

# **ARTICLE**

Received 11 Nov 2015 | Accepted 7 Dec 2015 | Published 2 Feb 2016

DOI: 10.1038/ncomms10391

OPEN

1

# Intestinal microbiome analyses identify melanoma patients at risk for checkpoint-blockade-induced colitis

Krista Dubin<sup>1,2,3</sup>, Margaret K. Callahan<sup>4,5</sup>, Boyu Ren<sup>6</sup>, Raya Khanin<sup>7</sup>, Agnes Viale<sup>8</sup>, Lilan Ling<sup>2</sup>, Daniel No<sup>2</sup>, Asia Gobourne<sup>2</sup>, Eric Littmann<sup>2</sup>, Curtis Huttenhower<sup>6,9</sup>, Eric G. Pamer<sup>1,2,10,\*</sup> & Jedd D. Wolchok<sup>4,5,10,11,\*</sup>

The composition of the intestinal microbiota influences the development of inflammatory disorders. However, associating inflammatory diseases with specific microbial members of the microbiota is challenging, because clinically detectable inflammation and its treatment can alter the microbiota's composition. Immunologic checkpoint blockade with ipilimumab, a monoclonal antibody that blocks cytotoxic T-lymphocyte-associated antigen-4 (CTLA-4) signalling, is associated with new-onset, immune-mediated colitis. Here we conduct a prospective study of patients with metastatic melanoma undergoing ipilimumab treatment and correlate the pre-inflammation faecal microbiota and microbiome composition with subsequent colitis development. We demonstrate that increased representation of bacteria belonging to the Bacteroidetes phylum is correlated with resistance to the development of checkpoint-blockade-induced colitis. Furthermore, a paucity of genetic pathways involved in polyamine transport and B vitamin biosynthesis is associated with an increased risk of colitis. Identification of these biomarkers may enable interventions to reduce the risk of inflammatory complications following cancer immunotherapy.

<sup>&</sup>lt;sup>1</sup>Infectious Diseases Service, Department of Medicine, Memorial Sloan Kettering Cancer Center, 1275 York Avenue, New York, New York 10065, USA. <sup>2</sup> Lucille Castori Center for Microbes, Inflammation and Cancer, Memorial Sloan Kettering Cancer Center, New York, New York 10065, USA. <sup>3</sup> Weill Cornell Graduate School of Medical Sciences of Cornell University, New York, New York 10065, USA. <sup>4</sup> Weill Cornell Medical College, New York, New York 10065, USA. <sup>5</sup> Melanoma and Immunotherapy Service, Department of Medicine, Memorial Sloan Kettering Cancer Center, New York, New York 10065, USA. <sup>6</sup> Department of Biostatistics, Harvard School of Public Health, Boston, Massachusetts 02115, USA. <sup>7</sup> Computational Biology Program, Sloan-Kettering Institute, New York, New York 10065, USA. <sup>8</sup> Genomics Core Laboratory, Sloan-Kettering Institute, New York, New York 10065, USA. <sup>9</sup> Microbial Systems and Communities, Genome Sequencing and Analysis Program, The Broad Institute, Cambridge, Massachusetts 02142, USA. <sup>10</sup> Immunology Program, Sloan-Kettering Institute, New York, New Y

omplex microbial communities, known as the microbiota, colonize the mammalian intestine and contribute to the host's health<sup>1,2</sup>. Healthy individuals harbour distinct microbial populations in their intestinal tract that vary markedly in composition<sup>3,4</sup>. The complexity and plasticity of the intestinal microbiota represent a significant challenge to the host's immune defenses, which must balance immune tolerance of beneficial microbes with inflammatory responses against pathogens. Certain bacterial species are essential for maintaining a tolerogenic state in the mucosa. Microbial species from a variety of genera such as Bacteroides, Clostridium and Faecalibacterium can induce the expansion of T-regulatory cells or stimulate the production of anti-inflammatory cytokines<sup>5-7</sup>. Identifying the microbial species that promote homeostasis or drive inflammation has remained a challenge in the clinical context, in particular with chronic inflammatory conditions such as inflammatory bowel disease. Certain bacteria preferentially expand following inflammation, which alters the microbiota's composition<sup>8–10</sup>. Thus, as most patients seek medical attention after inflammation has developed, it is difficult to define the microbiota composition that precedes the development of colitis.

Ipilimumab, a monoclonal antibody that blocks the co-inhibitory molecule cytotoxic T-lymphocyte-associated antigen-4 (CTLA-4), is an immunomodulatory therapy that provides effective treatment against metastatic melanoma<sup>11</sup>. Inhibition of CTLA-4 signalling dampens negative regulation of T cells, thereby enhancing anti-tumour responses. Within the first 16 weeks of treatment, roughly one-third of recipients develop intestinal inflammation as a result of mucosal immune dysregulation<sup>12–14</sup>. The high incidence of colitis in patients receiving ipilimumab provides an opportunity to characterize the colonic microbiota before the development of intestinal inflammation. Herein we use next-generation metagenomic sequencing to identify biomarkers that are associated with resistance to new-onset, immune-mediated colitis in the context of immune checkpoint-blockade therapy. Increased faecal abundance of the Bacteroidetes phylum and three of its families (Bacteroidaceae, Rikenellaceae and Barnesiellaceae), as well as microbial genetic pathways involved in polyamine transport and B vitamin biosynthesis, are correlated with resistance to the development of colitis following CTLA-4 blockade. This study provides a novel view of the intestinal microbiota before the development of colitis and offers insight into preventive treatments for patients at risk of adverse inflammatory events following immunologic checkpoint blockade.

