is further described below. ACOVACT  
36 itself was designed as a multicenter, randomized, active controlled, open-label, platform trial on  
37 the efficacy and safety of experimental therapeutics for patients with COVID-19. At the study  
38 start in 2020, the different treatment arms of ACOVACT comprised hydroxychloroquine  
39 (subsequently deactivated due to safety concerns), lopinavir/ritonavir, and camostat. Several  
40 substudies for adjunctive treatments were designed simultaneously, and foresaw additional  
41 treatment with rivaroxaban for thromboprophylaxis, candesartan (for renin-angiotensin system  
42 blockade) versus nitrendipin/doxazosin, asunercept, and Pentaglobin.

43 Per the ACOVACT inclusion criteria, participants had to be hospitalized due to SARS-  
44 CoV-2 infection; require oxygen support; have given informed consent indicating their  
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3 understanding and agreement to comply with the study; be  $\geq 18$  years of age; and, for female  
4 patients of childbearing potential, be willing to take effective contraception measures during the  
5 study. Patients were excluded if they were moribund or had an estimated life expectancy  $< 1$   
6 month; were pregnant or breastfeeding; had severe liver dysfunction; were allergic or intolerant  
7 to any of the experimental substances; and/or anticipated discharge from the hospital within 48  
8 hours after inclusion. As of November 2021, ACOVACT was still ongoing.

9  
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12  
13 To qualify for inclusion in the present qualitative interview study, ACOVACT  
14 participants had to feel physically and emotionally able to be interviewed, be willing to be  
15 interviewed at the time of study inclusion or to schedule interview appointments, and be fluent in  
16 German or English. Practically speaking, patients who became hospitalized for COVID-19 in  
17 Vienna were asked if they were willing to participate in an interview at the time or shortly after  
18 their study inclusion into ACOVACT, by the ACOVACT recruitment team. If they agreed, they  
19 were contacted by the investigators of the present study (mainly LH, VT and HM) during the  
20 following days via telephone or video call. The interviews were supposed to be conducted with  
21 participants treated at two of the ACOVACT study centers: the “Klinik Favoriten” and the  
22 “AKH Wien”. Although the initial plan was to conduct an interview at inclusion and another  
23 interview after discharge, with corresponding questions, most patients were ultimately  
24 interviewed after discharge, as they were often weakened by the disease or not contactable by  
25 phone (further details presented in the Results). Ultimately, only one participant was interviewed  
26 twice. The interviews were conducted by telephone or video call, digitally recorded, and typed  
27 up verbatim. Any names mentioned during the interviews were removed. Interviews were  
28 terminated early when necessary due to health complications.

## 29 30 31 32 33 34 35 36 37 38 39 40 41 42 **Ethics Approval**

43 ACOVACT was approved on April 15, 2020 by the Ethics Committee of the Medical University  
44 of Vienna (EK# 1315/2020; EudraCT# 2020-001302-30), is registered with clinicaltrials.gov  
45 (NCT04351724), and was conducted and monitored following the standards of good clinical  
46 practice.  
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## 51 52 **Details of the Data Collection**

53 Authors LH, VT and HM were medical students at the Medical University of Vienna, had access  
54 to both study sites and conducted interviews in German and, in one case, in the English  
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56  
57

language. Before the interview, patients were informed about the aim of this qualitative study, the audio recording, and the data processing, and were again asked to give their verbal consent. Additionally, the ACOVACT written informed consent form had already included a paragraph about the interview study being part of ACOVACT. A targeted sampling strategy was planned, with the aim of ensuring that the sample of interview participants would be diversified according to demographic and clinical characteristics. It was planned that recruitment would be stopped after saturation was reached, i.e., at the point where no further concepts could be expected from additional interviews.<sup>23 24</sup>

**Table 1** shows the interview guide, which was based on the available literature—albeit scarce, as only one paper was published at the time of the study planning<sup>9</sup>—and discussions among the team. Author AT designed the first version of the interview guide.

**Table 1** | Interviewguide

<b>Interview 1</b>	
<b>1.1: Experiences with COVID 19</b>	Introduction: If it is alright for you, I would like to talk about the experiences you made, during your COVID-19 disease.
1.1.1	How did it occur, that you got positively tested? What went through your head, as you waited for the test result?
1.1.2	What happened after you received your positive test result? How did your life go on?
1.1.3	How do you manage with the disease in general? Are there any problems, which concern only you?
1.1.4	As you were admitted to hospital, what experiences did you make a) at admission b) with the hospital itself c) the hospital staff?
<b>1.2: Study recruitment and expectations on interventions</b>	
1.2.1	How did you learn about the study?
1.2.2	Can you say in your own words, what the aim of the study is? How easy or difficult was the decision to participate?
1.2.3	How did you decide to participate? Did you ask for the opinion of other people – was it something else?
1.2.4	What did you consider? Were there any fears or concerns?
1.2.5	What are your personal expectations towards the study?
1.2.6	How were you informed about the (antiviral-) therapy? Do you feel well educated about it?
1.2.7	Do you have any concerns regarding therapy risks?
1.2.8	Which potential benefits do you see for yourself?
<b>1.3: Recovery</b>	
1.3.1	If you look into the future – for your remaining time in the hospital – are there any concerns?
1.3.2	And what about the subsequent time at home?

1.3.3	What are your hopes towards the disease course and the remaining time in the hospital?
1.3.4	At which point of condition, do you see yourself as recovered/healthy again? What does it take to get there?
<b>Interview 2</b>	
<b>2.1: Experiences with COVID-19</b>	Introduction: A lot has happened since our last conversation. How have you been?
(2.1.0 if no first interview was taken)	How did it occur, that you got positively tested? What went through your head, as you waited for the test result? What happened after you received your positive test result? How did your life go on?
2.1.1	Can you tell me about your experiences since our last talk? How was the hospital care? – please also elaborate on any negative experiences
2.1.2	What impact did COVID-19 have on you personally? What was very bad, what was kind of harmless?
2.1.3	How did you cope with spending a long time in the hospital?
2.1.4	What was the most difficult time for you, since your infection?
2.1.5	How did you manage? Did you have any help/support? Who helped you during that difficult time?
<b>2.2: Study participation and experiences with intervention</b>	
2.2.1	What was the best/worst about your study participation? – please explain why and how this came about
2.2.2	Were your experiences with the study, as expected? – were there any surprises/something special? Did you miss anything? Based on your experiences, do you have any advice?
2.2.3	Were there any therapy side-effects? If so, can you explain them in more detail?
2.2.4	How satisfied were you with the hospital care? Did you experience a difference in care, since you were included in the study? Do you think that it was an advantage/ a disadvantage for you?
2.2.5	Were there any doubts over the course of the study? Did you regret having participated? If so, why?
2.2.6	Is it important for you to get informed about the study results and to receive them? Are there any open questions concerning the study? Did you have contact to other study participants?
<b>2.3: Recovery</b>	
2.3.1	Whenever you think about recovery – at which point do you see yourself healthy again? Do you need some kind of confirmation for that?
2.3.2	After hospital release – what were your biggest challenges to overcome and your most important sorrows/concerns?
<b>2.4 Final questions</b>	Is there something you would like to add? Did I forget anything to ask?

