# **Research Submission**

# Non–Invasive Vagus Nerve Stimulation for the <u>AC</u>ute <u>T</u>reatment of Cluster Headache: Findings From the Randomized, Double-Blind, Sham-Controlled ACT1 Study

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Objective.—To evaluate non-invasive vagus nerve stimulation (nVNS) as an acute cluster headache (CH) treatment. Background.—Many patients with CH experience excruciating attacks at a frequency that is not sufficiently addressed by current symptomatic treatments.

Methods.—One hundred fifty subjects were enrolled and randomized (1:1) to receive nVNS or sham treatment for  $\leq 1$  month during a double-blind phase; completers could enter a 3-month nVNS open-label phase. The primary end point was *response rate*, defined as the proportion of subjects who achieved pain relief (pain intensity of 0 or 1) at 15 minutes after treatment initiation for the first CH attack without rescue medication use through 60 minutes. Secondary end points included the sustained response rate (15-60 minutes). Subanalyses of episodic cluster headache (eCH) and chronic cluster headache (cCH) cohorts were prespecified.

Results.—The intent-to-treat population comprised 133 subjects: 60 nVNS-treated (eCH, n = 38; cCH, n = 22) and 73 shamtreated (eCH, n = 47; cCH, n = 26). A response was achieved in 26.7% of nVNS-treated subjects and 15.1% of sham-treated subjects (P = .1). Response rates were significantly higher with nVNS than with sham for the eCH cohort (nVNS, 34.2%; sham, 10.6%; P = .008) but not the cCH cohort (nVNS, 13.6%; sham, 23.1%; P = .48). Sustained response rates were significantly higher with nVNS for the eCH cohort (P = .008) and total population (P = .04). Adverse device effects (ADEs) were reported by 35/150 (nVNS, 11; sham, 24) subjects in the double-blind phase and 18/128 subjects in the open-label phase. No serious ADEs occurred.

Conclusions.—In one of the largest randomized sham-controlled studies for acute CH treatment, the response rate was not significantly different (vs sham) for the total population; nVNS provided significant, clinically meaningful, rapid, and sustained benefits for eCH but not for cCH, which affected results in the total population. This safe and well-tolerated treatment represents a novel and promising option for eCH. ClinicalTrials.gov identifier: NCT01792817.

Key words: episodic cluster headache, chronic cluster headache, non-invasive vagus nerve stimulation, acute treatment, neuromodulation, randomized controlled trial

Abbreviations: ACT1 Non-invasive Vagus Nerve Stimulation for the <u>ACute Treatment</u> of Cluster Headache, ADE adverse device effect, AE adverse event, cCH chronic cluster headache, CH cluster headache,

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CI confidence interval, DHE dihydroergotamine, DNIC diffuse noxious inhibitory control, eCH episodic cluster headache, FDA U.S. Food and Drug Administration, GM gray matter, *ICHD International Classi-fication of Headache Disorders*, ITT intent-to-treat, nVNS non-invasive vagus nerve stimulation, SADE serious adverse device effect, SD standard deviation, SoC standard of care, SPG sphenopalatine ganglion, VNS vagus nerve stimulation

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#### **INTRODUCTION**

Cluster headache (CH) is a primary headache disorder characterized by recurrent unilateral exacerbations of severe or very severe pain lasting  $\sim 15$  minutes to 3 hours and accompanied by transient symptoms such as rhinorrhea, lacrimation, miosis, ptosis, and periorbital edema.<sup>1</sup> The condition is now classified as a trigeminal autonomic cephalalgia,<sup>1</sup>

with the attacks resulting from vascular changes in cranial circulation driven by trigeminal autonomic reflex activation.<sup>2</sup> A worldwide lifetime CH prevalence of 0.12% has been reported;<sup>3</sup> most patients have episodic cluster headache (eCH), and 10% to 15% have chronic cluster headache (cCH).<sup>1</sup> The *International Classification of Headache Disorders (ICHD)* recognizes eCH and cCH as clinically

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distinct subtypes.<sup>1,4</sup> In eCH, attack periods may last from 7 days to 1 year and are separated by  $\geq$ 1-month pain-free intervals.<sup>1</sup> Chronic CH attack periods last for >1 year either without remission or with <1month remission periods.<sup>1</sup> CH imposes substantial burdens on quality of life and health care resource utilization, worsens work absenteeism and social functioning, and is typically accompanied by clinically significant disability that can engender psychiatric comorbidities with possible suicidal tendencies.<sup>5,6</sup>

According to evidence-based recommendations, the primary symptomatic treatments for CH attacks are subcutaneous sumatriptan and inhaled oxygen.<sup>7,8</sup> Alternative acute CH treatments include intranasal triptans and intravenous dihydroergotamine (DHE).<sup>7-9</sup> Only subcutaneous sumatriptan and intravenous DHE are approved in the United States for the acute pharmacologic treatment of CH.<sup>10,11</sup> Treatment with intravenous DHE is impractical,<sup>12</sup> and the U.S. Food and Drug Administration (FDA)approved labeling for sumatriptan in CH indicates a maximum of two doses per day.<sup>11</sup> which may be inadequate for many patients including those with frequent attacks (ie, 3 to 8 per day)<sup>13</sup> and may lead to medication overuse headache with multiple daily dosing.<sup>1,14</sup> The limited therapeutic options for the acute treatment of CH reflect an unmet medical need.