### Results

Colitis development in patients following CTLA-4 blockade. We analysed the intestinal microbiota of 34 patients enrolled in a prospective study to correlate pre-colitis faecal composition with the subsequent development of checkpoint-blockade-induced colitis. The patients in this study ranged in age between 28 and 85 years, and were diagnosed with metastatic melanoma. In general, faecal samples were obtained from patients before the first dose of ipilimumab (30/34), although in two patients who subsequently developed inflammation and two patients who remained inflammation free, samples were obtained after initiation of ipilimumab, but before the onset of colitis. Of the 34 patients, 10 were diagnosed with gastrointestinal inflammation between 13 and 57 days after initiation of ipilimumab (Fig. 1a). Patients who did not develop gastrointestinal inflammation following CTLA-4 blockade are herein referred to as colitis free (C-F) and patients who experienced inflammatory complications after CTLA-4 blockade are referred to as having progressed to colitis (PtC). Patients were assigned a colitis score by retrospective chart

review, which ranged from no diarrhoea (score 0) in C-F patients to severe colitis (score 4) in PtC patients (Supplementary Table 1). There were no differences in age or gender between C-F and PtC patients (Supplementary Table 1). In addition, 40% of PtC patients and 50% of C-F patients were treated with systemic cancer therapy administered either before (14/16) or both before and during (2/16; both C-F) ipilimumab treatment course (Supplementary Table 1).

Composition of the intestinal microbiota before colitis. To characterize the intestinal microbial composition before immunemediated colitis, faecal samples were submitted for bacterial microbiota profiling using 16S ribosomal RNA sequencing on the Illumina MiSeq platform. C-F and PtC patients harboured intestinal microbiota with similarly complex microbial populations and shared 239 of 578 operational taxonomic units (OTUs, defined at a 97% sequence similarity; Fig. 1b). These 239 shared OTUs represent 79% and 83% of the total OTU abundance in the C-F and PtC patient groups, respectively (Fig. 1c,d), and many were present in 5 or more patients (Fig. 1e). In contrast, OTUs that were either associated with only C-F or only PtC patients were generally detected in fewer than five patients (Fig. 1e). The OTUs that are shared between the two patient groups are more evenly distributed. Roughly 20% of shared OTUs are found in over half of all patients (Fig. 1e). These differences in the distribution of OTUs among the patient groups did not have a significant impact on the overall biodiversity (Supplementary Fig. 1a,b). Microbial richness, reflecting the number of unique phylotypes within a given sample, was found to be similar between patients who developed CTLA-4 blockade-induced colitis and those who remained C-F (Supplementary Fig. 1c,d).

To examine the intestinal microbiota structure between patient groups, we plotted the relative frequencies of bacterial phylotypes at the taxonomic level of family for each patient. Although C-F and PtC patients shared many bacterial taxa that belong to the Firmicutes phylum, C-F patients harbour a greater proportion of microbes within the Bacteroidaceae family (Fig. 2a). Our taxonomic classifications based on 16S sequencing data were similar to those found when applying MetaPhlAn to shotgun metagenomic sequencing data on a subset of this patient cohort, although the proportions of some bacterial phyla vary between the two methods (Supplementary Fig. 2). To illustrate the microbiota composition with finer resolution, we plotted the relative abundances of 146 OTUs at 0.1% or greater mean abundance. Although broad swaths of the microbiota are found at similar frequencies between patients, microbes within the Bacteroidetes phylum are underrepresented in the patients who developed new-onset, immune-mediated colitis (Fig. 2b).

Members of Bacteroidetes phylum associated with resistance.

To further explore the relationship between specific bacterial members of the intestinal microbiota and development of CTLA-4 blockade-induced colitis, we stratified patients by their severity of inflammation and performed a Spearman's rank correlation test on the relative abundances of bacterial species grouped at different taxonomic levels. This analysis revealed that taxa within the Bacteroidetes phylum are more prevalent in C-F patient samples (Fig. 3a). The relative abundance of OTUs classified as Bacteroidetes, as well as the number of OTUs assigned to the phylum, were significantly higher in C-F patients (Mann–Whitney test, P < 0.05; Fig. 3b,c). Within the Bacteroidetes phylum, the prevalence of Bacteroidaceae, Rikenellaceae and Barnesiellaceae is significantly more abundant in patients resistant to ipilimumab-induced colitis (Mann–Whitney test, P < 0.01, P < 0.05 and P < 0.05, respectively;