## Details of the Data Analysis and Investigator Triangulation

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2  
3 Anonymized transcripts from the interviews were thoroughly read by the authors (LH, VT, HM,  
4 AK, UK, and MH) and were subsequently coded and summarized into categories following the  
5 principles of the grounded theory process.<sup>25 26</sup> For this purpose, the transcripts were entered into  
6 one Microsoft Word document by LH, VT, and HM, and then sorted using a previously  
7 described text sorting technique for qualitative data analysis<sup>27</sup> based on the Microsoft Word  
8 program, with author UK leading the latter process. Authors LH, VT, HM, AK, and MH  
9 conducted several coding sessions to identify meaningful concepts through open, axial, and  
10 selective coding, which were then grouped into termed concepts. To obtain grounded categories  
11 with higher conceptual strength, similar codes and categories were constantly compared and  
12 merged into new categories representing essential patterns and relationships in the dataset. All of  
13 the resulting categories and sub-categories are illustrated in **Figure 1**. The study complies with  
14 the Consolidated Criteria for Reporting Qualitative Health Research.<sup>28</sup>

15  
16 To ensure rigor of the analysis, we arranged two triangulation settings: firstly, a coder  
17 triangulation with author UK and secondly a focus group or support team triangulation  
18 consisting of three doctors from the Department of Infectiology at the Klinik Favoriten, who had  
19 been taking care of patients during the time of the interviews. Specifically, preliminary coding  
20 results were presented to author UK for cross-checking at multiple occasions (first form of  
21 triangulation [coder triangulation]). Moreover, the main categories were presented to the expert  
22 focus group participants with whom we had a sincere discussion about the accuracy of the results  
23 and possibilities to improve care (second form of triangulation). Regarding the selection process  
24 of the focus group, anyone working at the ACOVACT sites in Vienna was eligible for  
25 participation. However, we hypothesized that the most meaningful outcomes would be obtained  
26 if the focus group included predominantly those doctors who were relatively active in recruiting  
27 ACOVACT participants. Only verbal consent was obtained from the participants of the focus  
28 group. The outcomes from the discussion were protocolized, summarized and added to this  
29 manuscript's results section. Those manuscript sections and sentences describing the focus group  
30 discussion were corrected several times, until all those participating in the focus group were  
31 satisfied with the final version.

## 32 **Patient and Public Involvement**

33  
34 It was the nature of this qualitative study to involve patients directly about their experiences with  
35 COVID-19 and the ongoing ACOVACT trial, but the development of the research questions was

done without direct patient involvement. The manuscript was sent to all participating patients who provided their e-mail addresses when the interviews were conducted.

## RESULTS

### Patient Characteristics and Details of the Recruitment Process

Among 314 patients who were hospitalized with COVID-19 and included into ACOVACT (218 from “Klinik Favoriten”, and 96 from Vienna General Hospital), 50 patients were asked to participate in the interview study, of whom 20 patients agreed. One patient was interviewed twice, once in hospital and once after discharge. Six interviews were conducted while the interviewees were still in hospital (at least half-way through the individual hospitalization period) and 15 interviews were conducted after their discharge. The interviewing authors LH, VT and HM reported that recruitment was particularly difficult for the first interview while ACOVACT participants were hospitalized. The most common reasons for ACOVACT participants to not agree to being interviewed during their hospitalization included experiencing physical difficulty, feeling too stressed out, and being unfamiliar with the hospital environment.

The interviews were conducted from 8 June 2020 through 25 April 2021. Among the 20 interviewed participants, the average  $\pm$  standard deviation age was  $60 \pm 15$  years, 10 were women (Table 2), and 1 participant was interviewed twice.

**Table 2** | Characteristics of hospitalized participants in a COVID-19 clinical trial.

Characteristic	Total (n = 20)
<b>Demographic</b>	
Age in years	
30–39, N (%)	3 (15)
40–49, N (%)	1 (5)
50–59, N (%)	5 (25)
60–69, N (%)	6 (30)
70–79, N (%)	4 (20)
80+, N (%)	1 (5)
Mean age in years (SD)	60 (15)
Female, N (%)	10 (50)
<b>Location</b>	
Klinik Favoriten, N (%)	19 (95)
Vienna General Hospital, N (%)	1 (5)
Preferred interview in English, N (%)	1 (5)

<b>Socioeconomic</b>	
Type of education	
Secondary level 1, N (%)	4 (20)
Secondary level 2, N (%)	8 (40)
Post-secondary college/short tertiary, N (%)	2 (10)
University, N (%)	4 (20)
Preferred not to say, N (%)	2 (10)
Marital Status	
Married/Partnered, N (%)	15 (75)
Single, N (%)	1 (5)
Divorced/Separated, N (%)	3 (15)
Widowed, N (%)	1 (5)
Number of Children	
0, N (%)	2 (10)
1, N (%)	2 (10)
2, N (%)	10 (50)
3+, N (%)	6 (30)
People in household, N (%)	
1, N (%)	4 (20)
2, N (%)	9 (45)
3–4, N (%)	5 (25)
5+, N (%)	2 (10)
<b>Clinical</b>	
Symptoms upon admission	
Cough, N (%)	11 (55)
Sore throat, N (%)	5 (25)
Fever, N (%)	11 (55)
Chills, N (%)	6 (30)
Shortness of breath/difficulties breathing, N (%)	9 (45)
Pain/pressure in the chest, N (%)	2 (10)
Fatigue, N (%)	11 (55)
Nausea, Loss of appetite, Stomachache, N (%)	7 (35)
Diarrhea, N (%)	4 (20)
Myalgia, N (%)	4 (20)
Dysgeusia, N (%)	6 (30)
Anosmia, N (%)	5 (25)
Pre-existing conditions	
Diabetes, N (%)	3 (15)
Hypertension, N (%)	12 (60)
Cardiovascular disease, N (%)	7 (35)
Chronic lung disease, N (%)	5 (25)
Obesity (BMI > 30), N (%)	8 (40)
Other, N (%)	10 (55)
Treatment for COVID-19	
Camostat (SOC), N (%)	12 (60)
Hydroxychloroquine/Chloroquine, N (%)	1 (5)

Lopinavir/Ritonavir, N (%)	6 (30)
Asunercept, N (%)	4 (20)
Remdesivir, N (%)	6 (30)
Glucocorticoids, N (%)	14 (70)
No antiviral treatment, N (%)	1 (5)
<b>Oxygen</b>	
Oxygen, N (%)	12 (60)
Non-invasive ventilation or high-flow devices, N (%)	7 (35)
No oxygen, N (%)	1 (5)

Eighteen interviews were conducted by telephone, two by videoconference, and one in person at the hospital. The interviewed participants were hospitalized for a median time of 13 days in total (interquartile range: 10, 17). Most participants (N = 19) had required oxygen supplementation for a minimum of 3 days during their in-hospital stay, of whom 7 participants had received high-flow nasal oxygen or continuous positive airway pressure. One participant was admitted to the ICU but not intubated. The average duration of the interviews  $\pm$  standard deviation was  $25 \pm 13$  minutes. **Table 2** lists details of the ACOVACT-specific treatment of the interviewed participants. Besides their study medication, 14 patients received glucocorticosteroids for a median duration of 9 days, while in-hospital.

### Themes and Subthemes

We identified 4 main themes with a range of 3–6 subthemes for each theme, which are presented in **Table 3** with representative quotations (in addition to the text that follows here below). The first 3 themes belong to the first study objective (COVID-19-related patient perspectives), while theme 4 belongs to the second study objective (trial participation-related patient perspectives).