Vagus nerve stimulation (VNS) is a neuromodulation technique, administered via an implantable or non-invasive method, that affects several central pathways including those involved in CH.<sup>15</sup> The hypocretin and orexin pathway has been suggested to have a role in the dorsal vagal complex and CH pathophysiology,<sup>16,17</sup> supporting the therapeutic potential of VNS in patients with the disorder. The investigational nVNS gammaCore<sup>®</sup> device (electroCore, LLC; Basking Ridge, NJ, USA) transfers electrical impulses transcutaneously to the cervical branch of the vagus nerve.<sup>15</sup> Data from a 1-year open-label study (N = 19) suggested that nVNS is potentially efficacious for acute and prophylactic management of eCH and cCH.<sup>18</sup> In the randomized controlled study of Non-invasive Vagus Nerve Stimulation (nVNS) for PREVention and Acute Treatment of Chronic Cluster Headache, weekly attack frequency reductions were significantly more pronounced with daily prophylactic nVNS as an adjunct to standard of care (SoC) than with SoC alone (P = .02).<sup>19</sup> On the basis of these previous findings, we hypothesized that acute nVNS therapy is effective and safe for the treatment of CH attacks. Here, we report results from the study of nVNS for the <u>ACute Treatment of Cluster Headache (ACT1)</u>.

# **METHODS**

ACT1 Study Design.—This pivotal, randomized, double-blind, sham-controlled prospective study was conducted from February 2013 to October 2014 across 20 U.S. centers, including university-based/ academic medical centers and headache/pain/neurological clinics and institutes (ClinicalTrials.gov identifier: NCT01792817). The study was designed to assess the superiority of nVNS treatment in comparison with a sham device and comprised two phases: (1) a doubleblind phase in which subjects were randomized to receive nVNS or sham treatment for 1 month or until five CH attacks were treated and (2) an open-label phase in which subjects who completed the double-blind phase could subsequently receive 3 months of nVNS treatment. Investigators obtained institutional review board approval, and subjects provided written informed consent. Authors had full access to all study data.

Subjects.—Subjects were recruited from investigator databases and via clinical practice Web sites and Web advertisement. All subjects were nonpregnant/ nonlactating 18- to 75-year-old adults diagnosed with eCH or cCH according to ICHD, 2<sup>nd</sup> edition criteria.<sup>4</sup> Key exclusion criteria were a history of aneurysm, intracranial hemorrhage, brain tumors, significant head trauma, prolonged QT interval, arrhythmia, ventricular tachycardia/fibrillation, syncope, or seizure; structural intracranial/cervical vascular lesions; another significant pain disorder; cardiovascular disease; uncontrolled hypertension; abnormal baseline electrocardiogram; botulinum toxin injections in the past 3 months; nerve blocks in the past 1 month; previous CH surgery, bilateral/right cervical vagotomy, carotid endarterectomy, or right vascular neck surgery; electrical device implantation; and current use of prophylactic medications for indications other than CH.

**Interventions.**—The nVNS device (Fig. 1) produces a proprietary low-voltage electrical signal comprising a 5-kHz sine wave burst lasting for



Fig. 1.—Non-invasive vagus nerve stimulation device. Image provided courtesy of electroCore, LLC. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

1 millisecond (five sine waves, each lasting 200 microseconds), with such bursts repeated once every 40 milliseconds (25 Hz), generating a 24-V peak voltage and 60-mA peak output current; users could adjust the stimulation amplitude. The appearance, weight, visual and audible feedback, and user application were identical for the sham and nVNS devices. The sham device produces a low-frequency (0.1 Hz) biphasic signal that does not stimulate the vagus nerve or generally cause muscle contraction. After applying conductive gel to the two stainless steel contact surfaces, subjects administered three consecutive 2-minute stimulations (Fig. 2) to the right side of the neck at the onset of premonitory symptoms or pain. Subjects self-treated up to five CH attacks in the double-blind phase; only one attack could be treated during a 12-hour period. There were no limitations on the number of attacks that could be treated in the open-label phase. Asneeded use of abortive or pain-relieving rescue medications was permitted as soon as 15 minutes after initiation of each nVNS treatment.

Randomization and Blinding.—Using independent statistician-generated randomization schedules, subjects were randomly assigned (1:1) to receive nVNS or sham treatment (variable block design, stratified by site). Devices labeled with a 3digit randomization number were not outwardly identified as active or sham and were allocated to the sites by a third-party distributor according to the randomization scheme. Trained study site personnel (investigator or study coordinator) distributed devices to subjects in chronological order according to the randomization number. Investigators, subjects, and study coordinators were blinded to treatment assignments. After the first treatment and at the end of the double-blind phase, subjects indicated the treatment they thought they had received (nVNS or sham) via blinding questionnaires.