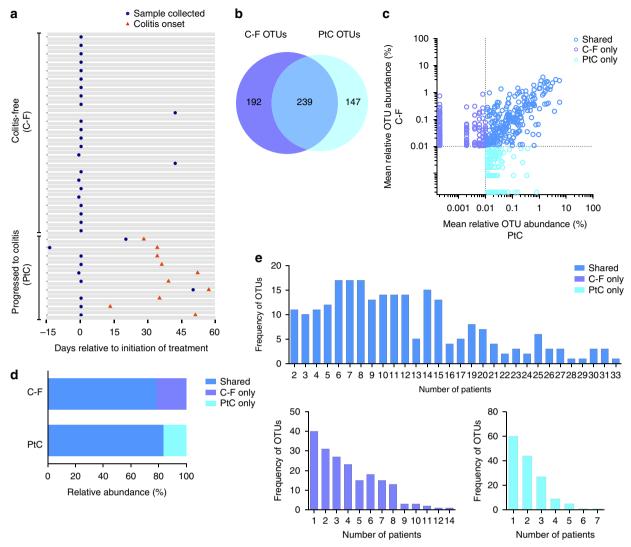


Figure 1 | C-F and PtC patients harbour distinct microbial populations. (a) Faecal sample collection (blue circle) and the onset of colitis (red triangle) are shown at the indicated time points during treatment with ipilimumab, a monoclonal antibody that blocks CTLA-4 signalling. Dates are relative to first dose of treatment. An average abundance > 0.01% was used as the threshold for considering an OTU to be present within faecal samples in either patient group (OTUs, n = 578); OTUs at an abundance of  $\le 0.01\%$  were not considered to be present in the patients' microbiota. Using this definition, we calculated (b) the number of OTUs present in C-F patients only (purple), PtC patients only (turquoise) or shared between the patient groups (blue) in a scaled Venn diagram. (c) The mean relative abundance of OTUs, (d) total abundance of OTUs, (e) distribution of OTUs that are present in C-F patients only, PtC patients only or shared between the patient. C-F patients, n = 24; PtC patients, n = 10. OTUs, operational taxonomic units.

Fig. 3d-f). Although the composition of the intestinal microbiota can vary over the lifetime of an individual, there was no association between patients' age and the abundance of Bacteroidetes (Supplementary Fig. 3).

Specific microbial modules associated with protection. To evaluate the genetic pathways that may play a role in the development of immune-mediated colitis, we performed shotgun metagenomic sequencing on the 10 PtC and 12 C-F patient faecal samples. Sequencing reads were processed using HUMAnN and assigned to KEGG (Kyoto Encyclopedia of Genes and Genomes) modules. The microbial modules that comprised patients' intestinal microbiota were broadly similar between C-F and PtC patients (Fig. 4a). We conducted a univariate test for associations between colitis status and the 102 microbial modules using linear discriminant analysis effect size (LEfSe) analysis. We found that the spermidine/putrescine polyamine transport system and three modules involved in the biosynthesis of B vitamins (riboflavin

(B2), pantothenate (B5) and thiamine (B1)) were more abundant in C-F patients (Fig. 4b). After stratifying samples by colitis status, a Spearman analysis identified the polyamine transport system and thiamine (B1) modules as correlated with resistance to the development of colitis (Fig. 4c). The relative abundances of the aforementioned pathways are significantly enriched in C-F patient samples (Mann–Whitney test, P < 0.05 for all modules; Fig. 4d). In addition, a module involved in the biosynthesis of the biotin (vitamin B7) was found in greater abundance in C-F patients (Mann–Whitney test, P < 0.05).

Select bacterial modules can identify colitis patients. We next sought to assess the predictive accuracy of these microbial modules in determining a patient's risk for colitis. Using the recursive partitioning machine learning algorithm to form a classification tree based on the 102 modules, samples were successfully classified as from either PtC or C-F patients using the relative abundance of polyamine transport system module alone

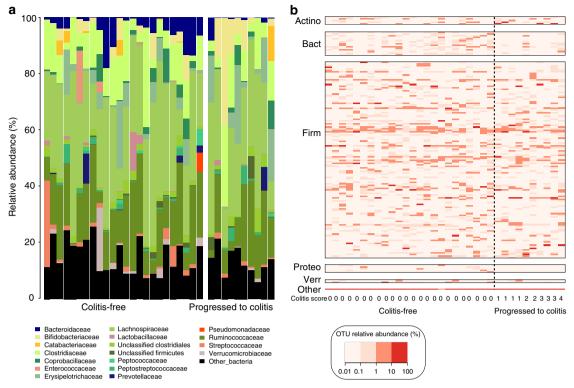


Figure 2 | Composition of the intestinal microbiota between C-F and PtC patients. (a) OTUs with an average abundance > 0.01% within either patient group were classified at the family taxonomic level. Families with an average abundance of 2.5% across all samples or an abundance of greater than 5% in a single sample are plotted. Each bar represents the faecal microbial composition of one patient. (b) The relative abundances of the 146 bacterial OTUs in C-F and PtC patients represented in a heat map. OTUs plotted were present at a mean abundance of  $\ge 0.1\%$ . Patients are ordered by CTCAE-based colitis score. C-F patients, n = 24; PtC patients, n = 10. OTUs, operational taxonomic units; Actino, Actinobacteria; Bact, Bacteroidetes; Firm, Firmicutes; Proteo, Proteobacteria: Verr. Verrucomicrobia.

(Fig. 5a). Samples with > 1% relative abundance of the polyamine transport system were binned as C-F (Supplementary Fig. 4). Of the PtC patients, 7 samples were appropriately identified, whereas 3 samples were misclassified as C-F, resulting in a sensitivity of 70% and specificity of 100% (Fig. 5a). Performing a leave-one-out cross-validation of the probit regression analysis based on 4 of the modules associated with C-F patients, we correctly predicted the colitis status for 10 out of 12 C-F patients and 7 out of 10 PtC patients, at a probability threshold of 50% (Fig. 5b). This model results in a sensitivity of 70% and specificity of 83%. For this regression analysis, we selected the four modules associated with resistance to colitis that were identified in the Spearman and LEfSe analyses, which include the polyamine transport system module and modules involved in the biosynthesis of vitamins riboflavin (B2), pantothenate (B5) and thiamine (B1). These modules performed better in combination than any individual module (Fig. 5c). Taken together, our four-module analysis predicts colitis risk with good accuracy as demonstrated by receiver operating characteristic curve, for which there are no other known biomarkers presented in the literature to use for comparison (Fig. 5d)<sup>12,15</sup>. In all, these analytical models identify bacterial pathways that may confer resistance to colitis and serve as biomarkers for patients at high risk of developing CTLA-4 blockade-induced colitis.