**Table 3** | Themes, subthemes, and illustrative quotes from patients hospitalized due to COVID-19.

<b>Theme 1: A Severe Disease</b>	
Symptom burden	<p><i>"I got a fever during the night. It was very unpleasant. I was alone in bed, I felt incredibly sick; I couldn't sleep. I almost hallucinated. I couldn't lie still and I had to move all the time. It was a terrible night."</i></p> <p><i>"It got worse in these 6 days and the fever was high—38.5 to, once even, 40—and paracetamol tablets did not help anymore. The fever did not go down. Ibuprofen did not help either."</i></p> <p><i>"The first night was terrible, because I also had this depressive attack somehow and also . . . , that was very strange, almost like hallucinations. And after the first night, I asked for a sleeping pill."</i></p> <p><i>"I only sleep 4 . . . 5 hours and then it's over, despite the sleeping pills."</i></p>
Psychological impact	<p><i>"That scared me, on the psyche, it scared me."</i></p> <p><i>"The worst thing about COVID was that you had no contact with your people."</i></p> <p><i>"In 1996, I had depression. I was afraid that I would fall into it again."</i></p> <p><i>"Yes, at the beginning, after the diagnosis, I have to admit that it really hit me. I sat there for a while and said nothing."</i></p>
Long-term after-maths, lifestyle consequences	<p><i>"I don't have much trouble with walking uphill, but the lungs aren't what they used to be."</i></p> <p><i>"One is so weak. The interest and the ability to concentrate are as well."</i></p> <p><i>"Slow and exhausting. But knowing that you can do it on your own, and that it will probably get better with time, is a good feeling."</i></p>
<b>Theme 2: Saved and Burdened by Hospitalization</b>	
The hospital as a safe haven	<p><i>"It was all very great. I am so satisfied, really, amazing. They [the hospital staff] do it so well, so efficiently, so super!"</i></p> <p><i>"When I was pushed into my room in the hospital, I somehow had the feeling that I was now more or less saved. That was a very strong feeling and that's how it was. That also proved to be true."</i></p> <p><i>"The hospital is not really my business, but in that case I was glad to be admitted."</i></p> <p><i>"It would not be possible without the hospital."</i></p> <p><i>"The most important thing, of course, was the time in the intensive care unit. That was very exhausting. But I felt very well taken care of; everyone took great care of me, the nurses, the doctors, it was really fantastic."</i></p>
In isolation	<p><i>"The smallest is a daddy's child and when daddy is not at home, it is always a bit difficult."</i></p> <p><i>"You know what else was bad? I was not allowed to have any visitors at all."</i></p> <p><i>"It was a psychological burden because I didn't see the family for so long, for months."</i></p> <p><i>"I missed the personal contact. Telephone is not the same."</i></p>
Bound to a place of fear	<p><i>"I was in a panic. There was nothing I could do but push the button to get someone to come. I said, 'That woman is suffocating next to me.'"</i></p> <p><i>"So, we had two half-dead women in the room."</i></p> <p><i>"Yes terrible. Imagine such a night! A woman of 75 who cries for her mother half the night because she doesn't know where she is. You have to process all that first."</i></p>
Relationship between patients & medical staff	<p><i>"The doctors were very nice. But every day, someone else came. Maybe that's normal. But that was quite a burden for me."</i></p> <p><i>"I explained that as a COPD patient, I would not get more than 93 [oxygen saturation], which was ignored. I was always told, 'No, no, 96 or 97.' It was a relatively tedious thing in the hospital."</i></p>
<b>Theme 3: Managing One's Own Health</b>	
Self-management of the disease	<p><i>"Yes, positive and yes, then I called again 1450 and asked for a doctor and they then sent the ambulance."</i></p> <p><i>"[And where did you get the information about the oxygen measurement?] - I have acquaintances who are doctors and I have also informed myself."</i></p> <p><i>"The first thing I did, when I knew that I was positive, I bought an oxygen oximeter and checked it and took my temperature and as soon as I got below</i></p>



	<p>90 with the oxygen saturation, I called the ambulance and we went to the hospital.”</p> <p>“And I always saw that when I turned to the side, the lung function was immediately much worse, the lung performance, the oxygen saturation went down, 10 or 20%. That's why I quickly switched to the prone position and took the oxygen mask. And I saw on the devices that something was happening, in the important parameters.”</p> <p>“And I have also demanded the [oxygen] mask during the day, even though everyone said I didn't need it.”</p> <p>“I checked my breath, of course, because I had been told, “Watch out, if you find it hard to breathe, you'll have to see that you get to the hospital.” I then naturally huffed my breath, as humans are - that's not so conducive either. My daughter is a doctor and she brought me a device for oxygen saturation. We measured again and again and there was an increasing deterioration and then she admitted me.”</p>
Seeking help from others	<p>“My son and husband then decided that I had better come to the hospital so I would be safe.”</p> <p>“Because I knew that I belonged to the vulnerable group, I was voluntarily quarantined. The children and grandchildren brought me food.”</p> <p>“I was already so psychologically battered that my daughter got special permission [to visit] on a Sunday, a week before my release. We were then able to meet in this room where people get together. At a distance, we were able to see each other in person.”</p> <p>“My doctor also told me that I could approach my wife without worrying, that I was not contagious. So we spent a really nice time. She took care of me exclusively.”</p> <p>“I had the telephone with me in the intensive care unit. It gave me a lot of strength when I spoke to or texted my wife.”</p> <p>“My son. He saved the whole family. When he was no longer positive [for COVID-19], he took care of everyone else.”</p>
Coping	<p>“[Is there anything that helps you getting better?] - Yes, I will talk to my kids, I wrote it down and maybe I will do therapy, I don't know yet.”</p> <p>“You live along and you get used to the situation with time.”</p> <p>“In the end, I went in very optimistically and noticed that the course could only be moderately severe, according to the symptoms—no shortness of breath, no pain in the limbs, etc. Then I thought to myself: You're lucky and you'll pull through.”</p> <p>“But I didn't worry about it. I accepted it as it is and made the best of it.”</p>
<b>Theme 4: Unquestioned Belief in Medical Research, Despite Lack of Information</b>	
Motivation for study participation	<p>“I said “Why not?”. If I already have it [COVID-19], then let them try it [the study medication], it's only good to get new drugs on the market.”</p> <p>“Others should be able to learn [through the study]. Maybe others can be helped. I stand behind it. I would do anything.”</p> <p>“Concerning the study, I signed because Mr. X is an incredibly sympathetic person whom I know from television. How or what I got there—no one told me anything about it.”</p> <p>“I didn't think about it for long. I'm fine and I participated out of principle. I didn't think about whether it would be better for me to survive or not. Of course, I thought to myself: they won't kill me.”</p> <p>“I had the feeling that it would be ungrateful of me if I said “No!”.”</p> <p>“I just, out of affect, said yes, because it's ok. But I didn't know what kind of study that was or what they do to you.”</p> <p>“I agreed because it's important to the whole picture, but I can't tell you what they actually did.”</p> <p>“This was an easy decision because I didn't feel there were any alternatives at all.”</p>
Lack of information and helplessness at inclusion	<p>“They told me it's a drug that I'm getting that's been used for other diseases for a longer time and where they hope it will work well for COVID. I don't even know what it's called.”</p> <p>“I got some piece of paper where they told me “Sign it!” and then I signed it.”</p> <p>“The only thing I did not like was, that I was kind of overrun. You sign some papers, but you don't really have the time to read it through, and you are not able to read in that situation.”</p>
Side effects of study treatment	<p>“I was then given tablets that are over 1 cm in size, 8 a day, and just as I popped this tablet on top, I had to go to the loo and I was, really true, suffering from it until yesterday!”</p>
Study reflection	<p>“I would actually be vividly interested in what was studied and attempted in that study.”</p> <p>“I would like to know if it [the treatment] made a difference.”</p>