Study End Points.—The primary efficacy end point was response rate, assessed in the doubleblind phase and defined as the proportion of all subjects who achieved a pain intensity score of 0 or 1 on a 5-point scale (0, *no pain*; 4, *very severe pain*) at 15 minutes after treatment initiation (Fig. 2) for the first CH attack; rescue medication use within 60 minutes was considered a treatment failure. The response rate was also evaluated at the end of the open-label phase in a post hoc analysis. Secondary end points, assessed in the double-blind phase, included sustained treatment response rate (defined as the proportion of subjects with a pain intensity score of 0 or 1 without rescue medication use at 15 through 60 minutes after treatment initiation for the first CH attack) and average of all subjects' mean

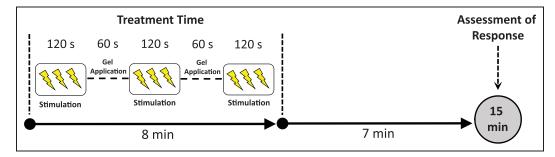


Fig. 2.—Time to first measurement of response used to define the primary end point. [Color figure can be viewed in the online issue, which is available at wileyonlinelibrary.com.]

pain intensities at 15 minutes after treatment initiation for all attacks (up to five attacks per subject). To enhance understanding of the clinical potential of nVNS, percentages of patients who were responders (pain intensity score of 0 or 1) and of those who were pain-free (pain intensity score of 0) at 15 minutes for  $\geq$ 50% of treated attacks in the double-blind phase were evaluated in post hoc analyses. Prespecified exploratory end points in the double-blind phase included attack duration, rescue medication use, and perception of the device. All efficacy end points were evaluated in subgroup analyses of the eCH and cCH cohorts and in the total study population.

The primary safety end point was the occurrence of serious adverse device effects (SADEs) in the double-blind phase, including those related to the sham device and/or CH events. Other safety outcomes included all adverse event (AE) occurrences in both study phases.

Sample Size Determination.—A sample size of 120 subjects (60 per treatment arm) was determined to provide 82% power with respect to the primary end point, with a significance level of  $P \le .05$  for a 2-sided test. To allow for an approximate 20% attrition rate (eg, subject withdrawals), a total of up to 150 subjects was planned for enrollment and randomization. Assumed response rates were 25% for the sham group and 50% for the nVNS group. On 50% completion of enrollment, a review of prespecified interim analysis results by the data safety monitoring board revealed no safety concerns or other reasons for study discontinuation, and enrollment of the planned sample size was completed. No P value adjustments were required after completion of this interim data analysis.

**Data Collection.**—After baseline information was collected during screening, subjects used diaries to record pain intensity (rated at 15 minutes, 30 minutes, 1 hour, and 2 hours after treatment), attack duration, rescue medication use, AEs, device perceptions, and blinding questionnaire responses for each attack.

Statistical Analyses.—Statistical efficacy analyses were conducted on the intent-to-treat (ITT) population, defined as all randomly assigned subjects who treated  $\geq 1$  CH attack. Attack duration and device

perception analyses were conducted on observed cases (ie, subjects from the ITT population who provided data for these end points), and attacks that lasted longer than 180 minutes were excluded according to ICHD criteria.<sup>4</sup> Descriptive statistics were used for continuous variables. Categorical variables were summarized by frequency distribution and proportion; Clopper-Pearson (exact) 95% confidence intervals (CIs) were calculated for response rates. Group differences for the primary end point and other categorical variable comparisons were performed using Fisher's exact test (if expected frequency <5 for >1 cell) or the chi-square test. Linear mixed-effect regression models were used to compare mean treatment group intensities to account for repeated measures per subject. Attack duration comparisons were performed using the t test. Comparisons of within-subject response rates between the double-blind and open-label phases were performed using the McNemar test for paired proportions. Missing data were imputed as failures for response variables and using the last observation carried forward for attack intensity. For subjects who did not enter the open-label phase, data for this phase were imputed to failure. For all response variables, subjects with missing data at any time point(s) for rescue medication use (ie, 15, 30, and/or 60 minutes) were considered nonresponders. Statistical significance was set at P < .05. Subgroup efficacy analyses of eCH and cCH cohorts were prespecified on the basis of distinct ICHD clinical definitions<sup>4</sup> and the possibility that acute attacks of eCH and cCH might respond differently to treatment<sup>20</sup> but were not independently powered to demonstrate statistical significance. P values are provided for these analyses without adjustment for multiple comparisons. Safety analyses were conducted on all treated subjects. All statistical analyses were performed independently by North American Science Associates Inc. (Minneapolis, MN, USA) using SAS® 9.3 (SAS Institute Inc., Cary, NC, USA).