## **Discussion**

Our study is the first to characterize the intestinal microbiota of patients before the development of intestinal inflammation and has identified microbiota-associated biomarkers that correlate with protection against CTLA-4 blockade-associated colitis.

Our finding that members of the Bacteroidetes phylum are enriched in colitis-resistant patients is consistent with a proposed immunomodulatory role of these commensal bacteria. Bacteroidetes represents one of the major phyla of the human colonic microbiota and its members can limit inflammation by stimulating T-regulatory cell differentiation 16,17. In addition, we show that the presence of microbiota-associated modules for bacterial polyamine transport system and the biosynthesis of thiamine, riboflavin and pantothenate can accurately assess a patient's risk of developing colitis following CTLA-4 blockade. The microbiota plays an important role in the endogenous synthesis of water-soluble B vitamins<sup>18–20</sup>. Thiamine and riboflavin concentrations are significantly reduced in the blood of patients with Crohn's disease and the levels of bound pantothenate in the colonic mucosa decrease with the progression of inflammatory bowel disease<sup>21,22</sup>. An innate-like T-cell population known as mucosal-associated invariant T cells are activated in vitro by riboflavin metabolites<sup>23</sup>. These cells accumulate in the inflamed mucosa of Crohn's disease patients, although it is not yet known whether their interleukin-17-skewed cytokine expression exacerbates or limits inflammation<sup>24</sup>. Polyamines, which are small cationic amines that can be exported from bacteria cells through the spermidine and putrescine transport system (potA, B, C and D), play an anti-inflammatory role by promoting colonic epithelial cell proliferation to maintain the epithelial barrier<sup>25</sup>. In patients with active colitis, levels of orthinine decarboxylase, the enzyme involved in polyamine synthesis, are lower than in control patients, but it remains unclear whether reduced polyamine levels contribute to colitis development or progression<sup>26,27</sup>. Additional studies are required to further explore whether a reduced capacity

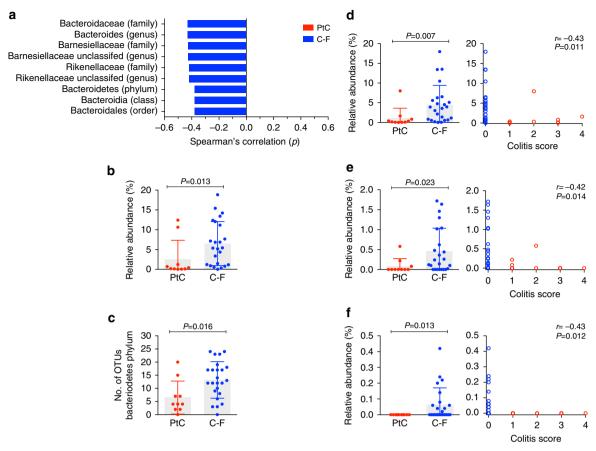


Figure 3 | Increased abundance of the Bacteroidetes phylum and select families correlates with protection from colitis. OTUs with an average abundance > 0.01% within either patient group were binned at different levels of taxonomic classification (phylum, class, order, family and genus). (a) Correlation of bacterial phylotypes to CTCAE-based colitis score by Spearman analysis. Taxa with *P*-values < 0.05 are plotted. (b) Relative abundance of the phylum Bacteriodetes in PtC and C-F patients. (c) The number of OTUs assigned to the Bacteroidetes phylum in each patient group. Relative abundances of the families (d) Bacteroidaceae, (e) Rikenellaceae and (f) Barnesiellaceae within the Bacteroidetes phylum in PtC and C-F patients. *P*-values were determined by Mann-Whitney test. Height of bar represents the mean, error bars represent s.d. C-F patients, n = 24; PtC patients, n = 10. r,  $\rho$  coefficient; OTUs, operational taxonomic units.

for microbe-mediated production of B vitamins and polyamine transport lowers the threshold for initiation of immune-mediated colitis.

Other checkpoint-blockade therapies, such as anti-PD1, can lead to adverse gastrointestinal events<sup>28</sup>. In the case of anti-PD-L1 and anti-CTLA-4 blockade, as well as CpG-oligonucleotide immunotherapy and cyclophosphamide, therapeutic response is affected by the intestinal microbiota<sup>29–32</sup>. As with treatment efficacy, the microbiota may play a role in the development of immune-mediated, new-onset colitis in the context of other immunotherapies. Identification of biomarkers that predict the risk of developing colitis may help identify patients that are particularly susceptible to distinct forms of immunotherapy-induced inflammation, such as with CTLA-4 blockade, and may facilitate preemptive treatments.

## Methods

**Study patients and specimen collection.** Thirty-four adults analysed in our study were diagnosed with metastatic melanoma and received ipilimumab at Memorial Sloan-Kettering Cancer Center. Subjects had no previous history of colitis and bowel resection, and had not received antibiotic treatment in the preceding 2 months. Patients were excluded from analysis if the first faecal sample was collected after the onset of colitis, if the patient did not receive ipilimumab, or if colitis status was unknown. Ipilimumab monotherapy was administered at a dose of 3 mg kg $^{-1}$  every 3 weeks for up to four doses, with three exceptions. Patients #10 and #15 received vemurafenib before and during ipilimumab treatment as part of a clinical trial. Patient #13 was part of a blinded clinical trial and received ipilimumab at