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3 **Figure 1** presents conceptual links.  
4

5 **THEME 1: A SEVERE DISEASE (belongs to objective 1 [COVID-19-related])**

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7 ***Symptom Burden***  
8

9 The majority of patients reported symptoms including high fever, often accompanied by  
10 hallucinations and sleeping problems, cough, shortness of breath, dizziness, nausea, fatigue,  
11 weakness, exhaustion, and gustatory dysfunction. In some cases, loss of taste and smell had  
12 made participants aware of a possible SARS-CoV-2 infection early in the course of their disease.  
13 Some participants also reported having lost their appetite and, in combination with the gustatory  
14 dysfunction, having lost a great deal of weight over the disease course. In some cases, the  
15 infected were not prepared; they did not expect the intensity and severity of the virus, and were  
16 caught off guard:  
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22  
23 *“That was at the limit. I have never experienced something comparable. I was so weak; I felt*  
24 *very bad.”*  
25

26 For those patients who spent time at home with the disease prior to hospitalization, the  
27 symptoms were a great burden. It was a challenge to remain self-reliant. Everyday life, like  
28 climbing the stairs or even cooking, turned out to be rather difficult. Self-treatment at home was  
29 also difficult. The use of household remedies against infections and over-the-counter antipyretics  
30 often did not have a sufficient therapeutic effect.  
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36 ***Unpredictability of the Disease’s Course [Fear of Death]***  
37

38 In addition to the heavy physical symptoms, many participants felt mental strain. The positive  
39 test result left some of the affected feeling baffled and surprised, and it took some time for them  
40 to realize what an infection with COVID-19 actually meant and what consequences might  
41 follow. Along with the new disease came uncertainty and concerns, especially over how the  
42 course of the disease might develop. Some patients feared the possibility of death, and were  
43 concerned about how to survive and how to get better as fast as possible. Patients feared not only  
44 death, but also the possibility of having to be transferred to the intensive care unit for intubation,  
45 which gave them the motivation to avoid this possible scenario at all cost:  
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51 *“[As you received your positive test result, about what did your worry most?] – surviving, that*  
52 *was my only thought.”*  
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3 The interviewees often worried more about their family, friends, and close ones than  
4 about themselves. The possibility that they might have infected others was another reason to  
5 worry, and distressed many participants. Before their hospitalization, they had already isolated  
6 themselves from the rest of their family, in order to avoid spreading the disease. Consequently,  
7 their hospitalization represented some relief, taking away the risk of infecting their household  
8 members. In some cases, the spreading of COVID-19 could not be avoided, due to the late onset  
9 of symptoms, which left those patients feeling guilty:

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15 *“I was worried for my cousin and his wife; they are both over 60 and it was obvious that I*  
16 *infected them.”*  
17

### 18 19 ***Long-Term Aftermaths with Lifestyle Consequences*** 20

21 For many patients, the impact of COVID-19 did not end with their hospital release. Many  
22 participants remained tired and exhausted, with some reporting that they could not do anything  
23 but sleep for days. The relief of returning to their families, and the happiness of having overcome  
24 the infection, often outweighed the burden of tiredness. However, having spent a long time in  
25 bed with little to no physical activity resulted in loss of strength and muscle mass. Dealing with  
26 everyday tasks, such as shopping for groceries or even climbing the stairs, was a challenge and  
27 quickly led to exhaustion and shortness of breath.  
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33 Participants also reported receiving support from their family and friends. Participants’  
34 biggest wish was to return to their initial physical level and to regain their self-reliance. To  
35 regain their strength, some went for long walks, did minor physical workouts, or just tried to  
36 climb the stairs higher and higher, slowly increasing the intensity day by day:  
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39  
40 *“I have lost a lot of muscles. But I saw the progress; I could go to the toilette by myself, down to*  
41 *the yard, and up the stairs. It was so exhausting; I have felt 15, 20 years older. Everything was*  
42 *so slow and exhausting. But to know, that I can do it alone and that it might get better with time,*  
43 *was a good feeling.”*  
44  
45

46 Some participants still experienced uncertainty and did not know how to proceed to  
47 improve and accelerate their recovery. They worried about disease recurrence and an increased  
48 vulnerability to other infectious diseases. They expressed their wish for better instructions on  
49 how to manage their recovery—specifically, whether any physical examination or future x-ray  
50 follow-ups were recommended, and who could be contacted in case of worsening or unanswered  
51 questions.  
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3 **THEME 2: SAVED AND BURDENED BY HOSPITALIZATION (belongs to objective 1**  
4 **[COVID-19-related])**

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6 ***The Hospital as a Safe Haven***

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8 Before hospital admission, many patients were in despair and stressed out. They realized their  
9 critical medical condition, and reported being aware that they were suffering from a new and  
10 deadly disease. Some of the participants were afraid to stay alone at home, dealing with that  
11 serious disease, and said that as soon as they entered the hospital and were transferred into their  
12 rooms, they felt like they were saved. They saw the hospital as their safe haven and gladly took  
13 that chance. The patients felt rescued and were relieved to be able to place their fate into the  
14 hands of professionals:  
15

16  
17 *“As I was brought to my hospital room, I had the feeling that I am saved now. It was a strong*  
18 *feeling and it turned out to be true.”*  
19

20  
21 Most patients felt well cared for and well treated at the hospital. According to them, the  
22 hospital staff handled the exceptional COVID-19 situation professionally. The majority of the  
23 subjects had confidence in the competence of the physicians and nurses, and in the decisions they  
24 made for them (e.g., concerning treatment options):  
25

26  
27 *“I told them: ‘Without you, I would be dead!’ I told it everyone, the cleaning staff and the*  
28 *doctors, amazing work, and all the time with these plastic suits on, that is insane!”*  
29

30  
31 *“I felt that I was in good hands, that they all knew what they were doing.”*  
32

33  
34 ***In Isolation***

35  
36 On the other hand, the hospitalization also brought isolation and loneliness. Due to COVID-19  
37 restrictions, no visitors were allowed. The contact with medical staff was kept short. The  
38 necessary safety wardrobe of the care takers (suits and face masks) rendered the situation  
39 impersonal, making it difficult to build a personal relationship:  
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41  
42 *“The people come in like Martians. That is a very strange situation.”*  
43

44  
45 The patients usually communicated with their families by telephone or video calls, and  
46 some described that it did not have the same positive social effect as meeting someone in person.  
47 In particular, some of the elderly patients felt very lonely and sad. For most patients, social  
48 support from the outside was very important, because it gave them a perspective and motivation  
49 to overcome this difficult situation. One patient was heavily affected by the isolation and showed  
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3 depressive symptoms; therefore, an exception was made and her daughter was allowed to meet  
4 her in an isolated room:

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6 *“I was so mentally strained, that my daughter got on Sunday, one week before my release, a  
7 special visitor permit. We were allowed to meet in a room. With some distance, we could see us  
8 in person. That was the worst about COVID, that you cannot have contact to other people.”*

### 11 ***Bound to a Place of Fear***

12  
13 Another stressful factor for patients was the wearisome accommodation if they were not placed  
14 in a single room. In one particular case, a patient who was placed into the same room with  
15 another patient with COVID-19, witnessed this stranger struggling for life. She saw her  
16 roommate suffering, crying, screaming and fighting for breath, which disturbed her deeply:

17  
18 *“I was in panic. I could not do anything, but press the button for someone to come. I said: ‘The  
19 woman next to me is suffocating.’ I asked, if I can get another room, so that I would not notice it.  
20 It was horrible. She was retching. I must not think about. That was really wearing.”*

21  
22 Many participants reported that sleeping and resting were difficult in the hospital, and  
23 nearly all said they had received sleeping pills. This was not only because of the noise caused by  
24 other people in the room and ventilators, but also due to the psychological stress.