#### RESULTS

**Subjects.**—A total of 150 subjects (Fig. 3) were enrolled in ACT1 and randomly assigned to receive

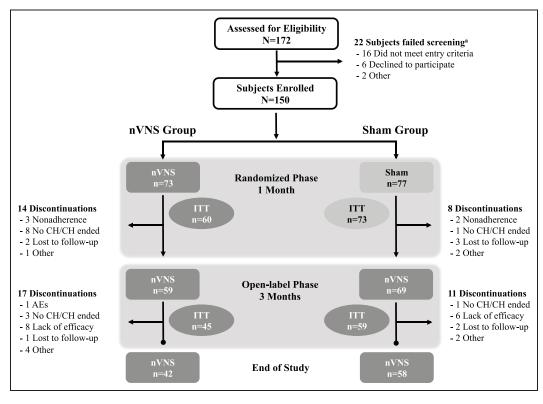


Fig. 3.—Subject disposition. AE, adverse event; CH, cluster headache; ITT, intent-to-treat; nVNS, non-invasive vagus nerve stimulation. <sup>a</sup>Some subjects failed screening for >1 reason.

nVNS (n = 73) or sham (n = 77) treatment, including 133 who met criteria for the ITT population (nVNS, n = 60; sham, n = 73). Of the 128 subjects who entered the open-label phase, 100 completed the study. Reasons for discontinuation are shown in Figure 3. Demographic and baseline characteristics (Table 1) were similar between the nVNS and sham groups and were consistent with those of a typical CH population.<sup>4</sup> Of all 150 subjects, most had eCH (67%) and the remaining 33% had cCH, and 102 (68%) subjects were receiving prophylactic therapy for CH at baseline.

**Response Rates (Double-Blind Phase).**—Response rates (Fig. 4) in the total population were 26.7% in the nVNS group and 15.1% in the sham group (P = .1). In subgroup analyses, a significantly higher response rate was demonstrated with nVNS (34.2%) than with sham treatment (10.6%) for the eCH cohort (P = .008) but not for the cCH cohort (nVNS, 13.6%; sham, 23.1%; P = .48).

Sustained Treatment Response Rates and Pain Intensity (Double-Blind Phase).-Sustained treatment response rates (Fig. 5) for the eCH cohort and total population were significantly higher with nVNS than with sham treatment (eCH: nVNS, 34.2%; sham, 10.6%; P = .008; total: nVNS, 26.7%; sham, 12.3%; P = .04). For the cCH cohort, sustained response rates were similar between groups (nVNS, 13.6%; sham, 15.4%; P = 1.0). For both cohorts and for the total population, the average of all subjects' mean pain intensities at 15 minutes after treatment for all CH attacks was not significantly different between the nVNS and sham treatment groups (eCH: nVNS, 2.0 [95% CI: 1.8, 2.3]; sham, 2.0 [95% CI: 1.8, 2.3]; P = 1.0; cCH: nVNS, 2.3 [95% CI: 1.9, 2.6]; sham, 1.9 [95% CI: 1.6, 2.3]; P = .2; total: nVNS, 2.1 [95% CI: 1.9, 2.3]; sham, 2.0 [95% CI: 1.8, 2.2]; *P* = .4).

Other Efficacy Results (Double-Blind Phase).—The proportion of subjects in the eCH cohort, but not in the cCH cohort or total

Characteristic	By Treatment Group (N = 150)		By Cohort (N = 150)	
	nVNS (n = 73)	Sham (n = 77)	eCH Cohort (n = 101)	cCH Cohort (n = 49)
Age (y), mean ± SD	47.1 ± 13.5	48.6 ± 11.7	48.4 ± 12.5	46.8 ± 13.0
Male, No. (%)	59 (80.8)	67 (87.0)	84 (83.2)	42 (85.7)
Race, No. (%)				
Asian	4 (5.5)	1 (1.3)	4 (4.0)	1 (2.0)
Black	5 (6.9)	7 (9.1)	9 (8.9)	3 (6.1)
White	63 (86.3)	68 (88.3)	87 (86.1)	44 (89.8)
Missing	1 (1.4)	1 (1.3)	1 (1.0)	1 (2.0)
Duration of last CH attack (min), mean ± SD	$86 \pm 119$	$64 \pm 71$	$76.5\pm104.4$	$68.9 \pm 75.0$
CH Type, No. (%)	50 (60 5)	51 ((( 0)	101 (100 0)	0
eCH	50 (68.5)	51 (66.2)	101 (100.0)	0
cCH	23 (31.5)	26 (33.8)	0	49 (100.0)
Medications Used to Manage CH, No. (%)				20 (57 1)
Triptans	42 (57.5)	54 (70.1)	68 (67.3)	28 (57.1)
Oxygen	31 (42.5)	29 (37.7)	37 (36.6)	23 (46.9)
Mild analgesics	13 (17.8)	16 (20.8)	16 (15.8)	13(26.5)
Narcotics	4 (5.5)	4 (5.2)	5 (5.0)	3(6.1)
Prophylactic medications	42 (57.5)	60 (77.9)	65 (64.4)	37 (75.5)
Verapamil Lithium	11(15.1)	20(26.0)	25 (24.8)	6(12.2)
	3(4.1)	3(3.9)	4(4.0)	2(4.1)
Topiramate Corticosteroids	2(2.7)	7 (9.1)	5(5.0)	4 (8.2)
Other	11(15.1)	8(10.4)	15 (14.9)	4(8.2)
None	21 (28.8) 4 (5.5)	28 (36.4) 2 (2.6)	28 (27.7) 5 (5.0)	$21 (42.9) \\ 1 (2.0)$

cCH = chronic cluster headache; CH = cluster headache; eCH = episodic cluster headache; nVNS = non-invasive vagus nerve stimulation; SD = standard deviation.

population, who were responders at 15 minutes for  $\geq$ 50% of treated attacks was significantly higher with nVNS (34.2%) than with sham treatment (14.9%; P = .04) (Table 2). Results were also significant in only the eCH cohort for the proportion of those who were pain-free at 15 minutes for  $\geq$ 50% of treated attacks (nVNS, 15.8%; sham, 2.1%; P = .04) (Table 2). Similarly, differences between groups that favored nVNS for mean duration of the first attack in the double-blind phase were more pronounced in the eCH cohort (12.8 minutes; P = .21) than in the cCH cohort (3.1 minutes; P = .82) or in the total population (9.3 minutes; P = .25) (Table 2).