either 3 or 10 mg kg $^{-1}$ . A small number of patients (PtC, n=2; C-F, n=3) received additional doses of ipilimumab either as part of maintenance treatment (every 3 months) on a clinical study or as part of a second course of ipilimumab; however, the colitis cases documented here occurred before this additional dosing was administered. Systemic cancer treatment administered before (n = 14) or both before and during (n=2) ipilimumab therapy was determined retrospectively on chart review by a single investigator (MKC). For 30 patients, faecal samples were collected from each participant before the first administration of ipilimumab; for 2 patients who developed gastrointestinal inflammation and 2 patients who remained inflammation free, samples were collected after the initiation of CTLA-4 blockade, but before onset of colitis. Toxicities were graded retrospectively by a single investigator (MKC) based on chart review using CTCAE, version 4.0, and grading on the terms diarrhoea and colitis. Patients were assigned a colitis score based on the following: no diarrhoea (score 0), grade 1 diarrhoea (score 1), grade 2 diarrhoea (score 2), grade 2 diarrhoea and/or grade 2 colitis (all 3 cases had both grade 2 diarrhoea and grade 2 colitis) (score 3), grade 3 diarrhoea and/or grade 3 colitis (1 case with both grade 3 diarrhoea and grade 3 colitis) (score 4). All participants provided written consent for specimen collection and analysis under the study protocol approved by the Memorial Sloan-Kettering Cancer Center Institutional Review Board.

**DNA extraction.** Each faecal sample was immediately snap-frozen at  $-80\,^{\circ}\text{C}$  and subsequently subjected to bead beating and phenol chloroform extraction for DNA purification. Samples were resuspended in 500  $\mu l$  of an extraction buffer (200 mM Tris pH 8.0/200 mM NaCl/20 mM EDTA), 200  $\mu l$  of 20% SDS, 500  $\mu l$  of phenol:chloroform:isoamyl alcohol (24:24:1) and 500  $\mu l$  of 0.1-mm diameter zirconia/silica beads (BioSpec Products). For 2 min, cells were lysed by mechanical disruption using a bead beater. DNA was extracted in a phenol/chloroform/isoamyl solution twice and precipitated with ethanol and sodium acetate. DNA was resuspended in 200  $\mu l$  of TE buffer containing  $100\,\mu g\,\text{ml}^{-1}$  RNase and further purified using

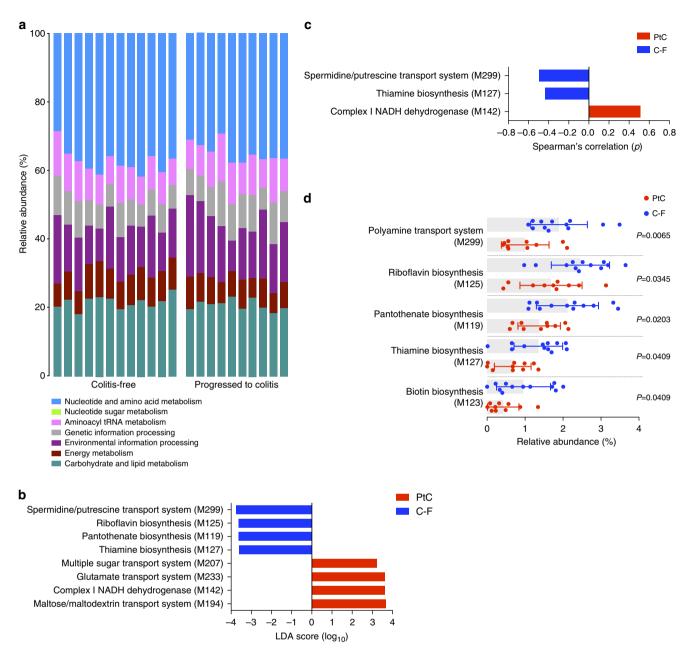


Figure 4 | Bacterial modules involved in polyamine transport and vitamin B synthesis are associated with resistance to colitis. (a) Relative abundance of 102 microbial KEGG modules in C-F and PtC patients. (b) Association of genetic modules with colitis status by LEfSe analysis. Modules with a linear discriminant analysis (LDA) score > 3 are plotted. (c) Correlation of genetic modules to colitis score by Spearman analysis. Modules with P-values < 0.05 are plotted. (d) Relative abundances of modules associated with C-F patients. P-values were determined by Mann-Whitney test. Height of bar represents mean, error bars represent s.d. C-F patients, n = 12; PtC patients, n = 10.

QIAmp Mini Spin Columns (Qiagen). After eluting the sample in 100  $\mu l$  of distilled water, double-stranded DNA was quantified.

**16S rRNA gene amplification and multiparallel sequencing.** The V4–V5 region of the 16S rRNA gene was amplified and sequenced on an Illumina MiSeq platform. For each faecal sample, replicate PCR reactions were performed using modified universal bacterial primers designed to amplify the V4-V5 16S rRNA region: 563F (59-nnnnnnnn-NNNNNNNNNNNNN-AYTGGGYDTAAAGN G-39) and 926R (59-nnnnnnnn-NNNNNNNNNNNNNN-CCGTCAATTYHTTTR AGT-39). Each reaction contained 50 ng of purified DNA, 0.2 mM dNTPs, 1.5  $\mu$ M MgCl<sub>2</sub>, 1.25 U Platinum TaqDNA poly-merase, 2.5  $\mu$ l of  $10 \times$  PCR buffer and 0.2  $\mu$ M of each primer. A unique 12-base Golay barcode (Ns) preceded the primers for sample identification after pooling amplicons. One to eight additional nucleotides were added before the barcode to offset the sequencing of the primers. Cycling conditions were the following: 94 °C for 3 min, followed by 27 cycles of 94 °C for 50 s, 51 °C for 30 s and 72 °C for 1 min, where the final elongation step

was performed at 72 °C for 5 min. Replicate PCRs were combined and were subsequently purified using the Qiaquick PCR Purification Kit (Qiagen) and Qiagen MinElute PCR Purification Kit. Using the Illumina TruSeq Sample Preparation procedure, PCR products were quantified and pooled at equimolar amounts before Illumina barcodes and adaptors were ligated on. The completed library was sequenced on an Ilumina Miseq platform according to the Illumina recommended protocol.