25  
26 The patients were confined to their beds. They reported that the many hours of just laying  
27 around doing nothing felt grueling and wearisome. Participants suffered from being restricted in  
28 their movements due to medical equipment on the one hand, and by distance and isolation  
29 measures on the other:

30  
31 *“The bed is very tight—you are wired with ECG cables, infusions everywhere. But tied to the bed  
32 all the time, that is grueling.”*

33  
34 A few of the interviewees were also discontent with the care they received and, to a  
35 certain degree, regretted their hospital stay:

36  
37 *“My luck was that the last virologist I talked to was very nice and competent. He explained  
38 everything to me and read my medical history and said that I can go home tomorrow. That was  
39 my stroke of luck. But the rest was just terrible!”*

### 40 ***Relationship Between Patients and Medical Staff***

41  
42 The majority of patients felt well cared for and treated in the hospital, but some participant  
43 perceived the relationship between the doctors and the patients as difficult. This predominantly  
44 resulted from the fact that hygiene measures and safety precautions allowed only very short and  
45 impersonal ward rounds. Many patients also reported that they were treated by many different  
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47

doctors, but would have preferred a unified team. Representing a special case, some patients suffered from certain pre-existing conditions, such as COPD or diabetes, and felt incapacitated with regard to their treatment.

*“I felt like I was incapacitated when it came to diabetes. I've had it since 1984, and there's almost nothing I don't know. I know my body best. It also messed me up that I wasn't allowed to inject, even though I had almost 300 [mmHg] of sugar. It was like a horror movie.”*

*“A different virologist came every day - I have never seen so many in my life. The virologists in the hospital grow like mushrooms. Every day there was a so-called visit of one minute or one and a half minutes—that was it.”*

### **THEME 3: MANAGING ONE'S OWN HEALTH (belongs to objective 1 [COVID-19-related])**

#### ***Self-Management of the Disease***

Participants reported knowing the common COVID-19 symptoms, and most of them had closely watched their body signals and well-being before, during, and after their hospitalization. The participants observed parameters, including body temperature (fever), breathing, and oxygen saturation. Some had privately purchased a pulse oximeter. Observing their oxygen saturation gave them a feeling of safety, and enabled them to realize when hospitalization was needed. After noticing symptoms, many of them had called the Austrian health consultation helpline to find out if they might have COVID-19. At home, many patients had tried home remedies, including tea, herbs, homeopathy, and antipyretics. Many of the participants reported that they had been in contact with their primary care physician, or doctors within their circle of family and friends, to get more information and recommendations on how to deal with the infection:

*“The first thing I did, as I knew that I am positive, was buy a pulse oximeter to monitor myself and measuring my temperature. As soon as my saturation dropped under 90%, I called an ambulance.”*

While in the hospital, the patients followed recommendations of the medical staff to improve their breathing, by staying in the prone position. Beyond their compliant behavior, they closely monitored their own health parameters and in the event of worsening oxygen saturation, reverted themselves to the prone position and reached for their oxygen masks to improve their condition. Following these instructions, and witnessing that their oxygen saturation improved with these measures, gave them a feeling of safety and the motivation to struggle on. The

possibility of making a small difference for and by themselves, towards a faster positive outcome, boosted their moral.

### ***Receiving Help from Others***

The majority of interviewees reported that they had been dependent on the help and support of others ever since the onset of the disease. Quite often, their family members had been the ones to decide that the participants should get to a hospital to receive proper care, rather than staying home in bad health any longer:

*“My husband and my son decided for me to get to the hospital—to be in safety.”*

### ***Coping***

Although most of the participants knew the severity and danger of SARS-CoV-2 infection, many of them stayed calm and tried to think positive. After getting the positive test result, they reported preparing themselves to endure the next days to weeks, and knowing that it might become a tough time. One person also reported that he did not mind the isolation at all, because he liked being alone:

*“I was not like ‘Oh my god! What am I going to do?’ I am a positive thinking person, I was more like ‘How stupid, I was always cautious and now I am innocently infected. Well let’s see, it will be alright.’”*

## **THEME 4: UNQUESTIONED BELIEF IN MEDICAL RESEARCH DESPITE LACK OF INFORMATION (belongs to objective 2 [trial participation-related])**

### ***Motivation for Study Participation***

The patients had different motives for participating in ACOVACT. Many participated in order to contribute to science and thus advance research. The majority also reported that they were motivated by wanting to do something beneficial for society:

*“I think I do it for the [progress of] medicine, to find a drug against it soon. If there are some persons, who do the study, so you can gather experience and information and can progress better. It is also good for science to research further.”*

Apart from altruistic reasons, a few patients also recognized a personal benefit from participating in the study. These reasons included expecting better treatment and monitoring, as well as access to new treatment options:

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3 “My god, I simply tried to get better as soon as possible. I didn't realized if it was any new  
4 medication. I automatically said yes, because I had the hope that it might help me. I was very  
5 concerned to get back to health.”  
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8  
9 Many patients also reported that one reason they participated in the study was because  
10 they had confidence in the physicians, and that those physicians would provide them with the  
11 best possible healthcare. Thus, when asked by the physicians to participate in the study, the  
12 patients trusted them so much that they agreed without further consideration:  
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15  
16 “You automatically say yes to it because you have the hope that it will make you healthy again.”  
17

18 A small proportion of interviewees could not report a clear motivating factor. They  
19 participated in the study for no specific reasons:  
20

21  
22 “I had no motive at all. I mean, put yourself in the position—you have a fever of 38/39, you're  
23 glad that you're in the hospital now, that they're going to give you the right medication or  
24 something—and then you just say "yes", although you don't know what kind of study it is.”  
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### 27 **Information Gap and Helplessness at Study Inclusion**

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29 The probands also voiced very mixed messages regarding how well they were informed about  
30 the clinical trial upon study inclusion. Most patients said that they were inadequately educated at  
31 the beginning of the study. They reported a general lack of information, and could only recall  
32 that they signed “some kind of papers”. Additionally, most patients had little knowledge of how  
33 exactly the drug trial was conducted or what the goal was:  
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38 “Somebody asked me, if I would like to participate in a study. I said ‘Yes!’, but that was it! No  
39 Information! Nothing!”  
40

41 The majority of patients were in a critical health situation when they were admitted to the  
42 hospital and enrolled in the study, and were often confused or found it difficult to respond due to  
43 fever and weakness. In this condition, patients found it difficult to understand and recall the  
44 information they received at study inclusion. Many patients were overwhelmed by the wealth of  
45 information they were given, especially given their many other concerns and fears at the moment  
46 of hospitalization:  
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51 “I was not even aware that I was taking part in a study. They just kept taking blood from me. I  
52 was told: "For antibodies." But what exactly was meant by that or was done, I didn't know at all.  
53 Or I didn't realize it.. could be. I don't know. I miss a few days in my head.”  
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3 *“It was on the first day that they talked to me about [the study]. At that time, I was not really*  
4 *receptive.”*  
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7 Only a few participants were satisfied with the amount of information they had received,  
8 and felt well educated and instructed by the doctors. They reported that the doctors had taken  
9 their time to explain everything, and had repeated incomprehensible information upon request:  
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11