**Device Perceptions.**—At the end of the doubleblind phase, subjects rated treatment satisfaction (1, *extremely satisfied*; 5, *not at all satisfied*), their willingness to recommend the device to a friend or family member, and ease of device use (1, very easy; 4, very difficult). Proportions of subjects who were extremely satisfied, very satisfied, or satisfied with their treatment were 38.3% for nVNS and 31.9% for sham treatment. The percentage of subjects who indicated that they would recommend their study device to a friend or family member was similar between groups (~55%). Of all subjects, ~90% reported that their device was very easy or somewhat easy to use.

**Blinding.**—In the nVNS group, the blinding estimate calculated using the Bang index<sup>21</sup> (with values closer to 0 indicating better blinding effectiveness) was 0.20 (95% CI: 0.03, 0.37) after the first treatment, indicating that a considerable proportion of patients correctly guessed their treatment allocation beyond chance. However, successful blinding with

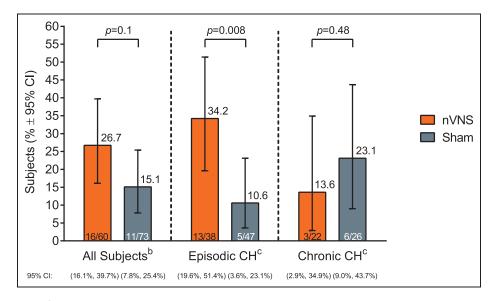


Fig. 4.—Response rate<sup>a</sup> (ITT Population). CH, cluster headache; CI, confidence interval; ITT, intent-to-treat; nVNS, noninvasive vagus nerve stimulation. <sup>a</sup>*Response rate* was defined as the proportion of subjects who achieved a pain intensity score of 0 or 1 on a 5-point scale (0, *no pain*; 4, *very severe pain*) at 15 minutes and had no rescue medication use through 60 minutes after treatment initiation for the first CH attack in the double-blind phase. *P* values are from Fisher's exact test (if  $\geq$ 1 cell had an expected frequency of  $\leq$ 5) or the chi-square test. <sup>b</sup>Primary end point. <sup>c</sup>Prespecified subanalysis.

nVNS was achieved at the end of the double-blind phase, with a blinding estimate of 0.10 (95% CI: -0.08, 0.28). Blinding for the sham group also became successful during the study with negative estimates of -0.21 (95% CI: -0.37, -0.05) after the first treatment, which indicated an excess of

incorrect guesses potentially related to incomplete blinding, and -0.11 (95% CI: -0.28, 0.06) at the end of the double-blind phase.

**Efficacy Results (Open-Label Phase).**—Rates of response to nVNS in the open-label phase were similar for the eCH cohort (29.4%; 95% CI: 20.0%,

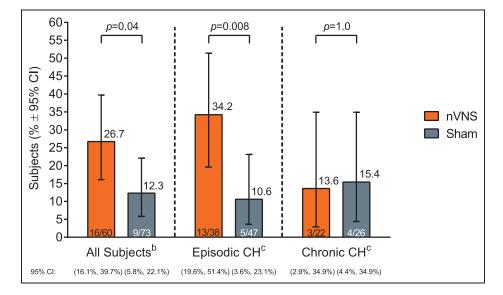


Fig. 5.—Sustained treatment response rate<sup>a</sup> (ITT Population). CH, cluster headache; CI, confidence interval; ITT, intent-totreat; nVNS, non-invasive vagus nerve stimulation. <sup>a</sup>Sustained treatment response rate was defined as the proportion of subjects with a pain intensity score of 0 or 1 without rescue medication use at 15 through 60 minutes after treatment initiation for the first CH attack in the double-blind phase. P values are from Fisher's exact test (if  $\geq 1$  cell had an expected frequency of  $\leq 5$ ) or the chi-square test. <sup>b</sup>Secondary end point. <sup>c</sup>Prespecified subanalysis.