**Sequence analysis.** Sequences were analysed using mothur version 1.31.1 (ref. 33). Sequences were aligned using the Silva reference alignment as a template and potentially chimeric sequences were eliminated using the UChime algorithm<sup>34</sup>. Five thousand sequences per patient were selected (mean 4,974, s.d. 150) and sequences with a distance-based similarity of ≥97% were grouped into OTUs using the furthest-neighbour algorithm. OTUs were classified using the Greengenes 165 rRNA reference database. OTU-based microbial diversity was estimated by calculating two diversity indices, Shannon and Inverse Simpson. OTU-based

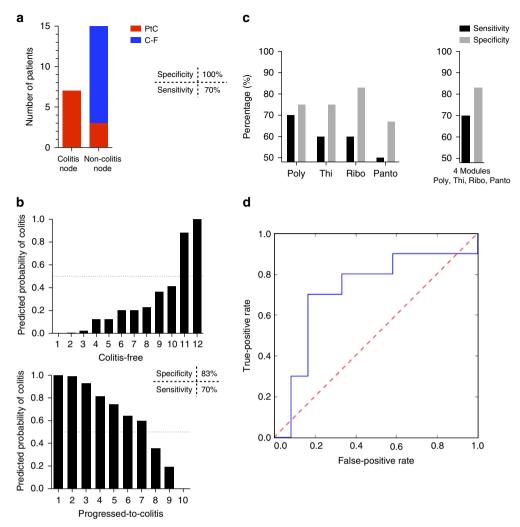


Figure 5 | Predictive accuracy of bacterial modules to identify patients who develop colitis. (a) The recursive partitioning algorithm was used to construct a classification tree, based on the abundance of the polyamine transport module. (b) Leave-one-out cross-validation of the probit regression analysis predicts the probability of colitis using four modules associated with colitis resistance: polyamine transport system, thiamine biosynthesis, riboflavin biosynthesis and pantothenate biosynthesis. One patient is represented per column. Specificity and sensitivity calculated based on a probability threshold of 50%. (c) The sensitivity and specificity of each module to predict patients' colitis status by their faecal microbial samples was determined using a probability threshold of 50%, as compared with the four-module model. (d) Receiver operating characteristic (ROC) curve of the four-module model predicting colitis risk. ROC curve was created by calculating the true-positive rate and false-positive rate for 10,000 thresholds of the predicted probability of colitis between 0 and 1. True-positive rate represents the test sensitivity, calculated by: true positives/(true positives + false negatives). False-positive rate, which is given by 1- test specificity, is calculated by: false positives/(false positives + true negatives). Poly, polyamine transport system; Thi, thiamine biosynthesis; Ribo, riboflavin biosynthesis; Panto, pantothenate biosynthesis. C-F patients, n=12; PtC patients, n=10.

richness was determined by calculating the Chao richness estimate and constructing rarefaction curves. OTUs were grouped at different levels of classification (phylum, class, order, family and genus); at each level, OTUs that did not have a classification were grouped together by the highest available resolution (for example, at the genus level, an OTU classified as p\_Bacteroidetes\_c\_Bacteroidia\_o\_Bacteroidales\_f\_Barnesiellaceae\_unclassifed would be grouped as f\_Barnesiellaceae\_unclassifed). Feature selection of the intestinal microbia's composition was performed on OTUs with an average abundance >0.01% in either patient group and grouped by phylotype.

Shotgun sequencing and metabolic pathway reconstruction. Stool samples from all 10 PtC patients and 12 C-F patients were subjected to shotgun sequencing. C-F patient samples were chosen so that the full spectrum of Bacteroidetes phylum abundance was represented. Libraries were constructed with Illumina barcodes from the TruSeq DNA Sample Prep kit (Illumina) and reagents from KAPA Library Preparation kit (Kapa Biosystems), and then sequenced on an Illumina MiSeq platform using  $2\times 250$  nucleotide paired-end sequencing, according to the manufacturer's instructions. Sequencing reads were converted into relative abundances of microbial metabolic modules using HUMAnN $^{35}$ , the Human Microbiome Project metabolic reconstruction pipeline and mapped to the KEGG $^{36}$ . Relative species abundances were calculated by the MetaPhlAn pipeline  $^{37}$ .

Statistics. Statistical analyses of intestinal microbiota samples were performed using R Statistical Language (v3.1.1) and GraphPad Prism (version 6.0e) software packages. Unpaired Mann-Whitney rank sum test (two-tailed) was used for comparisons of continuous variables between two groups. Bar plots were used to represent the data's mean at the centre values, with error bars to indicate s.d. Spearman's rank correlation tests (two-tailed) were used to find significant correlations between two continuous variables. LEfSe was used to identify differentially abundant features between classes of samples<sup>38</sup>. Recursive partitioning to form classification trees was performed in R using the package rpart. Generalized linear model (using probit regression and the glm R-function) was constructed on all combinations of the following five modules associated with C-F patients; polyamine transport system (M299), riboflavin biosynthesis (M125), pantothenate biosynthesis (M119), thiamine biosynthesis (M127) and biotin biosynthesis (M123). Leave-one-out cross-validation was used to compute model sensitivity and specificity. The reported model provided the best total sensitivity plus specificity. Unadjusted P-values < 0.05 were considered to be significant for the Mann-Whitney rank sum test and Spearman's rank correlation tests.