12 *“I feel like I was really educated—especially by the attendings—about the dangers and what was*  
13 *being done.”*  
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### 16 ***Side Effects of the Study Treatment***

17 The different experimental therapies in ACOVACT were perceived as having little to no side-  
18 effects. The worst reported side-effect was strong diarrhea caused by the pharmaceutical  
19 “Kaletra” (Lopinavir/Ritonavir). However, many subjects reported problems with the large  
20 amount of medication they were given, or rather the size of the tablets they had to swallow. As a  
21 result, some patients struggled to take their medication on a daily basis:  
22  
23

24 *“The medication box that everyone gets in the hospital—in the morning, at noon, and at night—*  
25 *was suddenly pumped full of drugs.”*  
26  
27

### 28 ***Study Reflection***

29 With few exceptions, the patients did not regret having participated in ACOVACT. Only one  
30 patient reported that she had discontinued the study early because she suffered from severe side-  
31 effects of “Kaletra” (Lopinavir/Ritonavir), and felt that she was not sufficiently cared for. The  
32 majority of subjects pursued the strong interest in contributing to society and science through  
33 their participation, and also hoped for the best possible treatment and chance of cure for  
34 themselves:  
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37 *[So, you don’t regret your study participation?] – “No, not in any way. If it has helped me, then I*  
38 *am very grateful.”*  
39  
40

41 However, in retrospect, many participants reported that they had been insufficiently  
42 informed about the design and purpose of the study when they had entered it, and some were not  
43 even aware that they were participating in a study. We also asked patients for suggestions for  
44 future improvement. Since many patients were not very receptive at the time of study inclusion,  
45 due to symptoms of illness and general excessive demands, they would have liked to be informed  
46 about the study in detail a second time, at a later point during their hospital stay.  
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3 For all patients, it was important to identify and understand the purpose and goal of the  
4 study. They unanimously agreed that they had strong interest in the results of the study, because  
5 the study outcome would give meaning to their participation:  
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8 *“I would really like to know what the purpose of this study was. Are they using people as guinea*  
9 *pigs or does this have a therapeutic purpose? Or anything else? How is this being evaluated?*  
10 *That's important to me, really.”*  
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### 14 15 **Investigator Triangulation With an Expert Focus Group**

  
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17 The discussion among focus group participants, 3 doctors who had been taking care of the  
18 interviewed patients at the Department of Infectiology at the Klinik Favoriten, and the closer  
19 study team (authors LH, VT, HM, AK, UK and MH) was sincere and meaningful. Focus group  
20 participants confirmed the plausibility of these results. The doctors however also concluded that  
21 the patients' poor state of health was partially responsible for their reported uninformedness  
22 about their trial participation.  
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## 30 31 **DISCUSSION**

  
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33 In the present qualitative interview study with patients who were hospitalized for COVID-19 and  
34 participated in the ACOVACT randomized trial, we identified meaningful themes with  
35 implications for care. Among the nonmodifiable or only partially modifiable themes, belonging  
36 to our objective 1 (to capture COVID-19-related perspectives) patients reported suffering from  
37 the uncertainty and severity of COVID-19, and the burdensome hospital situation due to  
38 isolation, although hospitalization was initially considered a salvation. Notably, a key finding  
39 was that many participants expressed appreciation for being able to self-manage their disease  
40 course. Specifically, they reported that they had treated their disease symptoms independently at  
41 home, and later proactively participated in their treatment at the hospital, benefitting from  
42 additional support by healthcare professionals. The participants greatly appreciated information  
43 regarding breathing positions in relationship to oxygen saturation values, as well as emotional  
44 support from family. Although this finding is seemingly self-evident, we believe that such care  
45 was of high value, especially since a patient's breathing position has proven benefit in terms of  
46 outcomes.<sup>5 6</sup>  
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3 We also gained knowledge (related to our objective 2) about how the study subjects  
4 perceived their participation in a randomized trial on pharmacological COVID-19 treatment  
5 options. For most patients, agreeing to participate was a matter of principle, with primary  
6 motivations including altruism, and belief and trust in science. Many participants also hoped that  
7 access to the trial medication would bring them back to health more quickly. However, the  
8 majority complained about inadequate education regarding the study itself. This finding is  
9 crucially important. The process of obtaining informed consent is based on disclosure of  
10 adequate information, the patient's intellectual ability, and voluntariness, and is not just a matter  
11 of documentation.<sup>29</sup> Additionally, patients should be so actively involved into the study  
12 discussion that they can make an autonomous decision regarding the proposed study treatment.<sup>30</sup>  
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<sup>31</sup> However, even outside of COVID-19 research and its unusual circumstances, there is growing evidence that the informed consent process does not fully meet the needs of clinical research participants.<sup>32-35</sup> Although process of informed consent has become increasingly regulated and standardized, its challenges are difficult to tackle.<sup>36</sup> The consent form itself has been criticized for becoming longer and more complicated, obscuring important details, and being geared towards the interests of institutions and sponsors. Data show that even after signing an informed consent form, participants have limited understanding of the information about the study.<sup>22 36</sup>

As an important validation requirement of qualitative studies, we discussed our results with an expert focus group of three doctors who worked at one study center, asking them for their interpretation of the themes and ways to improve care. The focus group concluded that the patients' poor state of health was partially responsible for their reported uninformedness about their trial participation. It is entirely possible that patients with COVID-19 who were thus sickened by a systematic febrile disease potentially causing hypoxia and dehydration had a poor comprehension of the purpose and goal of the study, or had impaired powers of recall of the consent process which was provided by GCP trained investigators and found to be adequate at that particular time. Communication barriers by masked caregivers and the fact that many patients did not speak German as their first language could have adversely contributed. Stressful and insecure hospital life during the pandemic, isolation measures, and the doctors' and nurses' fears of becoming infected might also have contributed to the reported lack of information and poor doctor-patient relationships. The short daily visits with limited patient contact hindered communication, which was also described as regrettable from the doctors' side. Focus group

members suggested repeating trial education after inclusion, to increase the knowledge of the trial participants and avoid misunderstandings. From the knowledge gained, a list of suggestions (Table 4) was created to improve the future treatment of COVID-19, and the implementation of clinical trials in times of crisis.

**Table 4** | Suggestions for improvement.

<b>Clinicians' perspective:</b>
<b>Trial information:</b> Re-educate patients after a few days, ask several times if everything was understood, ideally in a calm setting with less stress and more receptivity than on the day of admission. If possible, hand out an extra information sheet with briefly summarized study issues in simple language for the layperson to understand.
<b>Burden of isolation:</b> Ease the isolation by increasing contact with family and friends through daily phone or video calls. Also support elderly people, who might have problems applying video chat programs, to enable face-to-face chatting. Educate patients about the possibility of professional psychological support if needed, and establish an available team of psychotherapists for the given task.
<b>Recovery-management:</b> Instruct patients on the recommended next steps and, particularly, where to turn for further information and support in the hospital's discharge letter or in a discharge conversation. The aim should be a multidisciplinary rehabilitation plan, with GP check-up, respiratory and cardiac consultants, physiotherapists, and psychologists, which can be even more important for Post-COVID.
<b>Patients' perspective:</b>
<b>Educate in self-monitoring:</b> Educate all patients about the simplest health parameters, especially the understanding of oxygen saturation and its importance in the disease course of COVID-19. Show patients how saturation levels change depending on the position in which they lay in bed, and the positive effect of laying in the prone position on physiological ventilation. After hospital release, advise patients, who are unsure about possible relapse or recovery progress, to get a pulse oximeter for monitoring and reassurance.
<b>Be inclusive in treatment choices:</b> Be aware of not only the special needs of patients with diabetes or COPD, but also of their higher level of medical knowledge. Provide enhanced patient-doctor communication to elaborate patients' previous knowledge about self-therapy.
<b>Accommodation:</b> More quickly isolate healthier patients from patients with critical medical conditions, to avoid them witnessing disturbing incidents. Pay close attention and communicate openly with patients to avoid wearisome and unpleasant accommodation and interference with roommates, especially because patients are tied to their beds and isolated with strangers in the same room for a long time, which already leads to lower resilience concerning stressful events. Perfect accommodation for everyone can hardly be accomplished, but should be pursued as far as possible.