	All Subjects		eCH Cohort		cCH Cohort	
End Point	nVNS	Sham	nVNS	Sham	nVNS	Sham
Subjects who were responders at 15 minutes for $\geq$ 50% of their treated attacks in the	26.7	20.6	34.2	14.9	13.6	30.8
double-blind phase (%) <sup>†,‡</sup> 95% CI <i>P</i> value	16.1, 39.7 .4	12.0, 31.6 1	19.6, 51.4 .04	6.2, 28.3	2.9, 34.9	14.3, 51.8
Subjects who were pain-free at 15 minutes for $\geq$ 50% of their treated attacks in the double-blind phase (%) <sup>†,‡</sup>	11.7	6.9	15.8	2.1	4.6	15.4
95% CI <i>P</i> value	4.8, 22.6	2.3, 15.3 3	6.0, 31.3 .04	0.1, 11.3	0.1, 22.8	4.4, 34.9
Duration of first CH attack in the double-blind phase (min), <sup>8,¶</sup> mean $\pm$ SD	50.6 ± 38.3	59.9 ± 47.5	48.4 ± 35.4	$61.2 \pm 49.5$	54.5 ± 43.8	57.6 ± 44.8
95% CI <i>P</i> value	40.0, 61.1	48.0, 71.7 5	36.0, 60.7 .21	45.4, 77.1	33.4, 75.6 .82	38.7, 76.5
Change in duration of attacks from baseline to the first attack in the double-blind phase (min), <sup>§,††,‡‡</sup> mean ± SD	$-9.5 \pm 51.8$	12.8 ± 45.5	$-14.4 \pm 59.5$	16.3 ± 51.5	$1.0 \pm 28.6$	5.4 ± 29.2
95% CI P value	-25.8, 6.9	0.2, 25.3	-37.4, 8.7 .03	-1.1, 33.7	-16.3, 18.3 .69	-9.7, 20.4
Rescue medication use in the first hour after treatment initiation (first attack) in the double- blind phase $(\%)^{\dagger}$	38.3	50.7	42.1	48.9	31.8	53.9
95% CI <i>P</i> value	26.1, 51.8 .1	38.7, 62.6 5	26.3, 59.2 .53	34.1, 63.9	13.9, 54.9 .1.	33.4, 73.4

Table 2.—Exploratory Efficacy End Point Results

cCH = chronic cluster headache; CH = cluster headache; CI = confidence interval; eCH = episodic cluster headache;

ITT = intent-to-treat; nVNS = non-invasive vagus nerve stimulation; SD = standard deviation.

†ITT population; all subjects: nVNS, n = 60; sham, n = 73; eCH cohort: nVNS, n = 38; sham, n = 47; cCH cohort: nVNS, n = 22; sham, n = 26.

 $\pm$ No rescue medication use through 60 minutes after treatment initiation; *P* values are from Fisher's exact test (if  $\geq$ 1 cell had an expected frequency of  $\leq$ 5) or the chi-square test.

Attacks with duration > 180 minutes were excluded according to*International Classification of Headache Disorders*criteria;*P*values are from the*t*test.

Observed cases; all subjects: nVNS, n = 53; sham, n = 64; eCH cohort: nVNS, n = 34; sham, n = 40; cCH cohort: nVNS, n = 19; sham, n = 24.

††Change from the last attack before randomization (based on subject recollection) to the first attack in the double-blind phase (based on objective recording).

 $\ddagger$ :Observed cases; all subjects: nVNS, n = 41; sham, n = 53; eCH cohort: nVNS, n = 28; sham, n = 36; eCH cohort: nVNS, n = 13; sham, n = 17.

40.3%; n = 85) and cCH cohort (35.4%; 95% CI: 22.2%, 50.5%; n = 48; P = .47), whereas results seen in the double-blind phase demonstrated numerically higher nVNS response rates in eCH (34.2%) than

in cCH (13.6%; P = .08). In the total population, the response rate in patients who initially received nVNS in the double-blind phase (26.7%) was maintained in the open-label phase (30.0%; 95% CI:

AEs and ADEs	Double-B	Open-Label Phase	
	nVNS (n = 73)	Sham (n = 77)	nVNS (n = 128)
Subjects with $\geq 1$ AE, No. (%)	18 (24.7)	31 (40.3)	42 (32.8)
Subjects with $\geq 1$ serious AE, No. (%)	$1 (1.4)^{\dagger,\ddagger}$	0	$5(3.9)^{\ddagger,\$}$
Subjects with $\geq 1$ ADE, No. (%)	11 (15.1)	24 (31.2)	18 (14.1)
ADEs Occurring in $\geq 5\%$ of Subjects in Any Tr Application site reactions	reatment Group, No. (%)		
Burning/tingling/soreness/stinging	2 (2.7)	7 (9.1)	4 (3.1)
Skin irritation/redness/erythema	0	9 (11.7)	2 (1.6)
Musculoskeletal disorders		~ /	
Lip or facial drooping/pulling/twitching	8 (11.0)	0	9 (7.0)
Nervous system disorders			
Dysgeusia/metallic taste	0	7 (9.1)	2 (1.6)

Table 3.—Incidence of Adverse Events and Adverse Device Effects (All Treated Subjects)

Abbreviations: ADE = adverse device effect; AE = adverse event; nVNS = non-invasive vagus nerve stimulation.

†Serious AE of cluster headache (2 occurrences).

\$Serious AEs were not considered related to the study device.

§Serious AEs included cluster headache (1 occurrence; 1 subject); cluster headache *as well as* multiple left extremity deep vein thromboses, abdominal aortic aneurysm, pneumonia, anasarca, acute respiratory failure, and urethral trauma (1 occurrence each in the same subject); mesenteric ischemia (1 occurrence; 1 subject); herniated disk (1 occurrence; 1 subject); and ureteral calculus (1 occurrence; 1 subject).