**Code availability.** Code, written in R version 3.2.2, and instructions are provided in the Supplementary Software file.

7

### References

- Caballero, S. & Pamer, E. G. Microbiota-mediated inflammation and antimicrobial defense in the intestine. Annu. Rev. Immunol. 33, 227–256 (2015).
- Belkaid, Y. & Hand, T. W. Role of the microbiota in immunity and inflammation. Cell 157, 121–141 (2014).
- Qin, J. et al. A human gut microbial gene catalogue established by metagenomic sequencing. Nature 464, 59–65 (2010).
- Human Microbiome Project Consortium. Structure, function and diversity of the healthy human microbiome. Nature 486, 207–214 (2012).
- Atarashi, K. et al. Induction of colonic regulatory T cells by indigenous clostridium species. Science 331, 337–341 (2011).
- Mazmanian, S. K., Liu, C. H., Tzianabos, A. O. & Kasper, D. L. An immunomodulatory molecule of symbiotic bacteria directs maturation of the host immune system. *Cell* 122, 107–118 (2005).
- Sokol, H. et al. Faecalibacterium prausnitzii is an anti-inflammatory commensal bacterium identified by gut microbiota analysis of crohn disease patients. Proc. Natl Acad. Sci. 105, 16731–16736 (2008).
- Lupp, C. et al. Host-mediated inflammation disrupts the intestinal microbiota and promotes the overgrowth of enterobacteriaceae. Cell Host Microbe 2, 119–129 (2007).
- Stecher, B. et al. Salmonella enterica serovar typhimurium exploits inflammation to compete with the intestinal microbiota. PLoS Biol. 5, 2177–2189 (2007).
- 10. Winter, S. E. et al. Host-derived nitrate boosts growth of E. Coli in the inflamed gut. Science 339, 708–711 (2013).
- Hodi, F. S. et al. Improved survival with ipilimumab in patients with metastatic melanoma. N. Engl. J. Med. 363, 711–723 (2010).
- Berman, D. et al. Blockade of cytotoxic t-lymphocyte antigen-4 by ipilimumab results in dysregulation of gastrointestinal immunity in patients with advanced melanoma. Cancer Immun. 10, 11–21 (2010).
- 13. Weber, J. S. *et al.* Patterns of onset and resolution of immune-related adverse events of special interest with ipilimumab: detailed safety analysis from a phase 3 trial in patients with advanced melanoma. *Cancer* **119**, 1675–1682 (2013).
- Lord, J. D. et al. Refractory colitis following anti-ctla4 antibody therapy: analysis of mucosal FOXP3 + T cells. Dig. Dis. Sci. 55, 1396–1405 (2010).
- Gupta, A, De Felice, K.M., Loftus, E.V. & Khanna, S. Systematic review: colitis associated with anti-ctla-4 therapy. *Aliment. Pharmacol. Ther.* 42, 406–417 (2015).
- Round, J. L. & Mazmanian, S. K. Inducible Foxp3 + regulatory T-cell development by a commensal bacterium of the intestinal microbiota. *Proc. Natl Acad. Sci. USA* 107, 12204–12209 (2010).
- Faith, J. J., Ahern, P. P., Ridaura, V. K., Cheng, J. & Gordon, J. I. Identifying gut microbe-host phenotype relationships using combinatorial communities in gnotobiotic mice. Sci. Transl. Med. 6, 220ra11 (2014).
- Hill, M. J. Intestinal flora and endogenous vitamin synthesis. Eur. J. Cancer Prev. 6, S43–S45 (1997).
- 19. Gill, S. R. et al. Metagenomic analysis of the human distal gut microbiome. Science 312, 1355–1359 (2006).
- Coates, M. E., Ford, J. E. & Harrison, G. F. Intestinal synthesis of vitamins of the B complex in chicks. Br. J. Nutr. 22, 493–500 (1968).
- Kuroki, F. et al. Multiple vitamin status in crohn's disease. Dig. Dis. Sci. 38, 1614–1618 (1993).
- Ellestad-Sayed, J. J., Nelson, R. A., Adson, M. A., Palmer, W. M. & Soule, E. H. Pantothenic acid, coenzyme A, and human chronic ulcerative and granulomatous colitis. *Am. J. Clin. Nutr.* 29, 1333–1338 (1976).
- Kjer-Nielsen, L. et al. (2012MR1 presents microbial vitamin B metabolites to MAIT cells. Nature 491, 717–723.
- Serriari, N. E. et al. Innate mucosal-associated invariant T (MAIT) cells are activated in inflammatory bowel diseases. Clin. Exp. Immunol. 176, 266–274 (2014).
- Furuchi, T., Kashiwagi, K., Kobayashi, H. & Igarashi, K. Characteristics of the gene for a spermidine and putrescine transport system that maps at 15 min on the escherichia coli chromosome. *J. Biol. Chem.* 266, 20928–20933 (1991).
- Ricci, G. et al. Ornithine decarboxylase in colonic mucosa from patients with moderate or severe Crohn's disease and ulcerative colitis. Eur. J. Gastroenterol. Hepatol. 11, 903–904 (1999).
- Weiss, T. S. et al. Intracellular polyamine levels of intestinal epithelial cells in inflammatory bowel disease. *Inflamm. Bowel Dis.* 10, 529–535 (2004).
- Topalian, S. L. et al. Survival, durable tumor remission, and long-term safety in patients with advanced melanoma receiving nivolumab. J. Clin. Oncol. 32, 1020–1030 (2014).
- 29. Sivan, A. *et al.* Commensal bifidobacterium promotes antitumor immunity and facilitates anti-pd-l1 efficacy. *Science* **350**, 1084–1089 (2015).
- Vétizou, M. et al. Anticancer immunotherapy by CTLA-4 blockade relies on the gut microbiota. Science 350, 1079–1084 (2015).
- Iida, N. et al. Commensal bacteria control cancer response to therapy by modulating the tumor microenvironment. Science 342, 967–970 (2013).