To our knowledge, only few qualitative studies with patients suffering from COVID-19 have previously been published, of which two were conducted in the UK,<sup>10 37</sup> another one in

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3 China<sup>9</sup> and also Denmark.<sup>38</sup> Patients from the Danish study described COVID-19 as a threat to  
4 existence, and expressed disbelief and surprise of being affected by the unthinkable.<sup>38</sup> An  
5 interview study from the UK investigated the experiences of older people with household  
6 isolation and social distancing during COVID-19.<sup>37</sup> Finally, a Latinx study,<sup>39</sup> conducted at two  
7 public hospitals in Colorado and San Francisco, identified people's disbelief and  
8 misinformation regarding the virus, as COVID-19 was described as not real or as an invention  
9 of the government.

15 A strength of the present study is that qualitative studies with patients suffering from  
16 COVID-19 are scarce compared to clinical research, and patient-reported experiences may define  
17 important areas for improved care and potentially better outcomes. The recruited patients  
18 exhibited a wide range of ages, had different social backgrounds, and experienced individual  
19 disease courses with differing disease severity. Our research team included both clinicians and  
20 social scientists. Coding was done by several team members, which renders the findings  
21 plausible. Our qualitative data analysis applied two types of triangulation, increasing the study's  
22 trustworthiness. Moreover, the study is unique, as there is currently no comparable literature  
23 describing the experiences of patients hospitalized with COVID-19 in a clinical trial. However,  
24 the sample was limited, and the results may not have fully captured the perspectives of some  
25 minority ethnic groups. Furthermore, in the planning phase of the study, the difficulty of  
26 conducting interviews during the patients' hospitalization was not expected. Therefore, the  
27 interview guides for time-point 1 (hospital) and time-point 2 (after hospital release) were  
28 merged, to avoid missing information, as the interview often could not be conducted early  
29 enough during hospitalization. As the interviews were conducted from June 2020 through April  
30 2021, it is not clear how well the results that belong to our study objective 1 will relate to more  
31 contemporary phases of the pandemic. Finally, on study objective 2, it is not clear how well can  
32 the challenges of fully informed research participation be carried over to other settings: other  
33 trials testing completely different interventions, and again COVID-19 patients in more recent  
34 phases of this pandemic.

36 In summary, our study shows what patients went through after having been infected and  
37 hospitalized with SARS-CoV-2 (study objective 1), and how they experienced their participation  
38 in a clinical trial during the COVID-19 pandemic (study objective 2). Patients were altogether  
39 grateful for the medical support and felt safe during their in-hospital stay, but substantial efforts  
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3 should be made to care for their mental well-being during isolation, as the hospital was also seen  
4 as a “place of fear”. Importantly, our analysis related to our objective 2 suggests that  
5 communication about trial participation was insufficient. Specifically, our interviewees  
6 expressed their appreciation of research, but criticized being not adequately informed about the  
7 trial’s design and objectives. This finding needs to be confirmed by other groups and in  
8 additional study settings, in the unfortunate, virtual absence of qualitative research on COVID-  
9 19. In contrast to qualitative studies, quantitative clinical research (including clinical trials) is  
10 massive. If other groups can confirm that many of these trial participants have felt  
11 underinformed, then an ethical discussion on the future of COVID-19 research is needed.  
12 Besides better communication with patients, the results of our study also point to the importance  
13 of self-management of disease, which should be much more actively encouraged, as long as an  
14 immediate cure for COVID-19 is not within reach.  
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28 We heartfully thank all patients for their participation.  
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## 34 **CONTRIBUTORSHIP STATEMENT**

35 M. Hecking, A. Tong, A. Kurnikowski and R. Reindl-Schwaighofer conceptualized the study. M.  
36 Traugott, S. Omid and A. Zoufaly treated patients at the study site. The detailed interview  
37 design, conductance and analysis is described above. M. Hecking handled ethics submission with  
38 the ACOVACT study team. L. Hofstetter, V. Tinhof, H. Mayfurth, A. Kurnikowski and M.  
39 Hecking wrote the manuscript. V. Rathkolb, R. Reindl-Schwaighofer, M. Traugott, S. Omid, A.  
40 Zoufaly, A. Tong and U. Kropiunigg reviewed and corrected the manuscript. All authors  
41 approved the final version of the manuscript before submission.  
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## 50 **COMPETING INTERESTS**

51 None of the authors declared any competing interests with respect to the present manuscript.  
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2  
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## 8 **DATA SHARING STATEMENT**

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10 All original data will be provided upon request.  
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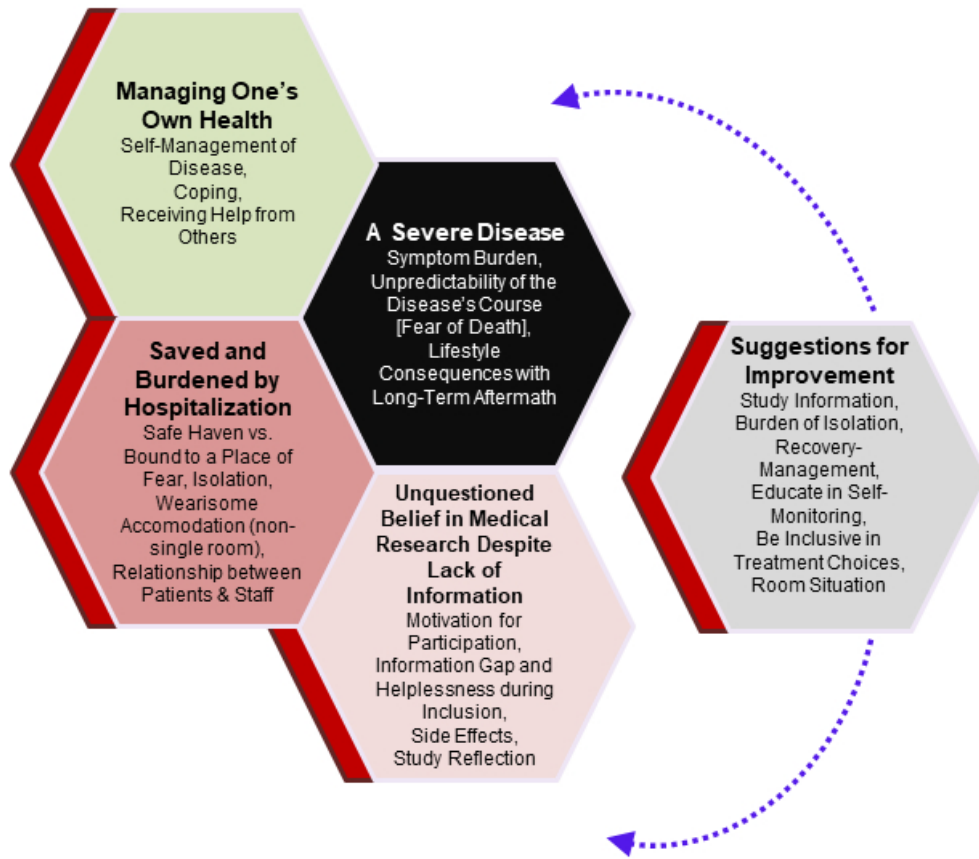
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16 10.1001/jamanetworkopen.2021.0684 [published Online First: 2021/03/12]  
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## FIGURE LEGEND