18.8%, 43.2%; n = 60; P = .62). For those who initially received sham treatment, the response rate improved from the double-blind phase (15.1%) to the open-label phase (32.9%; 95% CI: 22.3%, 44.9%; n = 73; P = .007).

Safety and Tolerability .-- No SADEs occurred during the study. Of all subjects, 48% (72/150) had  $\geq$ 1 AE during the study (double-blind phase, 49/150 subjects [nVNS group, 18; sham group, 31]; openlabel phase, 42/128 subjects). ADEs occurred in 35 subjects during the double-blind phase (nVNS group, 11; sham group, 24) and 18 subjects during the open-label phase (Table 3). Application site reactions and nervous system AEs occurred more frequently with sham treatment than with nVNS in the double-blind phase. Of 13 non-device-related serious AEs in the nVNS group, CH pain and hospitalization was reported twice by one subject during the double-blind phase and once by two subjects during the open-label phase, including one subject who also reported multiple upper extremity deep vein thromboses, abdominal aortic aneurysm, pneumonia, anasarca, acute respiratory failure, and urethral trauma in the open-label phase. Mesenteric ischemia, herniated disk, and ureteral calculus were reported once by one subject each in the open-label phase. Only one subject discontinued from the study because of AEs, which were mild or moderate, were not device related, and occurred in the open-label phase.

#### DISCUSSION

The ACT1 study is one of the first and largest randomized, double-blind, sham-controlled trials to evaluate the effects of a non-invasive neuromodulation device for the acute treatment of eCH and cCH. Significant effects of nVNS were not observed for the response rate in the total population but were seen in the eCH cohort across a broad range of end points including response rate, sustained treatment response rate, and percentages of patients who were responders, and of those who were pain-free, for  $\geq$ 50% of treated attacks (Table 4). Results in this cohort may suggest that

End Point	All Subjects	eCH Cohort	cCH Cohort	
Response rate	NS	SIG	NS	
	(P = .1)	(P = .008)	(P = .48)	
Sustained treatment response rate	SIG	SIG	NS	
	(P = .04)	(P = .008)	(P = 1.0)	
Pain intensity	NS	NS	NS	
	(P = .4)	(P = 1.0)	(P = .2)	
Responder for $\geq 50\%$ of treated attacks	NS	SIG	NS	
	(P = .41)	(P = .04)	(P = .19)	
Pain-free for $\geq$ 50% of treated attacks	NS	SIG	NS	
	(P = .33)	(P = .04)	(P = .36)	
Duration of first attack	NS	NS	NS	
	(P = .25)	(P = .21)	(P = .82)	
Change in attack duration	SIG	SIG	NS	
	(P = .03)	(P = .03)	(P = .69)	
Rescue medication use in the first hour after the first attack	NS	NS	NS	
	(P = .15)	(P = .53)	(P = .13)	

#### Table 4.—Summary of Results From the Double-Blind Phase

cCH = chronic cluster headache; eCH = episodic cluster headache; NS = not significant; SIG = significant.

an initial treatment response to nVNS is an indicator of subsequent consistency of response (ie, for  $\geq$ 50% of attacks). Although significant differences were observed between the nVNS and sham groups among the eCH cohort, these differences were not observed among the cCH cohort, a finding that affected the consistency of significant results among the total population (Table 4). A difference of  $\sim 10$ minutes between the nVNS and sham groups in the total population for mean duration of the first attack during the double-blind phase may be clinically meaningful (ie, provides practical advantages that address current therapeutic challenges<sup>22</sup>) in CH despite the lack of statistical powering. Acute nVNS therapy for CH attacks was well tolerated and achieved clinically meaningful efficacy results (vs sham).

Study limitations include the analysis of the cCH cohort as part of the primary end point, the need for careful interpretation of subanalyses results, challenges with blinding inherent in medical device studies, and the time to first measurement of response used to define the primary efficacy end point. Primary end point results were significant for the eCH cohort but were diminished overall by the cCH cohort results. When subanalyses results are

interpreted, the lack of statistical powering and the potential for type 1 and type 2 errors (in the eCH and cCH cohorts, respectively) should be considered. The difference in AE descriptions provided by subjects treated with the nVNS (eg, drooping/pulling of the lip/face) and sham (eg, burning, soreness, stinging) devices may help to explain results of the blinding analyses, which are similar to those observed in previous sham-controlled trials.<sup>23,24</sup> The burning sensation and other pain-related AEs reported by the sham-treated group in ACT1 may have led to a placebo effect based on impressions that the subjects were receiving active treatment. Sham device-associated pain may have also produced a diffuse noxious inhibitory control (DNIC) effect, a phenomenon in which the application of a noxious electrical stimulus to remote body regions inhibits dorsal horn activity and attenuates the original pain.<sup>25,26</sup> Potential placebo and DNIC effects in the sham group may have reduced the magnitude of the therapeutic benefit associated with nVNS treatment.