- 32. Viaud, S. *et al.* The intestinal microbiota modulates the anticancer immune effects of cyclophosphamide. *Science* **342**, 971–976 (2013).
- Schloss, P. D. et al. Introducing mothur: Open-source, platform-independent, community-supported software for describing and comparing microbial communities. Appl. Environ. Microbiol. 75, 7537–7541 (2009).
- Edgar, R. C., Haas, B. J., Clemente, J. C., Quince, C & Knight, R. UCHIME improves sensitivity and speed of chimera detection. *Bioinformatics* 27, 2194–2200 (2011).
- 35. Abubucker, S. et al. Metabolic reconstruction for metagenomic data and its application to the human microbiome. PLoS Comput. Biol. 8, e1002358 (2012).
- Kanehisa, M., Goto, S., Furumichi, M., Tanabe, M. & Hirakawa, M. KEGG for representation and analysis of molecular networks involving diseases and drugs. *Nucleic Acids Res.* 38, D355–D360 (2010).
- Segata, N. et al. Metagenomic microbial community profiling using unique clade-specific marker genes. Nat. Methods 9, 811–814 (2012).
- 38. Segata, N. et al. Metagenomic biomarker discovery and explanation. Genome Biol. 12, R60 (2011).

## **Acknowledgements**

E.G.P. receives funding from US National Institutes of Health (NIH) grant RO1 AI42135. K.D. is supported by a Medical Scientist Training Program grant from the National Institute of General Medical Sciences of the NIH (award number 5T32GM007739, awarded to the Weill Cornell/Rockefeller/Sloan-Kettering Tri-Institutional MD-PhD Program). This work was also supported by a grant from the Tow Foundation to the Lucille Castori Center for Microbes, Inflammation and Cancer, the MSKCC Cancer Center Core Grant (P30CA008748) and by a grant from Bristol-Myers Squibb to J.D.W.

### Author contribution

K.D., E.G.P. and J.D.W. conceived the study and wrote the manuscript with input from co-authors. K.D. and R.K. performed the probit regression and cross-validation analysis. L.L., A.G., D.N. and A.V. performed 16S amplicon quantification and multiparallel sequencing. M.C. and J.D.W. supervised patient sample collection and assessed clinical parameters. K.D., B.R. and C.H. performed metagenomic shotgun sequencing analysis. K.D. performed all other analyses.

## **Additional information**

Accession codes: 16S rRNA gene sequences and shotgun sequences (forward R1, reverse R2) analysed in this study have been deposited in the NCBI SRA database under the BioProject ID: PRJNA302832. The associated BioSamples' accession numbers are the following: SAMN04281019, SAMN04281020, SAMN04281021, SAMN04281022, SAMN04281023, SAMN04281024, SAMN04281025, SAMN04281026, SAMN04281027, SAMN04281028, SAMN04281029, SAMN04281030, SAMN04281031, SAMN04281032, SAMN04281033, SAMN04281034, SAMN04281035, SAMN04281036, SAMN04281037, SAMN04281038, SAMN04281039, SAMN04281040, SAMN04281041, SAMN04281042, SAMN04281043, SAMN04281044, SAMN04281045, SAMN04281046, SAMN04281047, SAMN04281048, SAMN04281049, SAMN04281050, SAMN04281051, SAMN04281052 || SAMN04281200, SAMN04281201, SAMN04281202, SAMN04281203, SAMN04281204, SAMN04281205, SAMN04281206, SAMN04281207, SAMN04281208, SAMN04281209, SAMN04281210, SAMN04281211, SAMN04281212, SAMN04281213, SAMN04281214, SAMN04281215, SAMN04281216, SAMN04281217, SAMN04281218, SAMN04281219, SAMN04281220, SAMN04281221, SAMN04281222, SAMN04281223, SAMN04281224, SAMN04281225, SAMN04281226, SAMN04281227, SAMN04281228, SAMN04281229, SAMN04281230, SAMN04281231, SAMN04281232, SAMN04281233, SAMN04281234, SAMN04281235, SAMN04281236, SAMN04281237, SAMN04281238, SAMN04281239, SAMN04281240, SAMN04281241, SAMN04281242, SAMN04281243.

Supplementary Information accompanies this paper at http://www.nature.com/naturecommunications

Competing financial interests: The authors declare no competing financial interests.

**Reprints and permission** information is available online at http://npg.nature.com/reprintsandpermissions/

**How to cite this article**: Dubin, K. *et al.* Intestinal microbiome analyses identify melanoma patients at risk for checkpoint-blockade-induced colitis. *Nat. Commun.* 7:10391 doi: 10.1038/ncomms10391 (2016).

© <u>()</u>

This work is licensed under a Creative Commons Attribution 4.0 International License. The images or other third party material in this

article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit http://creativecommons.org/licenses/by/4.0/