**Figure 1:** Thematic schema showing themes and subthemes arising from qualitative analysis. “Suggestions for improvement” were developed by reflecting on the content of the qualitative interviews, and through discussions among the clinicians

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164x144mm (96 x 96 DPI)

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2  
3 SRQR checklist for reporting of qualitative research, indicating the page/line numbers of your  
4 manuscript where the relevant information can be found  
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7 → Our reply: Here below is a copy of the SRQR checklist, which we have also uploaded  
8 individually.  
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10  
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13 "Title and abstract

14  
15 S1 Title Concise description of the nature and topic of the study Identifying  
16 the study as qualitative or indicating the approach  
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18  
19 → The present study title ("*Experiences and challenges faced by patients with COVID-19 who*  
20 *were hospitalized and participated in a randomized controlled trial: A qualitative study*")  
21 adheres to this request. (page 1)  
22  
23

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26  
27 S2 Abstract Summary of key elements of the study using the abstract format of  
28 the intended publication; typically includes background, purpose,  
29 methods, results, and conclusions  
30

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32  
33 → Formatting of the abstract, as requested by BMJ Open is different, but the key elements  
34 mentioned above were still included. (page 2)  
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38 Introduction

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40 S3 Problem formulation Description and significance of the problem/phenomenon studied;  
41 review of relevant theory and empirical work; problem statement  
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44 → Problem formulation: burden of COVID-19 and RCTs in general. Review of relevant theory:  
45 Done in paragraph 3. Problem statement: There are almost no qualitative studies on COVID-  
46 19 (some on RCT participation). (page 4, second paragraph)  
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52 S4 Purpose or research question Purpose of the study and specific objectives or questions  
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55 → Objectives 1 and 2 have been spelled out. (page 5, last paragraph)  
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59 Methods  
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3 S5 Qualitative approach and research paradigm Qualitative approach (e.g., ethnography, grounded  
4 theory, case study, phenomenology, narrative research) and guiding theory if appropriate;  
5 identifying the research paradigm (e.g., postpositivist,  
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constructivist/interpretivist) is also recommended; rationale

→ Grounded theory process. (page 8, last paragraph)

S6 Researcher characteristics and reflexivity Researchers' characteristics that may influence the  
research, including personal attributes, qualifications/experience, relationship with participants,  
assumptions, and/or presuppositions; potential or actual interaction between researchers'  
characteristics and the research questions, approach, methods, results, and/or transferability

→ The specific tasks of each researcher and his/her position in the team were mentioned in  
various parts of the manuscript. (for example, page 8 last paragraph / page 9, first  
paragraph)

S7 Context Setting/site and salient contextual factors; rationale

→ Context and setting/site has been reported. (page 6, second paragraph)

S8 Sampling strategy How and why research participants, documents, or events were selected;  
criteria for deciding when no further sampling was necessary (e.g., sampling saturation); rationale

→ The sampling strategy has been reported in detail. (page 6, second paragraph)

S9 Ethical issues pertaining to human subjects Documentation of approval by an appropriate ethics  
review board and participant consent, or explanation for lack thereof; other confidentiality and data  
security issues

→ Ethics approval has been reported under a unique subheading. (page 6, third paragraph)  
Additional information (consent) has been reported in various paragraphs of the manuscript.  
(for example, page 6, last paragraph)

S10 Data collection methods Types of data collected; details of data collection procedures including  
(as appropriate) start and stop dates of data collection and analysis, iterative process, triangulation

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2  
3 of sources/methods, and modification of procedures in response to evolving study findings;  
4 rationale

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7 → Details of the data collection, the analytical process and triangulation process have been  
8 thoroughly reported. (page 8 last paragraph, page 9 paragraphs 1-3)  
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13 S11 Data collection instruments and technologies Description of instruments (e.g., interview guides,  
14 questionnaires) and devices (e.g., audio recorders) used for data collection; if/how the instrument(s)  
15 changed over the course of the study  
16

- 17  
18 → The interview guide, recording and transcription details have been reported. (page 7  
19 through page 8)  
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21

22  
23  
24 S12 Units of study Number and relevant characteristics of participants, documents, or events  
25 included in the study; level of participation (could be reported in results)  
26

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28 → These details have been reported in the results. (page 10 through page 12)  
29  
30

31  
32 S13 Data processing Methods for processing data prior to and during analysis, including  
33 transcription, data entry, data management and security, verification of data integrity, data coding,  
34 and anonymization/deidentification of excerpts  
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38 → Data processing, including the fact that transcripts were anonymized has been reported.  
39 (page 8, last paragraph, page 9, first paragraph)  
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44 S14 Data analysis Process by which inferences, themes, etc., were identified and developed,  
45 including the researchers involved in data analysis; usually references a specific paradigm or  
46 approach; rationale  
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49 → This has been thoroughly reported. (page 9, first paragraph)  
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53 S15 Techniques to enhance trustworthiness Techniques to enhance trustworthiness and credibility  
54 of data analysis (e.g., member checking, audit trail, triangulation); rationale  
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57 → Mentioned in the Data Analysis section. (page 8, last paragraph through page 9)  
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5 Results/findings

6 S16 Synthesis and interpretation Main findings (e.g., interpretations, inferences, and themes); might  
7 include development of a theory or model, or integration with prior research or theory  
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10 → The results capture this. (pages 12 through 23)  
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14 S17 Links to empirical data Evidence (e.g., quotes, field notes, text excerpts, photographs) to  
15 substantiate analytic findings  
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18 → The results provide sufficient evidence linking themes and sub-themes to the supportive  
19 quotations. (pages 12 through 23)  
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24 Discussion

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26 S18 Integration with prior work, implications, transferability, and contribution(s) to the field Short  
27 summary of main findings; explanation of how findings and conclusions connect to, support,  
28 elaborate on, or challenge conclusions of earlier scholarship; discussion of scope of application/  
29 generalizability; identification of unique contribution(s) to scholarship in a discipline or field  
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33 → The Discussion provides context with prior work, implications and transferability of the  
34 findings. (pages 23 through 26)  
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39 S19 Limitations Trustworthiness and limitations of findings  
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41 → Mentioned in the paragraph before last of the Discussion. (page 26, bottom of page)  
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45 Other

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47 S20 Conflicts of interest Potential sources of influence or perceived influence on study conduct and  
48 conclusions; how these were managed  
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51 → None of the co-authors reported a conflict of interest, related to this work. (page 27, bottom  
52 of page)  
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57 S21 Funding Sources of funding and other support; role of funders in data collection, interpretation,  
58 and reporting"  
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→ Academic funding was obtained, as mentioned in the funding statement. (page 27, bottom of page, page 28, top of page)

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