Another limitation was that the time point used to define the ACT1 primary end point was 15 minutes after treatment initiation, which has been used in other CH studies,<sup>27–29</sup> rather than after treatment completion. In ACT1, this 15-minute interval comprised an 8-minute nVNS stimulation period followed by only a 7-minute period that appeared to be sufficient for significant treatment effects to become evident in the eCH cohort but not in the cCH cohort or total population (Fig. 2). The 15-minute assessment time point may have also contributed to the nonsignificant difference in average pain intensities between the nVNS and sham groups; other potential contributing factors include the combined statistical influence of the responders and nonresponders as well as the assessment after all attacks (rather than after the first attack). Therefore, methodological implications in ACT1 regarding distinct effects among the eCH and cCH cohorts, the painful sham stimulation, and the use of a longer time to first measurement of response such as 30 minutes, as used in CH studies of other therapies,<sup>20,28,30</sup> should be considered for future randomized controlled trials.

Findings from previous mechanistic/imaging and clinical studies may support the different effects seen between the two cohorts in ACT1 as well as the apparent treatment refractoriness seen in the cCH cohort during the study's double-blind phase. In a voxel-based morphometry study, patients experiencing eCH attacks had increases in gray matter (GM) (vs healthy controls) within most of the brain regions known to be associated with acute/transient pain, whereas patients with cCH had GM decreases within several regions known to be associated with pain processing and chronification, such as the posterior part of the anterior or cingulate cortex and amygdala.<sup>31</sup> An observed negative correlation between GM volume and disease duration supports an impairment in recovery and the treatment resistance seen among the cCH cohort in ACT1.<sup>31</sup> Similarly, clinical studies have shown that subjects with cCH had compromised responses to other acute therapies including sumatriptan,<sup>19,32,33</sup> a primary symptomatic treatment.<sup>7,8</sup> Persistent interictal pain and allodynia, a known marker of treatment refractoriness in migraine,<sup>34,35</sup> may have affected responses in subjects with cCH,<sup>33</sup> but the potential association between these features and treatment response was not examined in ACT1 and requires further evaluation in CH. These features, along with the ongoing attacks of cCH, may have cumulative effects on brain physiology<sup>31</sup> and patients' initial ability to respond to treatment. In contrast to results from the 1-month double-blind phase of ACT1, findings from the 3month open-label phase showed that the response rate of the cCH cohort (35.4%) was similar to that of the eCH cohort (29.4%). These results suggest further benefit with continued acute nVNS use for patients with cCH, which is consistent with findings from prophylactic nVNS studies in cCH and chronic migraine as well as VNS studies in epilepsy and depression.<sup>19,36–38</sup>

The ACT1 response definition, mild pain intensity or pain-free at 15 minutes, was also used for primary analyses in a study of subcutaneous sumatriptan (N = 49) for a combined eCH and cCH population<sup>27</sup> and a study of an implantable sphenopalatine ganglion (SPG) stimulation device (N = 32) for a cCH population.<sup>39</sup> However, the ability to compare data from these three trials is limited. The subcutaneous sumatriptan and SPG stimulation studies involved relatively small sample sizes and did not report results specifically for subjects with eCH, whereas ACT1 demonstrated a significant benefit of nVNS in this subgroup. It should also be noted that SPG stimulation requires invasive surgical device implantation.<sup>39</sup> Subcutaneous sumatriptan and inhaled oxygen are the most commonly used pharmacologic acute CH therapies.<sup>7,8</sup> In addition to the FDA-approved maximum dosing of subcutaneous sumatriptan in CH (ie, 2 doses per day) being inadequate for patients with frequent attacks (ie, 3 to 8 attacks per day),<sup>13</sup> this treatment is associated with injection site reactions (eg, pain, swelling) and neurologic symptoms (eg, dizziness, tiredness) and has cardiovascular contraindications.<sup>11,27</sup> Inhaled oxygen does not have a maximum frequency of use or associations with AEs, but its use may be limited because of the size and lack of portability of the tanks, coupled with the need for continuous access to the oxygen supply.<sup>12,13</sup> Considering the tolerability, dosing, and/or practicality issues associated with currently available symptomatic treatments, nVNS provides a safe, well-tolerated, effective, and easy-to-use noninvasive option for acute CH treatment that has produced significant and clinically meaningful responses within 15 minutes in patients with eCH. nVNS can be easily incorporated into the acute treatment paradigm for eCH. It may be particularly useful in clinical settings where the use of current acute treatment options is challenging due to the risk of medication overuse, a desire to minimize AEs, or an inability to treat in a timely manner.

# **CONCLUSIONS**

This trial is among the largest randomized shamcontrolled studies of a therapeutic intervention for the acute treatment of CH. In the total population, a significant difference in response rates between the nVNS and sham groups was not observed. In subjects with eCH, nVNS therapy offered significant and clinically meaningful benefits over sham treatment, including rapid (within 15 minutes) and sustained (through 60 minutes) pain relief. Significant effects were not observed in subjects with cCH, a finding that affected results in the total population. The nVNS device was also safe and well tolerated and thus represents a novel acute treatment option with a positive risk-benefit profile for patients with eCH. A report of a similar large, randomized, shamcontrolled trial completed in Europe is forthcoming and may validate the results seen in this study.

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